MARSH & MCLENNAN COMPANIES, INC.

Form 4

February 23, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ledford Laurie

2. Issuer Name and Ticker or Trading Symbol

Issuer

MARSH & MCLENNAN

(Month/Day/Year)

(Check all applicable)

(Last) (First) (Middle) COMPANIES, INC. [MMC] 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify below)

5. Relationship of Reporting Person(s) to

1166 AVENUE OF THE **AMERICAS** 

02/22/2016

SVP & Chief HR Officer

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10036

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned **Following** 

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4) (Instr. 4)

Beneficial Ownership

Reported (A) Transaction(s) or

(Instr. 3 and 4)

Code V Amount (D) Price

**MMC** 401(k)

Common Stock

603.977 I

Savings & Investment

Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of conDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	A) d of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 57.325	02/22/2016	A	43,253		<u>(1)</u>	02/21/2026	Common Stock	43,253
Restricted Stock Units	<u>(2)</u>	02/22/2016	A(3)	4,362		<u>(4)</u>	<u>(4)</u>	Common Stock	4,362
Restricted Stock Units	<u>(2)</u>	02/22/2016	A <u>(5)</u>	9,866		<u>(4)</u>	<u>(4)</u>	Common Stock	9,866
Restricted Stk. Units (SSIP)	(2)					<u>(4)</u>	<u>(4)</u>	Common Stock	1,030.93

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ledford Laurie

1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036

SVP & Chief HR Officer

#### **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact

02/23/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options were granted on February 22, 2016 and vest in four equal annual installments on February 22nd of 2017, 2018, 2019 and
- (2) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.

Reporting Owners 2

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- (3) These restricted stock units vest in three equal annual installments on February 28th of 2017, 2018 and 2019.
- (4) Not Applicable.
- (5) These restricted stock units relate to performance stock units that were granted on February 25, 2013 for the performance period 2013-2015. The performance factor for these performance stock units was determined on February 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.