### Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL Form 4 August 22, 20 <b>FORM</b> Check this if no longe subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	<b>4</b> UNITED S box er STATEM 5. 5. 5. 5. 5. 5. 5. 5. 5. 5.	ENT OF C	Wasl CHAN( ction 16 blic Uti	hingto GES II SECU (a) of lity Ho	n, l N B JRI the oldi	D.C. 205 BENEFI TIES Securiti ing Com	5 <b>49</b> CIAI es Ex pany	L OWN schange Act of	OMMISSION NERSHIP OF Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hour response	•	
(Print or Type R 1. Name and Ac CAPEN RIC	ldress of Reporting P	Sy	mbol			Ficker or T	Fradin		5. Relationship of I Issuer	Reporting Pers	on(s) to	
(Last) (First) (Middle)			CARNIVAL PLC [CUK] 3. Date of Earliest Transaction (Month/Day/Year) 08/21/2006						(Check all applicable) <u>X</u> Director Officer (give title below) below) <u>Director</u> (specify below)			
(Street) 4. If Amen Filed(Mont MIAMI, FL 33178				dment, Date Original h/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	Person								v Owned			
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transa Code (Instr.	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Trust Shares (beneficial interest in special voting share) (1)	08/21/2006			S		3,300	. ,	\$ 39.67	3,700	I	By Trust	
Trust Shares (beneficial interest in special voting	08/21/2006			S		1,700	D	\$ 39.69	2,000	Ι	By Trust	

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share) $\frac{(1)}{(1)}$			
Trust Shares (beneficial interest in special voting share) (1)	802	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CAPEN RICHARD G JR C/O CARNIVAL CORPORATION 3655 NW 87TH AVENUE MIAMI, FL 33178	Х						
Signatures							
Richard G.							

Ri nare 08/21/2006 Capen, Jr.

<u>\*\*</u>Signature of Reporting Person Date

**Reporting Owners** 

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

(1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.