

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORP
Form U-9C-3
March 25, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane
Madison, Wisconsin 53718-2132
608-458-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3

For the Quarter Ended December 31, 2001

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

2

ITEM 1 - ORGANIZATION CHART

Omitted for the fourth quarter pursuant to instructions for "Item 1."

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:

Investment level at December 31, 2001

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power, Corp.	Common stock	\$125	Alliant Energy
Alliant Energy Desdemona, LP	Partnership capital	\$1,668	Industrial En
	Partnership capital	\$1	Alliant Energy
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$13,103	Alliant Energy
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$1,516	Alliant Energy
Alliant South Texas Pipeline, L.P.	Partnership capital	\$6,140	Industrial En
	Partnership capital	\$9	Alliant Energy
American Superconductor Corporation	Common stock	\$478	Alliant Energy
AstroPower, Inc.	Common stock	\$497	Alliant Energy
BFC Gas Company L.C.	Capital	\$2,774	Industrial En
Cargill-Alliant, L.L.C.	Capital	\$5,000	Alliant Energy
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$68,872	Alliant Energy
Energys, Inc.	Capital	\$8,685	Industrial En
	Money pool borrowings	\$676	Alliant Energy

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Energy Performance Services, Inc.	Money pool borrowings	\$20,136	Alliant Energy
Enermetrix.com, Inc.	Preferred stock	\$10,040	Alliant Energy Management
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant Energy
	Money pool borrowings	\$23,892	Alliant Energy
Henwood Energy Services, Inc.	Common stock	\$1,342	AER Holding C
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant Energy
	Money pool borrowings	\$26,532	Alliant Energy
NG Energy Trading, LLC	Capital	\$2,250	Heartland Energy
Nth Power Technologies Fund II, L.P.	Partnership capital	\$5,000	Alliant Energy
Oak Hill Pipeline L.P.	Partnership capital	\$3,220	Industrial Energy
Proton Energy Systems, Inc.	Common stock	\$499	Alliant Energy
ReGENco L.L.C.	Class A units	\$1,083	Heartland Energy
	Class B units	\$667	Heartland Energy
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11,822	Alliant Energy
Schedin & Associates, Inc.	Money pool borrowings	\$924	Alliant Energy
SmartEnergy, Inc.	Common stock	\$15,000	Alliant Energy
	Bridge loan	\$9,450	Alliant Energy
SVBK Consulting Group, Inc.	Common stock	\$1	Alliant Energy Solutions
	Money pool borrowings	\$2,180	Alliant Energy
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant Energy
	Money pool borrowings	\$4,840	Alliant Energy

(1) Associate company.

3

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended December 31, 2001

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company	Associate Company	Types of Services	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)
RMT	WP&L	Environmental consulting	\$224	\$-
RMT	IEA	Environmental consulting	39	-
RMT	IESU	Environmental consulting	48	-
RMT	Barge	Environmental consulting	7	-
RMT	CRANDIC	Environmental consulting	1	-
RMT	Resources	Environmental consulting	58	-
Williams	Cargill-Alliant	Coal handling	152	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)
RMT Williams	IEA Cargill-Alliant	Environmental consulting Coal handling	\$39 152	\$- -

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
Barge	IEI Barge Services, Inc.	Resources	Alliant En
Cargill-Alliant	Cargill-Alliant, L.L.C.	RMT	RMT, Inc.
CRANDIC	Cedar Rapids and Iowa City Railway Co.	Williams	Williams B
IEA	Industrial Energy Applications, Inc.	WP&L	Wisconsin
IESU	IES Utilities Inc.		

4

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of December 31, 2001 (a)	\$4,708,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	706,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	706,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	116,
Energy-related business category ii	11,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	62,
Energy-related business category vi	
Energy-related business category vii	2,
Energy-related business category viii	
Energy-related business category ix	12,
Energy-related business category x	

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Total current aggregate investment	206,

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$50,

Investments in gas-related companies:

Total current aggregate investment (categorized by major line of gas-related business):

Gas-related business category i	\$-
Gas-related business category ii	-

Total current aggregate investment	\$-

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper, notes payable and other short-term borrowings).

5

ITEM 5 - OTHER INVESTMENTS
(Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason fo In Other

Energy-related business category i*			
Henwood Energy Services, Inc.	\$1,342	\$1,342	No change.
Industrial Energy Applications, Inc.	47,133	47,133	No change.
Energy-related business category v*			
Cargill-Alliant, L.L.C.	\$5,000	\$5,000	No change.
Heartland Energy Group, Inc.	3,289	3,289	No change.
Energy-related business category vi*			
BFC Gas Company L.C.	\$2,569	\$2,569	No change.
Energy-related business category vii*			
RMT, Inc. (including subsidiaries)	\$11,822	\$11,822	No change.
Energy-related business category ix*			
Oak Hill Pipeline L.P.	\$3,913	\$3,220	In the fourth qua L.P. made a distr

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Omitted for the fourth quarter pursuant to instructions for "Item 6."

B. EXHIBITS:

- 1. Exhibit A - Certificate of Alliant Energy Corporation
- 2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 25th day of March 2002.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer Corporate Controller and Chief Accounting Officer

John E. Kratchmer (Principal Accounting Officer)

Exhibit A

ALLIANT ENERGY CORPORATION

4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
P.O. Box 19280
Springfield, Illinois 62794-9280

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin
610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Corporate Controller and Chief Accounting Officer

(Principal Accounting Officer)