#### Edgar Filing: LAKELAND FINANCIAL CORP - Form 4

#### LAKELAND FINANCIAL CORP

Form 4

February 07, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

> January 31, 2005

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Smith Brian J

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

LAKELAND FINANCIAL CORP

(Check all applicable)

[LKFN]

(Last) (First) 3. Date of Earliest Transaction

4. If Amendment, Date Original

(Instr. 8)

X\_ Director Officer (give title

10% Owner Other (specify

(Month/Day/Year) 120 WEST LEXINGTON AVENUE

02/06/2014

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

below)

ELKHART, IN 46516

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

(Middle)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

(Instr. 3, 4 and 5)

Common Stock

6,067.134

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.  nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |                     | Oate Underlying S |                     | Securities                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|---|---------------------|-------------------|---------------------|----------------------------|---|
| Phantom<br>Stock                                    | <u>(1)</u>  | 02/06/2014                              | Code V                                  | 4, and 5)   | Date<br>Exercisable | Expiration Date   | Title  Common Stock | Amount or Number of Shares | \$<br>36.3824                                       |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Smith Brian J

120 WEST LEXINGTON AVENUE X

ELKHART, IN 46516

# **Signatures**

Teresa A. Bartman, Attorney-in-Fact 02/07/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom stock unit exercises into 1 share of common Stock.
- (2) Phantom stock is exercisable after the directors' retirement as a Board member.
- (3) Phantom shares expire after the directors' retirement as a Board member.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. cts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C.

78ff(a).(1)Restricted Stock Units (RSUs) granted under the PG&E Corporation 2006 Long-Term Incentive Plan. RSUs are payable in shares of PG&E Corporation common stock on a one-for-one basis.(2)The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.85 to \$45.86, inclusive. For all transactions reported on this Form 4 utilizing a weighted average share price, the reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range.(3)Includes 1,383.74 SISOPs awarded pursuant to the PG&E Corporation Executive Stock Ownership Program, and reflects the acquisition of 8.81 SISOPs on 1/15/13 upon conversion of dividend equivalents received on that date.(4)Represents the approximate number of shares of PG&E Corporation common stock held for the reporting person in the PG&E Corporation Stock Fund of the PG&E Corporation Retirement Savings Plan (RSP). That fund holds units consisting of PG&E Corporation common stock and a small short-term investments component. The number of shares is computed by dividing the value of the units by the daily closing price. Dividends are automatically invested in additional units at the election of the

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participant. These holdings have been trued up to conform to the RSP balance as of 3/1/13, and reflect the acquisition of approximately 16.57 shares on 1/15/13 due to dividend reinvestment.

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