CONSOL Energy Inc Form 4 November 24, 2015

HARVEY J BRETT

(First)

(Middle)

(Last)

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

CONSOL Energy Inc [CNX]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting

Issuer

(Check all applicable)

CANONSBURG, PA 15317

— Form filed by N
Person

Symbol

								1 015011		
(City)	(State)	(Zip) Tabl	le I - Nor	n-D	erivative So	ecuriti	es Acq	uired, Disposed o	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(		4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common shares,	09/10/2015		Code	v V	Amount 136,678	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4) 4,550	(Instr. 4)	
\$0.01 par value per share	07/10/2013		J	•	130,076	D	ΨΟ	4,330	D	
Common shares, \$0.01 par value per share	11/20/2015		A		5 (1)	A	\$0	4,555 <u>(2)</u>	D	
Common shares,	08/27/2015		G	V	100,000	D	\$0	615,982	I	By Revocable

# Edgar Filing: CONSOL Energy Inc - Form 4

\$0.01 par value per share									Trust (3)
Common shares, \$0.01 par value per share	08/27/2015	G	V	100,000	A	\$ 0	100,000	I	By 2015-2 GRAT
Common shares, \$0.01 par value per share	09/10/2015	G	V	136,678	A	\$0	752,660	I	By Revocable Trust (3)
Common shares, \$0.01 par value per share							2,000	I	By Spouse's Revocable Trust (4)
Common shares, \$0.01 par value per share							9,183	I	By 2014-1 GRAT
Common shares, \$0.01 par value per share							60,000	I	By 2015-1 GRAT
Common shares, \$0.01 par value per share							46,643	I	By Trust for Daughter 1
Common shares, \$0.01 par value per share							46,643	I	By Trust for Daughter 2
Common shares, \$0.01 par value per share							46,644	I	By Trust for Son (5)
Common shares, \$0.01 par							51,498	I	By IRA Account

value per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips							
	Director	10% Owner	Officer	Oth				

HARVEY J BRETT

1000 CONSOL ENERGY DRIVE X CANONSBURG, PA 15317

#### **Signatures**

J. Brett Harvey by Gregory V. Guinto, his attorney-in-fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

11/24/2015

Date

- (1) Represents dividend equivalent rights earned under the Equity Incentive Plan as part of a grant of restricted stock units.
- (2) Of the 4,555 shares owned directly, 4,555 are restricted stock units (including dividend equivalent rights).
- Shares held in Mr. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as **(3)** Trustees.

Reporting Owners 3

#### Edgar Filing: CONSOL Energy Inc - Form 4

- (4) Shares held in Mrs. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as Trustees.
- (5) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.