### MKS INSTRUMENTS INC Form 3 March 06, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Person * Abrams	Address of Re John R	porting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [MKSI]				
(Last)	(First)	(Middle)	03/01/2014	4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			<ul><li>5. If Amendment, Date Original Filed(Month/Day/Year)</li><li>6. Individual or Joint/Group Filing(Check Applicable Line)</li></ul>	
2 TECH D	(Street)	ГЕ 201						
ANDOVE	R, MA (	01810		.e	er Other low) (specify below) VP, Global Sales		_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Table I - Non-Derivative Securities Beneficially Owned				
1.Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)		4. Nat Owne (Instr.	*	
Common S	tock		1.08		D	Â		
Reminder: Re owned directly			ach class of securities benefici	ially S	SEC 1473 (7-02	)		
	inforr requi	mation cont red to respo	pond to the collection of ained in this form are not and unless the form displ MB control number.					
	Table II - De	rivative Secu	rities Beneficially Owned (e.	g., puts, calls	, warrants, opt	ions, c	onvertible securities)	
1 Title of De	nivertive Second	tre 2 Dat	a Evanciashla and 2 Titla a	nd Amount of	4	5	6 Noture of Indirect	

1. Title of Derivative Security 2. Date Exercise			3. Title and Amount of		5.	6. Nature of Indirect
Expiration D	ate	Securities Un	derlying	Conversion	Ownership	Beneficial Ownership
(Month/Day/Year)		Derivative Security		or Exercise	Form of	(Instr. 5)
		(Instr. 4)		Price of	Derivative	
Dete	Envioration	T:41-	A	Derivative	Security:	
	1	Title		Security	Direct (D)	
Exercisable	Date		Number of		or Indirect	
	Expiration D	Expiration Date (Month/Day/Year) Date Expiration	Expiration Date (Month/Day/Year)   Securities Un Derivative Securities (Instr. 4)     Date   Expiration   Title	Expiration DateSecurities Underlying Derivative Security (Instr. 4)DateExpirationTitleAmount or	Expiration Date (Month/Day/Year) Securities Underlying Derivative Security (Instr. 4) Conversion or Exercise Price of Derivative Security (Instr. 4)   Date Expiration Title Amount or Security Security (Security)	Expiration Date (Month/Day/Year)Securities Underlying Derivative Security (Instr. 4)Conversion or ExerciseOwnership Form of DerivativeDateExpirationTitleAmount or Number ofDerivativeSecurity: Security Derivative

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January 31,

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	8,858.729	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(3)	( <u>3)</u>	Common Stock	5,165.289	\$ <u>(2)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Abrams John R 2 TECH DRIVE, SUITE 201 ANDOVER, MA 01810	Â	Â	Sr. VP, Global Sales	Â		
Signatures						
/s/Panaa M						

/s/Renee M.	03/06/2014		
Donlan POA	03/00/2014		
**Signature of Reporting	Date		

Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The RSUs vest in equal annual installments over three years from the respective original grant date. The amount above comprises of:
907.314 which was granted on October 17, 2011; 4507.889 which was granted on April 4, 2013 and 3443.526 which was granted on February 10, 2014.

- (2) Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.
- (3) These RSUs are subject to the achievement of performance criteria determined in the first year of the grant and thereafter vests in equal annual installments over three years from the original grant date. The amount above was granted on February 10, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.