

NACCO INDUSTRIES INC
Form 4
September 01, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAPLIN MARGARET E

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
08/31/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
___ Officer (give title ___X___ Other (specify below) below)
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (D) Price			
Class A Common Stock	08/31/2005	08/31/2005	S	6,900 D \$ 107.9029	162,912	I	By Trust FET ⁽¹⁾
Class A Common Stock					3,691	I	By Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TAPLIN MARGARET E
NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 300
CLEVELAND, OH 44124

Member of a group

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Margaret E. Taplin

09/01/2005

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held through a Trust of which Reporting Person is co-trustee.
- (2) Reporting Person's daughter is Trustee of a revocable trust held for the benefit of Reporting Person.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. D>

Name of reporting person

I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Basswood Enhanced Long Short GP, LLC

2

Check the appropriate box if a member of a group*

(a) (b)

3

SEC use only

4

Citizenship or place of organization

Delaware

Number of
shares
beneficially
owned by
each
reporting
person
with

5

Sole voting power

0

Explanation of Responses:

6

Shared voting power

543,387

7

Sole dispositive power

0

8

Shared dispositive power

543,387

9

Aggregate amount beneficially owned by each reporting person

543,387

10

Check box if the aggregate amount in Row (9) excludes certain shares

11

Percent of class represented by amount in Row (9)

3.66%

12

Type of reporting person*

Explanation of Responses:

00

-4-

13G

CUSIP No. 43785V102

Page 5 of 9 Pages

1 Name of reporting person

I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Matthew Lindenbaum

2 Check the appropriate box if a member of a group*

(a) (b)

3 SEC use only

4 Citizenship or place of organization

United States

5 Sole voting power

Number of

shares 0
6 Shared voting power

beneficially

owned by 761,810
each 7 Sole dispositive power

reporting

person 0
8 Shared dispositive power
with

761,810

9 Aggregate amount beneficially owned by each reporting person

Explanation of Responses:

761,810

10 Check box if the aggregate amount in Row (9) excludes certain shares

11 Percent of class represented by amount in Row (9)

5.13%

12 Type of reporting person*

IN/HC

13G

CUSIP No. 43785V102

Page 6 of 9 Pages

1 Name of reporting person

I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Bennett Lindenbaum

2 Check the appropriate box if a member of a group*

(a) (b)

3 SEC use only

4 Citizenship or place of organization

United States

5 Sole voting power

Number of

shares 0
6 Shared voting power

beneficially

owned by 761,810
each 7 Sole dispositive power

reporting

person 0
8 Shared dispositive power

with

761,810

9 Aggregate amount beneficially owned by each reporting person

Explanation of Responses:

761,810

10 Check box if the aggregate amount in Row (9) excludes certain shares

11 Percent of class represented by amount in Row (9)

5.13%

12 Type of reporting person*

IN/HC

Item 1(a) Name of Issuer:

HomeStreet, Inc.

Item 1(b) Address of Issuer s Principal Executive Offices:

601 Union Street, Suite 2000

Seattle, WA 98101

Item 2(a) Name of Person Filing:

See Cover Pages, Item 1.

Item 2(b) Address or Principal Business Office:

c/o Basswood Capital Management, L.L.C.

645 Madison Avenue, 10th Floor

New York, NY 10022

Item 2(c) Citizenship:

See Cover Pages, Item 4.

Item 2(d) Title of Class of Securities:

Common Stock, no par value

Item 2(e) CUSIP Number:

43785V102

Item 3 Not Applicable

Item 4 Ownership:

The information required by Items 4(a)-(c) is set forth in Rows 5-11 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

Explanation of Responses:

Item 5 Ownership of Five Percent or Less of a Class:

Basswood Enhanced Long Short GP, LLC and Basswood Enhanced Long Short Fund, LP have ceased to be the beneficial owner of more than five percent of the class of securities.

Item 6 Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable

Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company:

Not Applicable

Item 8 Identification and Classification of Members of the Group:

Not Applicable

Item 9 Notice of Dissolution of Group:

Not Applicable

Item 10 Certification:

By signing below the signatory certifies that, to the best of his knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

[Remainder of page intentionally left blank]

SIGNATURE

After reasonable inquiry and to the best of the knowledge and belief of the undersigned, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2015

BASSWOOD CAPITAL MANAGEMENT,
L.L.C.

By: /s/ Matthew Lindenbaum
Name: Matthew Lindenbaum
Title: Managing Member

BASSWOOD ENHANCED LONG SHORT FUND, LP

By: Basswood Enhanced Long Short GP,
LLC, its General Partner

By: /s/ Matthew Lindenbaum
Name: Matthew Lindenbaum
Title: Managing Member

BASSWOOD ENHANCED LONG SHORT GP, LLC

By: /s/ Matthew Lindenbaum
Name: Matthew Lindenbaum
Title: Managing Member

/s/ Matthew Lindenbaum
Matthew Lindenbaum, an individual

/s/ Bennett Lindenbaum
Bennett Lindenbaum, an individual