**CARNIVAL PLC** 

Form 4

November 17, 2006

## FORM 4

Check this box

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

CARNIVAL PLC [CUK]

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

DICKINSON ROBERT H

1. Name and Address of Reporting Person \*

|                            |  |                                |             |                         |                                       |   |                      | (Check an applicable)                                   |             |               |
|----------------------------|--|--------------------------------|-------------|-------------------------|---------------------------------------|---|----------------------|---|-------------|---------------|
| (Last)                     | (First)  | (Middle)                       | 3. Date of  | of Earliest Transaction |                                       |   |                      |   |             |               |
|                            |  |                                | (Month/D    | ay/Year)                |                                       |   |                      | _X_ Director  | 1           | 0% Owner      |
| •                          |  |                                |             | 1/16/2006               |                                       |   |                      | _X_ Officer (given                                      | ve title C  | ther (specify |
| CORPORATION, 3655 NW 87TH  |  |                                |             |                         |                                       |   |                      | below)  | below)      |               |
|                            |  |                                |             |                         |                                       |   | President & CEO, CCL |   |             |               |
| AVENUE                     |  |                                |             |                         |                                       |   |                      |   |             |               |
|                            | 4. If Ame  | 4. If Amendment, Date Original |             |                         |                                       | 6. Individual or Joint/Group Filing(Check |                      |   |             |               |
| Filed(Month/Day/Year)      |  |                                |             |                         |                                       |   |                      | Applicable Line) _X_ Form filed by One Reporting Person |             |               |
| MIAMI, FL                  |  |                                |             |                         | Form filed by More than One Reporting |   |                      |   |             |               |
| 14111 11411, 1 12 33 1 7 0 |  |                                |             |                         |                                       |   |                      | Person  |             |               |
| (City)                     | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                |             |                         |                                       |   |                      |   |             |               |
| 1.Title of                 | 2. Transaction I   | Date 2A. De                    | eemed       | 3.                      | 4. Securi                             | ties A                                    | cauired              | 5. Amount of  | 6.          | 7. Nature of  |
| Security                   | (Month/Day/Year) Execution Date, if  |                                |             |                         |                                       |   |                      | Securities  | Ownership   | Indirect      |
| (Instr. 3)                 | any Code (Instr. 3, 4 and 5)   |                                |             |                         |                                       |   |                      | Beneficially  | Form:       | Beneficial    |
| (Instr. 5)                 | (Month/Day/Year) (Instr. 8)  |                                |             |                         |                                       |   | 3)                   | Owned   | Direct (D)  | Ownership     |
|                            |  | (World                         | "Day" (Car) | (111501.0)              |                                       |   |                      | Following   | or Indirect | (Instr. 4)    |
|                            |  |                                |             |                         |                                       |   |                      | Reported  | (I)         | (111511. 4)   |
|                            |  |                                |             | (A)<br>or               |                                       |   |                      | Transaction(s)  | (Instr. 4)  |               |
|                            |  |                                |             |                         |                                       |   |                      | ` '   | (IIISu. 4)  |               |
|                            |  |                                |             | Code V                  | Amount                                | (D)                                       | Price                | (Instr. 3 and 4)  |             |               |
| Trust                      |  |                                |             |                         |                                       |   |                      |   |             |               |
|                            |  |                                |             |                         |                                       |   |                      |   |             |               |
| Shares                     |  |                                |             |                         |                                       |   |                      |   |             |               |
| (beneficial                |  |                                |             |                         |                                       |   | \$                   |   |             | By The        |
| interest in                | 11/16/2006   |                                |             | S                       | 7,900                                 | D   | φ<br>49.97           | 5,100   | I           | Dickinson     |
| special                    |  |                                |             |                         |                                       |   | 49.97                |   |             | Foundation    |
| •                          |  |                                |             |                         |                                       |   |                      |   |             | 1 oundation   |
| voting                     |  |                                |             |                         |                                       |   |                      |   |             |               |
| share) <u>(1)</u>          |  |                                |             |                         |                                       |   |                      |   |             |               |
| <b></b>                    | 11/1/2006  |                                |             | C                       | 100                                   | ъ   | Ф                    | <b>7</b> 000  | •           | D (T)         |
| Trust                      | 11/16/2006   |                                |             | S                       | 100                                   | D   | \$                   | 5,000   | I           | By The        |
| Shares                     |  |                                |             |                         |                                       |   | 49.98                |   |             | Dickinson     |
| (beneficial                |  |                                |             |                         |                                       |   |                      |   |             | Foundation    |
| interest in                |  |                                |             |                         |                                       |   |                      |   |             |               |
| merest m                   |  |                                |             |                         |                                       |   |                      |   |             |               |

## Edgar Filing: CARNIVAL PLC - Form 4

special voting share) (1)

Trust

Shares (beneficial

interest in 11/16/2006 S 5.000 D

By The Ι Dickinson

Foundation

special voting share) (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

1. Title of 2. Derivative Conversion or Exercise Security (Instr. 3) Price of Derivative

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

5. TransactionNumber Code of (Instr. 8) Derivative Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

Bene Own Follo Repo Trans (Insti

9. Nu

Deriv

Secu

Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

> Expiration Date Exercisable Date

Amount or Title Number of

Shares

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Code V (A) (D)

Director 10% Owner Officer Other

DICKINSON ROBERT H C/O CARNIVAL CORPORATION 3655 NW 87TH AVENUE **MIAMI, FL 33178** 

X

President & CEO, CCL

**Signatures** 

Robert H. Dickinson

11/17/2006

Date

Reporting Owners 2 \*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to
- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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