

Edgar Filing: FIBERSTARS INC /CA/ - Form NT 10-Q

FIBERSTARS INC /CA/
Form NT 10-Q
August 15, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 12b-25 NOTIFICATION OF LATE FILING	OMB Number: 3235-0058
	Expires: January 31, 2005
	Estimated average burden hours per response 2.50
	SEC FILE NUMBER 0-24230
	CUSIP NUMBER 315662 10 6

(CHECK ONE): ☐ Form 10-K ☐ Form 20-F ☐ Form 11-K ☒ Form 10-Q ☐ Form N-SAR

For Period June 30, 2003
Ended:

- ☐ Transition Report on Form 10-K
 - ☐ Transition Report on Form 20-F
 - ☐ Transition Report on Form 11-K
 - ☐ Transition Report on Form 10-Q
 - ☐ Transition Report on Form N-SAR
- For the Transaction Period Ended:

**NOTHING IN THIS FORM SHALL BE CONSTRUED TO IMPLY THAT THE COMMISSION HAS VERIFIED ANY
INFORMATION CONTAINED HEREIN.**

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

PART I - REGISTRANT INFORMATION

Fiberstars, Inc.
Full Name of Registrant

Former Name if Applicable

44259 Nobel Drive
Address of Principal Executive Office (Street and Number)

Fremont, California 94538

Edgar Filing: FIBERSTARS INC /CA/ - Form NT 10-Q

City, State and Zip Code

PART II - RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- ☐ (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- ☒ (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, 11-K or Form N-SAR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report of transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and
- ☐ (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III - NARRATIVE

State below in reasonable detail the reasons why Forms 10-K, 20-F, 11-K, 10-Q, N-SAR, or the transition report portion thereof, could not be filed within the prescribed time period.

On August 14, 2003, due to technical difficulties in transmitting the registrant's quarterly report on Form 10-Q for the quarter ended June 30, 2003 via the SEC's EDGAR filing system, the Form 10-Q was filed after 2:30 p.m. Eastern Time and therefore received a filing date of August 15, 2003. As the registrant's second fiscal quarter of the year ended on June 30, 2003, August 14 was the 45th day after the end of registrant's quarter. Despite the registrant's and its filing agent's best efforts and good faith attempt to file the document such that it would receive a filing date of August 14, the document received a filing date of August 15 due to technical difficulties with the EDGAR filing process.

The registrant has submitted a letter to the SEC's Office of EDGAR Information and Analysis requesting accommodation from the Commission in adjusting the file date of the submission of the Form 10-Q to Thursday, August 14, 2003.

PART IV - OTHER INFORMATION

- (1) Name and telephone number of person to contact in regard to this notification

Robert Connors
(Name)

510
(Area Code)

490-0719
(Telephone Number)

- (2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If answer is no, identify report(s).

☒ Yes ☐ No

- (3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

☐ Yes ☒ No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

Fiberstars, Inc.
(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date August 15, 2003

By

/s/ Robert Connors

Robert Connors, Chief Financial Officer

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

ATTENTION
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT
CONSTITUTE FEDERAL CRIMINAL VIOLATIONS
(SEE 18 U.S.C. 1001).
