Edgar Filing: Meline David W - Form 4

August 01, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Check this box if no longer subject to Section 16. Form 4 or Form 5 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Last) (Last) (First) (Middle) (Last) (Street) (Middle) (Last) (Street) (Middle) (Last) (Street) (Middle) (Last) (Street) (Middle) (Last) (First) (Middle) (Last) (First) (Middle) (Last) (Middle) (Last) (Middle) (Last) (First) (Middle) (Check all applicable Line) (Check all applicable Line) (Check all applicable Line) (First) (Middle) (First) (Middle) (Middle) (First) (Middle) (Middle) (Middle) (Middle) (First) (Middle) (Middle) (Middle) (First) (Middle) (Middle) (Middle) (Middle) (First) (Middle) (Middle) (Middle) (Middle) (Middle) (Check all applicable Line) (
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 3235-0287 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: 3200 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Sec Instruction 1(b). State Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 State Public Utility Holding Company Act of 1935 or Section 10(b). (Print or Type Response) State of Earliest Transaction (Month/Day/Year) State of Earliest Transaction (Month/Day/Year) State of Earliest Transaction (Month/Day/Year) Check all applicable) (Itast) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X Officer (give tilt
CINTIED STATES SECURTIES AND EXCITAINCE COMMISSION OMB 3235-0287 Washington, D.C. 20549 OME 3235-0287 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Subject to SECURITIES Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10% obligations Meline David W Symbol AMGEN INC [AMGN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) (Check all appl
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: Curlet J'OT Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section anay continue. See Instruction 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Compa
See Instruction 1(b). 30(h) of the Investment Company Act of 1940 (Print or Type Responses)
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Meline David W 2. Issuer Name and Ticker or Trading Symbol 6. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) ONE AMGEN CENTER DRIVE 08/01/2017 — — 10% Owner (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) THOUSAND THOUSAND — —
Meline David W Symbol Issuer AMGEN INC [AMGN] (Check all applicable) (Last) (First) (Middle) 0. Last) (First) (Middle) 0. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year)
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year)
ONE AMGEN CENTER DRIVE (Month/Day/Year) 08/01/2017 Director X_Officer (give titleOther (specify below) EVP & CFO (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check THOUSAND Filed(Month/Day/Year) SForm filed by One Reporting Person Form filed by More than One Reporting Person
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person THOUSAND
THOUSAND Person
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
1.Title of Security 2. Transaction Date (Month/Day/Year) 2A. Deemed 3. 4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3) 5. Amount of Securities 6. 7. Nature of Securities (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Month/Day/Year) (Instr. 8) (A) (A) Transaction(A) or Disposed of (D) Securities Ownership (Month/Day/Year) (Instr. 8) (Instr. 8) (A) Transaction(A) or Disposed of (D) (D) or Ownership
$\begin{array}{ccc} (A) & Transaction(s) \\ or & (Instr. 3 and 4) \\ Code V Amount (D) & Price \\ \end{array}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

08/01/2017

Stock

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

47,920 (1) (2) D

174.51

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

7,562 D

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	⁷ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Meline David W ONE AMGEN CENT THOUSAND OAKS,			EVP & CFO					
Signatures								
/s/ David W. Meline	08/01/2017							
<u>**</u> Signature of	Date							

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares include the following Restricted Stock Units (RSUs) granted under the Company's equity plans: 13,541 RSUs which vest in one installment on 8/1/2018; 2,640 RSUs which vest in one installment of 1,300 on 1/30/2018 and one installment of 1/340 on 1/30/2019; (1) 4,477 RSUs which vest in two equal installments of 1,477 on 5/3/2018 and 5/3/2019 and one installment of 1,523 on 5/3/2020; and 4,305

RSUs which vest in installments of 1,420 on 5/1/2019, 1,421 on 5/1/2020 and 1,464 on 5/1/2021. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 1,261 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity

Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting persons' unvested RSUs and are (2)paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional share amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person