Edgar Filing: Voya Financial, Inc. - Form 4

Voya Financ Form 4 June 02, 201										
FORM								-	PPROVAL	
Check th		SECURITIES AND EXCHANGE C Washington, D.C. 20549				OMB Number:	3235-0287			
if no long subject to Section 1 Form 4 o	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated a burden hou response	urs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type I	Responses)									
1. Name and Address of Reporting Person <u>*</u> MARTIN RODNEY O JR			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			•	Voya Financial, Inc. [VOYA]				(Check all applicable)		
(Last) (First) (Middle) 230 PARK AVENUE			3. Date of Earliest Transaction(Month/Day/Year)05/31/2016			_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chairman and CEO				
	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
NEW YOR	K, NY 10169						Form filed by Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Se	ecurities Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any	on Date, if	Code	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		SecuritiesFBeneficially(IOwnedII	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock				Code V	Amount	(D) Price	(insu: 3 and 4) 3,551.253	I	By 401(k) Plan	
Common Stock							157,779	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Deferred Savings Plan Issuer Stock Units	<u>(1)</u>	05/31/2016		А	15.216	<u>(1)</u>	<u>(1)</u>	Common Stock	15.216
Performance Stock Unit	<u>(2)</u>					(2)	(2)	Common Stock	165,348 (3)
Restricted Stock Units	<u>(2)</u>					(2)	(2)	Common Stock	222,354

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting o wher runne / run ess	Director	10% Owner	Officer	Other			
MARTIN RODNEY O JR 230 PARK AVENUE NEW YORK, NY 10169	Х		Chairman and CEO				
Signatures							
/s/ Jean Weng, Attorney in Fact	06/0	2/2016					

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of these units represents a right to receive the cash value of one share of the company's common stock upon the reporting person's separation from the company. The reporting person may reallocate investments in these units to alternative investments in the future.
- (2) The stock units will vest based on their respective award agreements.

The number of shares of common stock that will be delivered for each performance stock unit depends on the achievement of certain(3) performance factors. Depending on actual performance, the number of shares of common stock delivered upon vesting can range from 0% to 150% of the number presented above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.