

RANKIN ALISON A  
 Form 5/A  
 April 01, 2013

**FORM 5**

OMB APPROVAL

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**RANKIN ALISON A**

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220**

(Street)

2. Issuer Name and Ticker or Trading Symbol  
**NACCO INDUSTRIES INC [NC]**

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**12/31/2012**

4. If Amendment, Date Original Filed (Month/Day/Year)  
**02/07/2013**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 \_\_\_\_ Officer (give title below)  Other (specify below)  
 Member of a group

6. Individual or Joint/Group Reporting

(check applicable line)

**MAYFIELD HEIGHTS, OH 44124**

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	01/18/2013	Â	J <sup>(1)</sup>	200 D	\$ 0 (2)	3,938 I	By Trust (Daughter 1) (3)
Class A Common Stock	01/18/2013	Â	J <sup>(1)</sup>	200 A	\$ 0 (2)	0 I	Reporting Person's spouse serves as Trustee for the benefit of

Edgar Filing: RANKIN ALISON A - Form 5/A

Class A Common Stock	01/18/2013	Â	J <sup>(4)</sup>	200	A	\$ 0 <sup>(2)</sup>	0	I	A. Farnham Rankin  Reporting Person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	01/18/2013	Â	J <sup>(4)</sup>	200	D	\$ 0 <sup>(2)</sup>	2,051	I	By Trust (Daughter 2) <sup>(3)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II <sup>(5)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,123	I	By Trust <sup>(6)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	19,140	I	By Assoc II/Daughter 2 <sup>(7)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By Spouse (GP) <sup>(8)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	22,385	I	By Spouse (RA4) <sup>(9)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By Spouse/RMI (Delaware) <sup>(10)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	75,628	I	By Spouse/Trust <sup>(11)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	17,252	I	By Assoc II/Daughter 1 <sup>(7)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	33,869	I	By Assoc II/Spouse <sup>(12)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

Edgar Filing: RANKIN ALISON A - Form 5/A

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date		
Class B Common Stock	Â	06/22/2012	Â	G	75,504	Â	Â (2)	Â (2)	Class A Common Stock	75,504
Class B Common Stock	\$ 0 (2)	06/22/2012	Â	G	Â	75,504	Â (2)	Â (2)	Class A Common Stock	75,504
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	5,100
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	5,100
Class B Common Stock	\$ 0 (2)	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	19,000
Class B Common Stock	\$ 0 (2)	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	62,600
Class B Common Stock	\$ 0 (2)	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	118,000



Edgar Filing: RANKIN ALISON A - Form 5/A

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.