NETGEAR, INC Form 4 October 27, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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response...

5. Relationship of Reporting Person(s) to

Issuer

34,348

27,579

\$42

D

D

D

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Common

Common

Stock

Stock

10/23/2015

10/23/2015

(Print or Type Responses)

FALCON MICHAEL F

1. Name and Address of Reporting Person *

See Instruction

	<i>a</i>		ar	NETGEAR, INC [NTGR]						(Check all applicable)				
(Last) (First) (Middle) NETGEAR, INC., 350 E. PLUMERIA DR.				3. Date of Earliest Transaction (Month/Day/Year) 10/23/2015						Director 10% Owner X_ Officer (give title Other (specify below) SVP, Operations				
					Amendment, Date Original ((Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative S	Securi	ties Acqı	uired, Disposed of	, or Beneficiall	ly Owned		
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executio any	med n Date, if Day/Year)	3. Transa Code (Instr.)		4. Securitin(A) or Dis (Instr. 3, 4)	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Stock	10/23/2015			M(1)		10,833	A	\$ 31.31	38,412	D			
	Common Stock	10/23/2015			S <u>(1)</u>		10,833	D	\$ 41	27,579	D			
	~													

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $M^{(1)}$

 $S^{(1)}$

6,769

6,769

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.1						<u>(2)</u>	02/02/2020	Common Stock	1,459
Employee Stock Option (Right to Buy)	\$ 20.8						(3)	06/13/2020	Common Stock	1,595
Employee Stock Option (Right to Buy)	\$ 35.32						<u>(4)</u>	02/03/2021	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 33.15						<u>(5)</u>	04/26/2021	Common Stock	3,400
Employee Stock Option (Right to Buy)	\$ 31.31	10/23/2015		M <u>(1)</u>		10,833	<u>(6)</u>	06/06/2022	Common Stock	10,833
Employee Stock Option (Right to	\$ 32.54	10/23/2015		M <u>(1)</u>		6,769	<u>(7)</u>	05/16/2023	Common Stock	6,769

Buy)

Employee

Stock

Option \$ 32.52 <u>(8)</u> 06/03/2024 Common Stock 25,000

Common

Stock

25,000

06/02/2025

(9)

(Right to Buy)

Employee Stock

Option \$ 31.28

(Right to Buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FALCON MICHAEL F NETGEAR, INC. 350 E. PLUMERIA DR. SAN JOSE, CA 95134

SVP, Operations

Signatures

/s/ Andrew W. Kim, Attorney in Fact

10/27/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 1, 2013.
- (2) 25% of the option grant is exercisable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (3) 25% of the shares subject to the option shall vest on 6/13/2011, and 1/48th of the shares subject to the option shall vest each month thereafter, subject to the optionee continuing to be a service provider on such dates.
- (4) 25% of the option grant is exercisable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (5) 25% of the option grant is exercisable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (6) 25% of the option grant is exercisable on 6/6/2013, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exercisable on 5/16/2014, and 1/48 of the option grant is exercisable each month thereafter.
- (8) 25% of the options will be exercisable on 6/03/2015, and 1/48 of the option grant is exercisable each month thereafter.

This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option

(9) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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