PALL CORP Form 3

December 12, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

25 HARBOR PARK DRIVE

Person \*

A Narasimhan Naresh

(Last)

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

12/10/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PALL CORP [PLL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) President, Pall Asia

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

## **PORT** WASHINGTON, NYÂ 11050

(State)

(City)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Date Exercisable Expiration Security Direct (D) Date Amount or

Title Number of

Shares

or Indirect

(I) (Instr. 5) Edgar Filing: PALL CORP - Form 3

Employee Stock Option (Right to Buy)	01/16/2013(1)	01/16/2020	Common Stock	670	\$ 64.8	D	Â
Employee Stock Option (Right to Buy)	12/11/2013(2)	12/11/2020	Common Stock	1,396	\$ 82.47	D	Â
Restricted Stock Units	07/13/2015	07/13/2015	Common Stock	472.604	\$ (3)	D	Â
Restricted Stock Units	01/18/2016	01/18/2016	Common Stock	448.312	\$ (3)	D	Â
Restricted Stock Units	12/11/2016	12/11/2016	Common Stock	284.705	\$ (3)	D	Â
Restricted Stock Units	01/16/2017	01/16/2017	Common Stock	400.723	\$ <u>(3)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Narasimhan Naresh 25 HARBOR PARK DRIVE PORT WASHINGTON, NY 11050	Â	Â	President, Pall Asia	Â		
Signatures						
/s/ Adam Mandelbaum, Attorney-in-fact fo Narasimhan		12/12/2014				

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Date shown is the date of grant. These options vest ratably over a period of four years commencing on the first anniversary of the grant date, provided the Reporting Person satisfies certain service conditions.

Date

- (2) Date shown is the date of grant. These options vest ratably over a period of three years commencing on the first anniversary of the grant date.
- (3) The Units will vest and become convertible into shares of Common Stock of the Issuer on a one-for-one basis on the date shown in Column 2, provided the Reporting Person satisfies certain service conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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