

DIVIDEND & INCOME FUND
Form N-PX
August 12, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF
REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-08747

Dividend and Income Fund
(Exact name of registrant as specified in charter)

11 Hanover Square, 12th Floor, New York, 10005
NY
(Address of principal executive offices) (Zip code)

John F. Ramirez, Esq.
Dividend and Income Fund
11 Hanover Square, 12th Floor
New York, NY 10005

Registrant's telephone number, including area code: 1-212-785-0900

Date of fiscal year end: 12/31

Date of reporting period: 07/01/2013 - 06/30/2014

Item 1. Proxy Voting Record

The information contained herein discloses the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

- (a) The name of the issuer of the portfolio security;
- (b) The exchange ticker symbol of the portfolio security;
- (c) The Council on Uniform Securities Identification Procedures ("CUSIP") number for the portfolio security;
- (d) The shareholder meeting date;
- (e) A brief identification of the matter voted on;
- (f) Whether the matter was proposed by the issuer or by a security holder;

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- (g) Whether the registrant cast its vote on the matter;
- (h) How the registrant cast its vote; and
- (i) Whether the registrant cast its vote for or against management.

Dividend and Income Fund

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100
 Meeting Date: APR 25, 2014 Meeting Type: Annual
 Record Date: FEB 27, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Robert J. Alpern | For | For | Management |
| 1.2 | Elect Director Roxanne S. Austin | For | For | Management |
| 1.3 | Elect Director Sally E. Blount | For | For | Management |
| 1.4 | Elect Director W. James Farrell | For | For | Management |
| 1.5 | Elect Director Edward M. Liddy | For | For | Management |
| 1.6 | Elect Director Nancy McKinstry | For | For | Management |
| 1.7 | Elect Director Phebe N. Novakovic | For | For | Management |
| 1.8 | Elect Director William A. Osborn | For | For | Management |
| 1.9 | Elect Director Samuel C. Scott, III | For | For | Management |
| 1.10 | Elect Director Glenn F. Tilton | For | For | Management |
| 1.11 | Elect Director Miles D. White | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Label Products with GMO Ingredients | Against | Against | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 6 | Cease Compliance Adjustments to Performance Criteria | Against | For | Shareholder |

ACE LIMITED

Ticker: ACE Security ID: H0023R105
 Meeting Date: JAN 10, 2014 Meeting Type: Special
 Record Date: DEC 05, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Dividend Distribution from Legal Reserves | For | For | Management |
| 2 | Elect of Homburger AG as Independent Proxy | For | For | Management |
| 3 | Transact Other Business (Voting) | For | For | Management |

ACE LIMITED

Ticker: ACE Security ID: H0023R105
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 31, 2014

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Allocate Disposable Profit | For | For | Management |
| 3 | Approve Discharge of Board and Senior Management | For | For | Management |
| 4.1 | Elect Director John Edwardson | For | For | Management |
| 4.2 | Elect Director Kimberly Ross | For | For | Management |
| 4.3 | Elect Director Robert Scully | For | For | Management |
| 4.4 | Elect Director David Sidwell | For | For | Management |
| 4.5 | Elect Director Evan G. Greenberg | For | For | Management |
| 4.6 | Elect Director Robert M. Hernandez | For | For | Management |
| 4.7 | Elect Director Michael G. Atieh | For | For | Management |
| 4.8 | Elect Director Mary A. Cirillo | For | For | Management |
| 4.9 | Elect Director Michael P. Connors | For | For | Management |
| 4.10 | Elect Director Peter Menikoff | For | For | Management |
| 4.11 | Elect Director Leo F. Mullin | For | For | Management |
| 4.12 | Elect Director Eugene B. Shanks, Jr. | For | For | Management |
| 4.13 | Elect Director Theodore E. Shasta | For | For | Management |
| 4.14 | Elect Director Olivier Steimer | For | For | Management |
| 5 | Elect Evan G. Greenberg as Board Chairman | For | Against | Management |
| 6.1 | Appoint Michael P. Connors as Member of the Compensation Committee | For | For | Management |
| 6.2 | Appoint Mary A. Cirillo as Member of the Compensation Committee | For | For | Management |
| 6.3 | Appoint John Edwardson as Member of the Compensation Committee | For | For | Management |
| 6.4 | Appoint Robert M. Hernandez as Member of the Compensation Committee | For | For | Management |
| 7 | Designate Homburger AG as Independent Proxy | For | For | Management |
| 8.1 | Ratify PricewaterhouseCoopers AG (Zurich) as Auditors | For | For | Management |
| 8.2 | Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm as Auditors | For | For | Management |
| 8.3 | Ratify BDO AG (Zurich) as Special Auditors | For | For | Management |
| 9 | Approve Creation of CHF 3.7 Billion Pool of Capital without Preemptive Rights | For | For | Management |
| 10 | Approve Dividend Distribution From Legal Reserves Through Reduction of Par Value | For | For | Management |
| 11 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 12 | Transact Other Business (Voting) | For | Against | Management |

AGCO CORPORATION

Ticker: AGCO Security ID: 001084102
Meeting Date: APR 24, 2014 Meeting Type: Annual
Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Roy V. Armes | For | For | Management |
| 1.2 | Elect Director Michael C. Arnold | For | For | Management |
| 1.3 | Elect Director P. George Benson | For | For | Management |

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|------|--|-----|-----|------------|
| 1.4 | Elect Director Wolfgang Deml | For | For | Management |
| 1.5 | Elect Director Luiz F. Furlan | For | For | Management |
| 1.6 | Elect Director George E. Minnich | For | For | Management |
| 1.7 | Elect Director Martin H. Richenhagen | For | For | Management |
| 1.8 | Elect Director Gerald L. Shaheen | For | For | Management |
| 1.9 | Elect Director Mallika Srinivasan | For | For | Management |
| 1.10 | Elect Director Hendrikus Visser | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

ALPINE TOTAL DYNAMIC DIVIDEND FUND

Ticker: AOD Security ID: 021060207
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: FEB 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Eleanor T.M. Hoagland | For | For | Management |
| 1b | Elect Director Jeffrey E. Wacksman | For | For | Management |
| 2 | Other Business | For | Against | Management |

AMGEN INC.

Ticker: AMGN Security ID: 031162100
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 17, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director David Baltimore | For | For | Management |
| 1.2 | Elect Director Frank J. Biondi, Jr. | For | For | Management |
| 1.3 | Elect Director Robert A. Bradway | For | For | Management |
| 1.4 | Elect Director Francois de Carbonnel | For | For | Management |
| 1.5 | Elect Director Vance D. Coffman | For | For | Management |
| 1.6 | Elect Director Robert A. Eckert | For | For | Management |
| 1.7 | Elect Director Greg C. Garland | For | For | Management |
| 1.8 | Elect Director Rebecca M. Henderson | For | For | Management |
| 1.9 | Elect Director Frank C. Herringer | For | For | Management |
| 1.10 | Elect Director Tyler Jacks | For | For | Management |
| 1.11 | Elect Director Judith C. Pelham | For | For | Management |
| 1.12 | Elect Director Ronald D. Sugar | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Vote Counting to Exclude Abstentions | Against | Against | Shareholder |

APACHE CORPORATION

Ticker: APA Security ID: 037411105
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 17, 2014

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Elect Director G. Steven Farris | For | For | Management |
| 2 | Elect Director A.D. Frazier, Jr. | For | For | Management |
| 3 | Elect Director Amy H. Nelson | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Declassify the Board of Directors | For | For | Management |

BANCO SANTANDER BRASIL SA

Ticker: SANB11 Security ID: 05967A107
 Meeting Date: MAR 18, 2014 Meeting Type: Special
 Record Date: FEB 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Authorize Capitalization of Reserves for Bonus Issue | For | For | Management |
| 2 | Approve Reverse Stock Split | For | For | Management |
| 3 | Amend Composition of Units Re: Reverse Stock Split | For | For | Management |
| 4 | Amend Articles 5, 53, 56, and 57 to Reflect Changes in Capital | For | For | Management |
| 5 | Amend Article 11 | For | For | Management |
| 6 | Consolidate Bylaws | For | For | Management |

BANCO SANTANDER BRASIL SA

Ticker: SANB11 Security ID: 05967A107
 Meeting Date: JUN 09, 2014 Meeting Type: Special
 Record Date: MAY 19, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1 | Approve Company's Delisting from the Nivel 2 Segment of the Sao Paulo Stock Exchange | For | Against | Management |
| 2.1 | Appoint Bank of America Merrill Lynch Banco Multiplo S.A. to Prepare Valuation Report for Delisting Tender Offer | None | Abstain | Management |
| 2.2 | Appoint N M Rothschild & Sons (Brasil) Ltda. to Prepare Valuation Report for Delisting Tender Offer | None | For | Management |
| 2.3 | Appoint KPMG Corporate Finance Ltda. to Prepare Valuation Report for Delisting Tender Offer | None | Abstain | Management |
| 2.4 | Appoint Goldman Sachs do Brasil Banco Multiplo S.A. to Prepare Valuation Report for Delisting Tender Offer | None | Abstain | Management |

BAXTER INTERNATIONAL INC.

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Ticker: BAX Security ID: 071813109
 Meeting Date: MAY 06, 2014 Meeting Type: Annual
 Record Date: MAR 10, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Wayne T. Hockmeyer | For | For | Management |
| 1b | Elect Director Robert L. Parkinson, Jr. | For | For | Management |
| 1c | Elect Director Thomas T. Stallkamp | For | For | Management |
| 1d | Elect Director Albert P. L. Stroucken | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 5 | Stock Retention/Holding Period | Against | For | Shareholder |

BECTON, DICKINSON AND COMPANY

Ticker: BDJ Security ID: 075887109
 Meeting Date: JAN 28, 2014 Meeting Type: Annual
 Record Date: DEC 10, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Basil L. Anderson | For | For | Management |
| 1.2 | Elect Director Henry P. Becton, Jr. | For | For | Management |
| 1.3 | Elect Director Catherine M. Burzik | For | For | Management |
| 1.4 | Elect Director Edward F. DeGraan | For | For | Management |
| 1.5 | Elect Director Vincent A. Forlenza | For | For | Management |
| 1.6 | Elect Director Claire M. Fraser | For | For | Management |
| 1.7 | Elect Director Christopher Jones | For | For | Management |
| 1.8 | Elect Director Marshall O. Larsen | For | For | Management |
| 1.9 | Elect Director Gary A. Mecklenburg | For | For | Management |
| 1.10 | Elect Director James F. Orr | For | For | Management |
| 1.11 | Elect Director Willard J. Overlock, Jr. | For | For | Management |
| 1.12 | Elect Director Rebecca W. Rimel | For | For | Management |
| 1.13 | Elect Director Bertram L. Scott | For | For | Management |
| 1.14 | Elect Director Alfred Sommer | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 6 | Require Independent Board Chairman | Against | Against | Shareholder |

BROADCOM CORPORATION

Ticker: BRCM Security ID: 111320107
 Meeting Date: MAY 13, 2014 Meeting Type: Annual
 Record Date: MAR 17, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Robert J. Finocchio, Jr. | For | For | Management |
| 1.2 | Elect Director Nancy H. Handel | For | For | Management |
| 1.3 | Elect Director Eddy W. Hartenstein | For | For | Management |
| 1.4 | Elect Director Maria M. Klawe | For | For | Management |
| 1.5 | Elect Director John E. Major | For | For | Management |

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| | | | | |
|-----|--|-----|---------|------------|
| 1.6 | Elect Director Scott A. McGregor | For | For | Management |
| 1.7 | Elect Director William T. Morrow | For | For | Management |
| 1.8 | Elect Director Henry Samueli | For | For | Management |
| 1.9 | Elect Director Robert E. Switz | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify Auditors | For | For | Management |

C.H. ROBINSON WORLDWIDE, INC.

Ticker: CHRW Security ID: 12541W209
 Meeting Date: MAY 08, 2014 Meeting Type: Annual
 Record Date: MAR 11, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Scott P. Anderson | For | For | Management |
| 1b | Elect Director Robert Ezrilov | For | For | Management |
| 1c | Elect Director Wayne M. Fortun | For | For | Management |
| 1d | Elect Director Mary J. Steele Guilfoile | For | For | Management |
| 1e | Elect Director Jodee A. Kozlak | For | For | Management |
| 1f | Elect Director ReBecca Koenig Roloff | For | For | Management |
| 1g | Elect Director Brian P. Short | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

CAMPBELL SOUP COMPANY

Ticker: CPB Security ID: 134429109
 Meeting Date: NOV 20, 2013 Meeting Type: Annual
 Record Date: SEP 23, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Edmund M. Carpenter | For | For | Management |
| 1.2 | Elect Director Paul R. Charron | For | For | Management |
| 1.3 | Elect Director Bennett Dorrance | For | For | Management |
| 1.4 | Elect Director Lawrence C. Karlson | For | For | Management |
| 1.5 | Elect Director Randall W. Larrimore | For | For | Management |
| 1.6 | Elect Director Mary Alice Dorrance Malone | For | For | Management |
| 1.7 | Elect Director Sara Mathew | For | For | Management |
| 1.8 | Elect Director Denise M. Morrison | For | For | Management |
| 1.9 | Elect Director Charles R. Perrin | For | For | Management |
| 1.10 | Elect Director A. Barry Rand | For | For | Management |
| 1.11 | Elect Director Nick Shreiber | For | For | Management |
| 1.12 | Elect Director Tracey T. Travis | For | For | Management |
| 1.13 | Elect Director Archbold D. van Beuren | For | Withhold | Management |
| 1.14 | Elect Director Les C. Vinney | For | For | Management |
| 1.15 | Elect Director Charlotte C. Weber | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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CANADIAN NATURAL RESOURCES LIMITED

Ticker: CNQ Security ID: 136385101
 Meeting Date: MAY 08, 2014 Meeting Type: Annual
 Record Date: MAR 19, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1 | Elect Catherine M. Best, N. Murray Edwards, Timothy W. Faithfull, Gary A. Filmon, Christopher L. Fong, Gordon D. Giffin, Wilfred A. Gobert, Steve W. Laut, Keith A. J. MacPhail, Frank J. McKenna, Eldon R. Smith, and David A. Tuer as Directors | For | For | Management |
| 1.1 | Elect Director Catherine M. Best | For | For | Management |
| 1.2 | Elect Director N. Murray Edwards | For | For | Management |
| 1.3 | Elect Director Timothy W. Faithfull | For | For | Management |
| 1.4 | Elect Director Gary A. Filmon | For | For | Management |
| 1.5 | Elect Director Christopher L. Fong | For | For | Management |
| 1.6 | Elect Director Gordon D. Giffin | For | For | Management |
| 1.7 | Elect Director Wilfred A. Gobert | For | For | Management |
| 1.8 | Elect Director Steve W. Laut | For | For | Management |
| 1.9 | Elect Director Keith A. J. MacPhail | For | For | Management |
| 1.10 | Elect Director Frank J. McKenna | For | For | Management |
| 1.11 | Elect Director Eldon R. Smith | For | For | Management |
| 1.12 | Elect Director David A. Tuer | For | For | Management |
| 2 | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 3 | Advisory Vote on Executive Compensation Approach | For | For | Management |

CAPITAL ONE FINANCIAL CORPORATION

Ticker: COF Security ID: 14040H105
 Meeting Date: MAY 01, 2014 Meeting Type: Annual
 Record Date: MAR 06, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Richard D. Fairbank | For | For | Management |
| 1b | Elect Director Patrick W. Gross | For | For | Management |
| 1c | Elect Director Ann Fritz Hackett | For | For | Management |
| 1d | Elect Director Lewis Hay, III | For | For | Management |
| 1e | Elect Director Benjamin P. Jenkins, III | For | For | Management |
| 1f | Elect Director Pierre E. Leroy | For | For | Management |
| 1g | Elect Director Peter E. Raskind | For | For | Management |
| 1h | Elect Director Mayo A. Shattuck, III | For | For | Management |
| 1i | Elect Director Bradford H. Warner | For | For | Management |
| 1j | Elect Director Catherine G. West | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5a | Reduce Supermajority Vote Requirement | For | For | Management |
| 5b | Reduce Supermajority Vote Requirement | For | For | Management |
| 5c | Reduce Supermajority Vote Requirement | For | For | Management |
| 6 | Require Independent Board Chairman | Against | Against | Shareholder |

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CARNIVAL CORPORATION

Ticker: CCL Security ID: 143658300
 Meeting Date: APR 17, 2014 Meeting Type: Annual
 Record Date: FEB 18, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Reelect Micky Arison As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 2 | Reelect Jonathon Band As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 3 | Reelect Arnold W. Donald As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 4 | Reelect Richard J. Glasier As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 5 | Reelect Debra Kelly-Ennis As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 6 | Reelect John Parker As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 7 | Reelect Stuart Subotnick As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 8 | Reelect Laura Weil As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 9 | Reelect Randall J. Weisenburger As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 10 | Reappoint The UK Firm Of PricewaterhouseCoopers LLP As Independent Auditors For Carnival Plc And Ratify The U.S. Firm Of PricewaterhouseCoopers LLP As The Independent Auditor For Carnival Corporation | For | For | Management |
| 11 | Authorize The Audit Committee Of Carnival Plc To Fix Remuneration Of The Independent Auditors Of Carnival Plc | For | For | Management |
| 12 | Receive The UK Accounts And Reports Of The Directors And Auditors Of Carnival Plc For The Year Ended November 30, 2013 | For | For | Management |
| 13 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 14 | Approve Remuneration Report of Executive Directors | For | Against | Management |
| 15 | Approve Remuneration Policy of Executive Directors | For | Against | Management |
| 16 | Authorize Issue of Equity with Pre-emptive Rights | For | For | Management |
| 17 | Authorize Issue of Equity without Pre-emptive Rights | For | For | Management |

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| 18 | Authorize Shares for Market Purchase | For | For | Management |
| 19 | Approve Omnibus Stock Plan | For | For | Management |

CENTURYLINK, INC.

Ticker: CTL Security ID: 156700106
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: APR 10, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Virginia Boulet | For | For | Management |
| 1.2 | Elect Director Peter C. Brown | For | For | Management |
| 1.3 | Elect Director Richard A. Gephardt | For | For | Management |
| 1.4 | Elect Director W. Bruce Hanks | For | For | Management |
| 1.5 | Elect Director Gregory J. McCray | For | For | Management |
| 1.6 | Elect Director C. G. Melville, Jr. | For | For | Management |
| 1.7 | Elect Director Fred R. Nichols | For | For | Management |
| 1.8 | Elect Director William A. Owens | For | For | Management |
| 1.9 | Elect Director Harvey P. Perry | For | For | Management |
| 1.10 | Elect Director Glen F. Post, III | For | For | Management |
| 1.11 | Elect Director Michael J. Roberts | For | For | Management |
| 1.12 | Elect Director Laurie A. Siegel | For | For | Management |
| 1.13 | Elect Director Joseph R. Zimmel | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Provide Proxy Access Right | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Stock Retention | Against | For | Shareholder |

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: APR 02, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Linnet F. Deily | For | For | Management |
| 1b | Elect Director Robert E. Denham | For | For | Management |
| 1c | Elect Director Alice P. Gast | For | For | Management |
| 1d | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1e | Elect Director Jon M. Huntsman, Jr. | For | For | Management |
| 1f | Elect Director George L. Kirkland | For | For | Management |
| 1g | Elect Director Charles W. Moorman, IV | For | For | Management |
| 1h | Elect Director Kevin W. Sharer | For | For | Management |
| 1i | Elect Director John G. Stumpf | For | For | Management |
| 1j | Elect Director Ronald D. Sugar | For | For | Management |
| 1k | Elect Director Carl Ware | For | For | Management |
| 1l | Elect Director John S. Watson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Charitable Contributions | Against | Against | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Report on Management of Hydraulic Fracturing Risks and Opportunities | Against | For | Shareholder |
| 7 | Require Independent Board Chairman | Against | Against | Shareholder |

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|----|--|---------|-----|-------------|
| 8 | Amend Bylaws -- Call Special Meetings | Against | For | Shareholder |
| 9 | Require Director Nominee with Environmental Experience | Against | For | Shareholder |
| 10 | Adopt Guidelines for Country Selection | Against | For | Shareholder |

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102
 Meeting Date: NOV 19, 2013 Meeting Type: Annual
 Record Date: SEP 20, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Carol A. Bartz | For | For | Management |
| 1b | Elect Director Marc Benioff | For | For | Management |
| 1c | Elect Director Gregory Q. Brown | For | For | Management |
| 1d | Elect Director M. Michele Burns | For | For | Management |
| 1e | Elect Director Michael D. Capellas | For | For | Management |
| 1f | Elect Director John T. Chambers | For | For | Management |
| 1g | Elect Director Brian L. Halla | For | For | Management |
| 1h | Elect Director John L. Hennessy | For | For | Management |
| 1i | Elect Director Kristina M. Johnson | For | For | Management |
| 1j | Elect Director Roderick C. McGearry | For | For | Management |
| 1k | Elect Director Arun Sarin | For | For | Management |
| 1l | Elect Director Steven M. West | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Approve Proxy Advisor Competition | Against | Against | Shareholder |

COMPANIA DE MINAS BUENAVENTURA S.A.

Ticker: BUENAVC1 Security ID: 204448104
 Meeting Date: MAR 27, 2014 Meeting Type: Annual
 Record Date: MAR 10, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Annual Report | For | For | Management |
| 2 | Approve Financial Statements and Statutory Reports | For | For | Management |
| 3 | Appoint Auditors | For | For | Management |
| 4 | Ratify Amendments to Dividend Policy | For | For | Management |
| 5 | Approve Dividends | For | For | Management |
| 6 | Elect Directors | For | For | Management |

CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104
 Meeting Date: MAY 13, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|--|---------|-----|-------------|
| 1a | Elect Director Richard L. Armitage | For | For | Management |
| 1b | Elect Director Richard H. Auchinleck | For | For | Management |
| 1c | Elect Director Charles E. Bunch | For | For | Management |
| 1d | Elect Director James E. Copeland, Jr. | For | For | Management |
| 1e | Elect Director Jody L. Freeman | For | For | Management |
| 1f | Elect Director Gay Huey Evans | For | For | Management |
| 1g | Elect Director Ryan M. Lance | For | For | Management |
| 1h | Elect Director Robert A. Niblock | For | For | Management |
| 1i | Elect Director Harald J. Norvik | For | For | Management |
| 1j | Elect Director William E. Wade, Jr. | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Adopt Quantitative GHG Goals for Operations | Against | For | Shareholder |

CSX CORPORATION

Ticker: CSX Security ID: 126408103
 Meeting Date: MAY 07, 2014 Meeting Type: Annual
 Record Date: MAR 07, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Donna M. Alvarado | For | For | Management |
| 1b | Elect Director John B. Breaux | For | For | Management |
| 1c | Elect Director Pamela L. Carter | For | For | Management |
| 1d | Elect Director Steven T. Halverson | For | For | Management |
| 1e | Elect Director Edward J. Kelly, III | For | For | Management |
| 1f | Elect Director Gilbert H. Lamphere | For | For | Management |
| 1g | Elect Director John D. McPherson | For | For | Management |
| 1h | Elect Director Timothy T. O'Toole | For | For | Management |
| 1i | Elect Director David M. Ratcliffe | For | For | Management |
| 1j | Elect Director Donald J. Shepard | For | For | Management |
| 1k | Elect Director Michael J. Ward | For | For | Management |
| 1l | Elect Director J.C. Watts, Jr. | For | For | Management |
| 1m | Elect Director J. Steven Whisler | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |

DEERE & COMPANY

Ticker: DE Security ID: 244199105
 Meeting Date: FEB 26, 2014 Meeting Type: Annual
 Record Date: DEC 31, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Samuel R. Allen | For | For | Management |
| 1b | Elect Director Crandall C. Bowles | For | For | Management |
| 1c | Elect Director Vance D. Coffman | For | For | Management |
| 1d | Elect Director Charles O. Holliday, Jr. | For | For | Management |
| 1e | Elect Director Dipak C. Jain | For | For | Management |
| 1f | Elect Director Clayton M. Jones | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1g | Elect Director Joachim Milberg | For | For | Management |
| 1h | Elect Director Richard B. Myers | For | For | Management |
| 1i | Elect Director Gregory R. Page | For | For | Management |
| 1j | Elect Director Thomas H. Patrick | For | For | Management |
| 1k | Elect Director Sherry M. Smith | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

DEVON ENERGY CORPORATION

Ticker: DVN Security ID: 25179M103
 Meeting Date: JUN 04, 2014 Meeting Type: Annual
 Record Date: APR 07, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Barbara M. Baumann | For | For | Management |
| 1.2 | Elect Director John E. Bethancourt | For | For | Management |
| 1.3 | Elect Director Robert H. Henry | For | For | Management |
| 1.4 | Elect Director John A. Hill | For | For | Management |
| 1.5 | Elect Director Michael M. Kanovsky | For | For | Management |
| 1.6 | Elect Director Robert A. Mosbacher, Jr. | For | For | Management |
| 1.7 | Elect Director J. Larry Nichols | For | For | Management |
| 1.8 | Elect Director Duane C. Radtke | For | For | Management |
| 1.9 | Elect Director Mary P. Ricciardello | For | For | Management |
| 1.10 | Elect Director John Richels | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Financial Risks of Climate Change | Against | For | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Review Public Policy Advocacy on Climate Change | Against | For | Shareholder |

DOVER CORPORATION

Ticker: DOV Security ID: 260003108
 Meeting Date: MAY 01, 2014 Meeting Type: Annual
 Record Date: MAR 10, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Robert W. Cremin | For | For | Management |
| 1b | Elect Director Jean-Pierre M. Ergas | For | For | Management |
| 1c | Elect Director Peter T. Francis | For | For | Management |
| 1d | Elect Director Kristiane C. Graham | For | For | Management |
| 1e | Elect Director Michael F. Johnston | For | For | Management |
| 1f | Elect Director Robert A. Livingston | For | For | Management |
| 1g | Elect Director Richard K. Lochridge | For | For | Management |
| 1h | Elect Director Bernard G. Rethore | For | For | Management |
| 1i | Elect Director Michael B. Stubbs | For | For | Management |
| 1j | Elect Director Stephen M. Todd | For | For | Management |
| 1k | Elect Director Stephen K. Wagner | For | For | Management |
| 1l | Elect Director Mary A. Winston | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Amend Executive Incentive Bonus Plan | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Eliminate Supermajority Vote Requirement | For | For | Management |
| 6 | Eliminate Supermajority Vote Requirement | For | For | Management |
| 7 | Eliminate Supermajority Vote Requirement | For | For | Management |
| 8 | Provide Right to Call Special Meeting | For | For | Management |

ENBRIDGE INC.

Ticker: ENB Security ID: 29250N105
 Meeting Date: MAY 07, 2014 Meeting Type: Annual/Special
 Record Date: MAR 13, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director David A. Arledge | For | For | Management |
| 1.2 | Elect Director James J. Blanchard | For | For | Management |
| 1.3 | Elect Director J. Lorne Braithwaite | For | For | Management |
| 1.4 | Elect Director J. Herb England | For | For | Management |
| 1.5 | Elect Director Charles W. Fischer | For | For | Management |
| 1.6 | Elect Director V. Maureen Kempston Darkes | For | For | Management |
| 1.7 | Elect Director David A. Leslie | For | For | Management |
| 1.8 | Elect Director Al Monaco | For | For | Management |
| 1.9 | Elect Director George K. Petty | For | For | Management |
| 1.10 | Elect Director Charles E. Shultz | For | For | Management |
| 1.11 | Elect Director Dan C. Tutchter | For | For | Management |
| 1.12 | Elect Director Catherine L. Williams | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Amend Stock Option Plan | For | For | Management |
| 4 | Approve Shareholder Rights Plan | For | For | Management |
| 5 | Advisory Vote on Executive Compensation Approach | For | For | Management |

ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103
 Meeting Date: MAY 02, 2014 Meeting Type: Annual
 Record Date: MAR 04, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Maureen Scannell Bateman | For | For | Management |
| 1b | Elect Director Leo P. Denault | For | For | Management |
| 1c | Elect Director Kirkland H. Donald | For | For | Management |
| 1d | Elect Director Gary W. Edwards | For | For | Management |
| 1e | Elect Director Alexis M. Herman | For | For | Management |
| 1f | Elect Director Donald C. Hintz | For | For | Management |
| 1g | Elect Director Stuart L. Levenick | For | For | Management |
| 1h | Elect Director Blanche Lambert Lincoln | For | For | Management |
| 1i | Elect Director Stewart C. Myers | For | For | Management |
| 1j | Elect Director W.J. 'Billy' Tauzin | For | For | Management |
| 1k | Elect Director Steven V. Wilkinson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |

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| | | | | |
|---|--|---------|---------|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Decommission Indian Point Nuclear Reactors | Against | Against | Shareholder |
| 5 | Report on Major Nuclear Safety Concerns and NRC Actions | Against | Against | Shareholder |

ENTERPRISE PRODUCTS PARTNERS L.P.

Ticker: EPD Security ID: 293792107
 Meeting Date: SEP 30, 2013 Meeting Type: Special
 Record Date: AUG 19, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Amend Omnibus Stock Plan | For | For | Management |
| 2 | Amend Nonqualified Employee Stock Purchase Plan | For | For | Management |

EXELON CORPORATION

Ticker: EXC Security ID: 30161N101
 Meeting Date: MAY 06, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Anthony K. Anderson | For | For | Management |
| 1b | Elect Director Ann C. Berzin | For | For | Management |
| 1c | Elect Director John A. Canning, Jr. | For | For | Management |
| 1d | Elect Director Christopher M. Crane | For | For | Management |
| 1e | Elect Director Yves C. de Balmann | For | For | Management |
| 1f | Elect Director Nicholas DeBenedictis | For | For | Management |
| 1g | Elect Director Nelson A. Diaz | For | For | Management |
| 1h | Elect Director Sue L. Gin | For | For | Management |
| 1i | Elect Director Paul L. Joskow | For | For | Management |
| 1j | Elect Director Robert J. Lawless | For | For | Management |
| 1k | Elect Director Richard W. Mies | For | For | Management |
| 1l | Elect Director William C. Richardson | For | For | Management |
| 1m | Elect Director John W. Rogers, Jr. | For | For | Management |
| 1n | Elect Director Mayo A. Shattuck, III | For | For | Management |
| 1o | Elect Director Stephen D. Steinour | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 5 | Limit Executive Compensation | Against | Against | Shareholder |

EXXON MOBIL CORPORATION

Ticker: XOM Security ID: 30231G102
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: APR 04, 2014

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Michael J. Boskin | For | For | Management |
| 1.2 | Elect Director Peter Brabeck-Letmathe | For | For | Management |
| 1.3 | Elect Director Ursula M. Burns | For | For | Management |
| 1.4 | Elect Director Larry R. Faulkner | For | For | Management |
| 1.5 | Elect Director Jay S. Fishman | For | For | Management |
| 1.6 | Elect Director Henrietta H. Fore | For | For | Management |
| 1.7 | Elect Director Kenneth C. Frazier | For | For | Management |
| 1.8 | Elect Director William W. George | For | For | Management |
| 1.9 | Elect Director Samuel J. Palmisano | For | For | Management |
| 1.10 | Elect Director Steven S Reinemund | For | For | Management |
| 1.11 | Elect Director Rex W. Tillerson | For | For | Management |
| 1.12 | Elect Director William C. Weldon | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require a Majority Vote for the Election of Directors | Against | For | Shareholder |
| 5 | Limit Directors to a Maximum of Three Board Memberships in Companies with Sales over \$500 Million Annually | Against | Against | Shareholder |
| 6 | Amend EEO Policy to Prohibit Discrimination Based on Sexual Orientation and Gender Identity | Against | Against | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 8 | Adopt Quantitative GHG Goals for Products and Operations | Against | For | Shareholder |

FIRST AMERICAN FINANCIAL CORPORATION

Ticker: FAF Security ID: 31847R102
 Meeting Date: MAY 13, 2014 Meeting Type: Annual
 Record Date: MAR 19, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director James L. Doti | For | For | Management |
| 1.2 | Elect Director Michael D. McKee | For | For | Management |
| 1.3 | Elect Director Thomas V. McKernan | For | For | Management |
| 1.4 | Elect Director Virginia M. Ueberroth | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

FIRSTENERGY CORP.

Ticker: FE Security ID: 337932107
 Meeting Date: MAY 20, 2014 Meeting Type: Annual
 Record Date: MAR 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Paul T. Addison | For | For | Management |
| 1.2 | Elect Director Anthony J. Alexander | For | For | Management |
| 1.3 | Elect Director Michael J. Anderson | For | For | Management |
| 1.4 | Elect Director William T. Cottle | For | For | Management |
| 1.5 | Elect Director Robert B. Heisler, Jr. | For | For | Management |
| 1.6 | Elect Director Julia L. Johnson | For | For | Management |

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| | | | | |
|------|---|---------|---------|-------------|
| 1.7 | Elect Director Ted J. Kleisner | For | For | Management |
| 1.8 | Elect Director Donald T. Misheff | For | For | Management |
| 1.9 | Elect Director Ernest J. Novak, Jr. | For | For | Management |
| 1.10 | Elect Director Christopher D. Pappas | For | For | Management |
| 1.11 | Elect Director Catherine A. Rein | For | For | Management |
| 1.12 | Elect Director Luis A. Reyes | For | For | Management |
| 1.13 | Elect Director George M. Smart | For | For | Management |
| 1.14 | Elect Director Wes M. Taylor | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | Against | Against | Shareholder |
| 5 | Submit SERP to Shareholder Vote | Against | For | Shareholder |
| 6 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |
| 7 | Require a Majority Vote for the Election of Directors | Against | For | Shareholder |

FORD MOTOR COMPANY

Ticker: F Security ID: 345370860
 Meeting Date: MAY 08, 2014 Meeting Type: Annual
 Record Date: MAR 12, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Stephen G. Butler | For | For | Management |
| 1.2 | Elect Director Kimberly A. Casiano | For | For | Management |
| 1.3 | Elect Director Anthony F. Earley, Jr. | For | For | Management |
| 1.4 | Elect Director Edsel B. Ford, II | For | For | Management |
| 1.5 | Elect Director William Clay Ford, Jr. | For | For | Management |
| 1.6 | Elect Director Richard A. Gephardt | For | For | Management |
| 1.7 | Elect Director James P. Hackett | For | For | Management |
| 1.8 | Elect Director James H. Hance, Jr. | For | For | Management |
| 1.9 | Elect Director William W. Helman, IV | For | For | Management |
| 1.10 | Elect Director Jon M. Huntsman, Jr. | For | For | Management |
| 1.11 | Elect Director John C. Lechleiter | For | For | Management |
| 1.12 | Elect Director Ellen R. Marram | For | For | Management |
| 1.13 | Elect Director Alan Mulally | For | For | Management |
| 1.14 | Elect Director Homer A. Neal | For | For | Management |
| 1.15 | Elect Director Gerald L. Shaheen | For | For | Management |
| 1.16 | Elect Director John L. Thornton | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Approve Non-Employee Director Omnibus Stock Plan | For | For | Management |
| 5 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 6 | Amend Articles/Bylaws/Charter -- Call Special Meetings | Against | For | Shareholder |

GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103
 Meeting Date: APR 23, 2014 Meeting Type: Annual

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Record Date: FEB 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1 | Elect Director W. Geoffrey Beattie | For | For | Management |
| 2 | Elect Director John J. Brennan | For | For | Management |
| 3 | Elect Director James I. Cash, Jr. | For | For | Management |
| 4 | Elect Director Francisco D'Souza | For | For | Management |
| 5 | Elect Director Marijn E. Dekkers | For | For | Management |
| 6 | Elect Director Ann M. Fudge | For | For | Management |
| 7 | Elect Director Susan J. Hockfield | For | For | Management |
| 8 | Elect Director Jeffrey R. Immelt | For | For | Management |
| 9 | Elect Director Andrea Jung | For | For | Management |
| 10 | Elect Director Robert W. Lane | For | For | Management |
| 11 | Elect Director Rochelle B. Lazarus | For | For | Management |
| 12 | Elect Director James J. Mulva | For | For | Management |
| 13 | Elect Director James E. Rohr | For | For | Management |
| 14 | Elect Director Mary L. Schapiro | For | For | Management |
| 15 | Elect Director Robert J. Swieringa | For | For | Management |
| 16 | Elect Director James S. Tisch | For | For | Management |
| 17 | Elect Director Douglas A. Warner, III | For | For | Management |
| 18 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 19 | Ratify Auditors | For | For | Management |
| 20 | Provide for Cumulative Voting | Against | For | Shareholder |
| 21 | Stock Retention/Holding Period | Against | Against | Shareholder |
| 22 | Require More Director Nominations Than Open Seats | Against | Against | Shareholder |
| 23 | Provide Right to Act by Written Consent | Against | Against | Shareholder |
| 24 | Cessation of All Stock Options and Bonuses | Against | Against | Shareholder |
| 25 | Seek Sale of Company | Against | Against | Shareholder |

GENERAL MILLS, INC.

Ticker: GIS Security ID: 370334104
 Meeting Date: SEP 24, 2013 Meeting Type: Annual
 Record Date: JUL 26, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Bradbury H. Anderson | For | For | Management |
| 1b | Elect Director R. Kerry Clark | For | For | Management |
| 1c | Elect Director Paul Danos | For | For | Management |
| 1d | Elect Director William T. Esrey | For | For | Management |
| 1e | Elect Director Raymond V. Gilmartin | For | For | Management |
| 1f | Elect Director Judith Richards Hope | For | For | Management |
| 1g | Elect Director Heidi G. Miller | For | For | Management |
| 1h | Elect Director Hilda Ochoa-Brillembourg | For | For | Management |
| 1i | Elect Director Steve Odland | For | For | Management |
| 1j | Elect Director Kendall J. Powell | For | For | Management |
| 1k | Elect Director Michael D. Rose | For | For | Management |
| 1l | Elect Director Robert L. Ryan | For | For | Management |
| 1m | Elect Director Dorothy A. Terrell | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Responsibility for Post-Consumer Product Packaging | Against | Against | Shareholder |

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GENERAL MOTORS COMPANY

Ticker: GM Security ID: 37045V100
 Meeting Date: JUN 10, 2014 Meeting Type: Annual
 Record Date: APR 11, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Joseph J. Ashton | For | For | Management |
| 1b | Elect Director Mary T. Barra | For | For | Management |
| 1c | Elect Director Erroll B. Davis, Jr. | For | For | Management |
| 1d | Elect Director Stephen J. Girsky | For | For | Management |
| 1e | Elect Director E. Neville Isdell | For | For | Management |
| 1f | Elect Director Kathryn V. Marinello | For | For | Management |
| 1g | Elect Director Michael G. Mullen | For | For | Management |
| 1h | Elect Director James J. Mulva | For | For | Management |
| 1i | Elect Director Patricia F. Russo | For | For | Management |
| 1j | Elect Director Thomas M. Schoewe | For | For | Management |
| 1k | Elect Director Theodore M. Solso | For | For | Management |
| 1l | Elect Director Carol M. Stephenson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 6 | Approve Omnibus Stock Plan | For | For | Management |
| 7 | Provide for Cumulative Voting | Against | For | Shareholder |
| 8 | Require Independent Board Chairman | Against | For | Shareholder |

HCP, INC.

Ticker: HCP Security ID: 40414L109
 Meeting Date: MAY 01, 2014 Meeting Type: Annual
 Record Date: MAR 07, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Brian G. Cartwright | For | For | Management |
| 1b | Elect Director Christine N. Garvey | For | For | Management |
| 1c | Elect Director David B. Henry | For | For | Management |
| 1d | Elect Director Lauralee E. Martin | For | For | Management |
| 1e | Elect Director Michael D. McKee | For | For | Management |
| 1f | Elect Director Peter L. Rhein | For | For | Management |
| 1g | Elect Director Joseph P. Sullivan | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |

HEALTH CARE REIT, INC.

Ticker: HCN Security ID: 42217K106
 Meeting Date: MAY 01, 2014 Meeting Type: Annual
 Record Date: MAR 04, 2014

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director William C. Ballard, Jr. | For | For | Management |
| 1b | Elect Director George L. Chapman | For | For | Management |
| 1c | Elect Director Thomas J. DeRosa | For | For | Management |
| 1d | Elect Director Jeffrey H. Donahue | For | For | Management |
| 1e | Elect Director Peter J. Grua | For | For | Management |
| 1f | Elect Director Fred S. Klipsch | For | For | Management |
| 1g | Elect Director Timothy J. Naughton | For | For | Management |
| 1h | Elect Director Sharon M. Oster | For | For | Management |
| 1i | Elect Director Judith C. Pelham | For | For | Management |
| 1j | Elect Director R. Scott Trumbull | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Increase Authorized Common Stock | For | For | Management |

HONEYWELL INTERNATIONAL INC.

Ticker: HON Security ID: 438516106
 Meeting Date: APR 28, 2014 Meeting Type: Annual
 Record Date: FEB 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1A | Elect Director Gordon M. Bethune | For | For | Management |
| 1B | Elect Director Kevin Burke | For | For | Management |
| 1C | Elect Director Jaime Chico Pardo | For | For | Management |
| 1D | Elect Director David M. Cote | For | For | Management |
| 1E | Elect Director D. Scott Davis | For | For | Management |
| 1F | Elect Director Linnet F. Deily | For | For | Management |
| 1G | Elect Director Judd Gregg | For | For | Management |
| 1H | Elect Director Clive Hollick | For | For | Management |
| 1I | Elect Director Grace D. Lieblein | For | For | Management |
| 1J | Elect Director George Paz | For | For | Management |
| 1K | Elect Director Bradley T. Sheares | For | For | Management |
| 1L | Elect Director Robin L. Washington | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 6 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

INTEL CORPORATION

Ticker: INTC Security ID: 458140100
 Meeting Date: MAY 22, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Charlene Barshefsky | For | For | Management |
| 1b | Elect Director Andy D. Bryant | For | For | Management |
| 1c | Elect Director Susan L. Decker | For | For | Management |
| 1d | Elect Director John J. Donahoe | For | For | Management |
| 1e | Elect Director Reed E. Hundt | For | For | Management |
| 1f | Elect Director Brian M. Krzanich | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1g | Elect Director James D. Plummer | For | For | Management |
| 1h | Elect Director David S. Pottruck | For | For | Management |
| 1i | Elect Director Frank D. Yeary | For | For | Management |
| 1j | Elect Director David B. Yoffie | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101
 Meeting Date: APR 29, 2014 Meeting Type: Annual
 Record Date: FEB 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Alain J.P. Belda | For | For | Management |
| 1.2 | Elect Director William R. Brody | For | For | Management |
| 1.3 | Elect Director Kenneth I. Chenault | For | For | Management |
| 1.4 | Elect Director Michael L. Eskew | For | For | Management |
| 1.5 | Elect Director David N. Farr | For | For | Management |
| 1.6 | Elect Director Shirley Ann Jackson | For | For | Management |
| 1.7 | Elect Director Andrew N. Liveris | For | For | Management |
| 1.8 | Elect Director W. James McNerney, Jr. | For | For | Management |
| 1.9 | Elect Director James W. Owens | For | For | Management |
| 1.10 | Elect Director Virginia M. Rometty | For | For | Management |
| 1.11 | Elect Director Joan E. Spero | For | For | Management |
| 1.12 | Elect Director Sidney Taurel | For | For | Management |
| 1.13 | Elect Director Lorenzo H. Zambrano | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 5 | Approve Qualified Employee Stock Purchase Plan | For | For | Management |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 7 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 8 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |

INVESCO LTD.

Ticker: IVZ Security ID: G491BT108
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 17, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1 | Declassify the Board of Directors | For | For | Management |
| 2.1 | Elect Director Denis Kessler | For | For | Management |
| 2.2 | Elect Director G. Richard ('Rick') Wagoner, Jr. | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |

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JOHN HANCOCK TAX-ADVANTAGED DIVIDEND INCOME FUND

Ticker: HTD Security ID: 41013V100
 Meeting Date: FEB 18, 2014 Meeting Type: Annual
 Record Date: DEC 19, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director James R. Boyle | For | For | Management |
| 1.2 | Elect Director William H. Cunningham | For | For | Management |
| 1.3 | Elect Director Grace K. Fey | For | For | Management |
| 1.4 | Elect Director Hassell H. McClellan | For | For | Management |
| 1.5 | Elect Director Gregory A. Russo | For | For | Management |

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104
 Meeting Date: APR 24, 2014 Meeting Type: Annual
 Record Date: FEB 25, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Mary Sue Coleman | For | For | Management |
| 1b | Elect Director James G. Cullen | For | For | Management |
| 1c | Elect Director Ian E. L. Davis | For | For | Management |
| 1d | Elect Director Alex Gorsky | For | For | Management |
| 1e | Elect Director Susan L. Lindquist | For | For | Management |
| 1f | Elect Director Mark B. McClellan | For | For | Management |
| 1g | Elect Director Anne M. Mulcahy | For | For | Management |
| 1h | Elect Director Leo F. Mullin | For | For | Management |
| 1i | Elect Director William D. Perez | For | For | Management |
| 1j | Elect Director Charles Prince | For | For | Management |
| 1k | Elect Director A. Eugene Washington | For | For | Management |
| 1l | Elect Director Ronald A. Williams | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Stock Retention/Holding Period | Against | For | Shareholder |

JOY GLOBAL INC.

Ticker: JOY Security ID: 481165108
 Meeting Date: MAR 04, 2014 Meeting Type: Annual
 Record Date: JAN 03, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Edward L. Doheny, II | For | For | Management |
| 1.2 | Elect Director Steven L. Gerard | For | For | Management |
| 1.3 | Elect Director John T. Grempe | For | For | Management |
| 1.4 | Elect Director John Nils Hanson | For | For | Management |
| 1.5 | Elect Director Gale E. Klappa | For | For | Management |
| 1.6 | Elect Director Richard B. Loynd | For | For | Management |
| 1.7 | Elect Director P. Eric Siegert | For | For | Management |
| 1.8 | Elect Director James H. Tate | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100

Meeting Date: MAY 20, 2014 Meeting Type: Annual

Record Date: MAR 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Linda B. Bammann | For | For | Management |
| 1b | Elect Director James A. Bell | For | For | Management |
| 1c | Elect Director Crandall C. Bowles | For | For | Management |
| 1d | Elect Director Stephen B. Burke | For | For | Management |
| 1e | Elect Director James S. Crown | For | For | Management |
| 1f | Elect Director James Dimon | For | For | Management |
| 1g | Elect Director Timothy P. Flynn | For | For | Management |
| 1h | Elect Director Laban P. Jackson, Jr. | For | For | Management |
| 1i | Elect Director Michael A. Neal | For | For | Management |
| 1j | Elect Director Lee R. Raymond | For | For | Management |
| 1k | Elect Director William C. Weldon | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 5 | Amend Articles/Bylaws/Charter -- Call Special Meetings | Against | For | Shareholder |
| 6 | Provide for Cumulative Voting | Against | For | Shareholder |

KINDER MORGAN, INC.

Ticker: KMI Security ID: 49456B101

Meeting Date: MAY 19, 2014 Meeting Type: Annual

Record Date: MAR 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Richard D. Kinder | For | For | Management |
| 1.2 | Elect Director Steven J. Kean | For | For | Management |
| 1.3 | Elect Director Anthony W. Hall, Jr. | For | For | Management |
| 1.4 | Elect Director Deborah A. Macdonald | For | For | Management |
| 1.5 | Elect Director Michael J. Miller | For | For | Management |
| 1.6 | Elect Director Michael C. Morgan | For | For | Management |
| 1.7 | Elect Director Fayez Sarofim | For | For | Management |
| 1.8 | Elect Director C. Park Shaper | For | For | Management |
| 1.9 | Elect Director Joel V. Staff | For | For | Management |
| 1.10 | Elect Director John M. Stokes | For | For | Management |
| 1.11 | Elect Director Robert F. Vagt | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Report on Financial Risks of Climate Change | Against | For | Shareholder |
| 4 | Report on Methane Emissions Management, Pipeline Maintenance and Reduction Targets | Against | For | Shareholder |
| 5 | Report on Sustainability | Against | For | Shareholder |

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KNOWLES CORPORATION

Ticker: KN Security ID: 49926D109
 Meeting Date: MAY 13, 2014 Meeting Type: Annual
 Record Date: MAR 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Jeffrey S. Niew | For | For | Management |
| 1.2 | Elect Director Keith L. Barnes | For | For | Management |
| 1.3 | Elect Director Richard K. Lochridge | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

KOHL'S CORPORATION

Ticker: KSS Security ID: 500255104
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 12, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Peter Boneparth | For | For | Management |
| 1b | Elect Director Steven A. Burd | For | For | Management |
| 1c | Elect Director Dale E. Jones | For | For | Management |
| 1d | Elect Director Kevin Mansell | For | For | Management |
| 1e | Elect Director John E. Schlifske | For | For | Management |
| 1f | Elect Director Frank V. Sica | For | For | Management |
| 1g | Elect Director Peter M. Sommerhauser | For | For | Management |
| 1h | Elect Director Stephanie A. Streeter | For | For | Management |
| 1i | Elect Director Nina G. Vaca | For | For | Management |
| 1j | Elect Director Stephen E. Watson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Stock Retention/Holding Period | Against | For | Shareholder |
| 5 | Report on Data Used to Make Environmental Goals and Costs and Benefits of Sustainability Program | Against | Against | Shareholder |

KONINKLIJKE PHILIPS N.V.

Ticker: PHIA Security ID: 500472303
 Meeting Date: MAY 01, 2014 Meeting Type: Annual
 Record Date: APR 03, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | President's Speech | None | None | Management |
| 2a | Discuss Remuneration Report | None | None | Management |
| 2b | Receive Explanation on Company's Reserves and Dividend Policy | None | None | Management |
| 2c | Adopt Financial Statements | For | For | Management |
| 2d | Approve Dividends of EUR 0.80 Per Share | For | For | Management |
| 2e | Approve Discharge of Management Board | For | For | Management |
| 2f | Approve Discharge of Supervisory Board | For | For | Management |

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| | | | | |
|----|--|------|------|------------|
| 3 | Elect Orit Gadiesh to Supervisory Board | For | For | Management |
| 4 | Reappoint KPMG as Auditors for an Interim Period of One Year | For | For | Management |
| 5a | Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital Plus Additional 10 Percent in Case of Takeover/Merger | For | For | Management |
| 5b | Authorize Board to Exclude Preemptive Rights from Issuance under Item 5a | For | For | Management |
| 6 | Authorize Repurchase of Shares | For | For | Management |
| 7 | Approve Cancellation of Repurchased Shares | For | For | Management |
| 8 | Allow Questions | None | None | Management |

KRAFT FOODS GROUP, INC.

Ticker: KRFT Security ID: 50076Q106
 Meeting Date: MAY 06, 2014 Meeting Type: Annual
 Record Date: MAR 03, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director L. Kevin Cox | For | For | Management |
| 1b | Elect Director Myra M. Hart | For | For | Management |
| 1c | Elect Director Peter B. Henry | For | For | Management |
| 1d | Elect Director Terry J. Lundgren | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Prohibit Political Contributions | Against | Against | Shareholder |
| 5 | Adopt Policy to Ensure Consistency between Company Values and Political Contributions | Against | Against | Shareholder |
| 6 | Assess Environmental Impact of Non-Recyclable Packaging | Against | For | Shareholder |
| 7 | Report on Supply Chain and Deforestation | Against | For | Shareholder |
| 8 | Adopt Policy to Require Suppliers to Eliminate Cattle Dehorning | Against | Against | Shareholder |
| 9 | Support for Animal Welfare Improvements in the Company's Pork Supply Chain | For | For | Shareholder |

LENDER PROCESSING SERVICES, INC.

Ticker: LPS Security ID: 52602E102
 Meeting Date: DEC 19, 2013 Meeting Type: Special
 Record Date: OCT 29, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Advisory Vote on Golden Parachutes | For | For | Management |
| 3 | Adjourn Meeting | For | For | Management |

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LORILLARD, INC.

Ticker: LO Security ID: 544147101
 Meeting Date: MAY 15, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Director Dianne Neal Blixt | For | For | Management |
| 1.2 | Elect Director Andrew H. Card, Jr. | For | For | Management |
| 1.3 | Elect Director Virgis W. Colbert | For | For | Management |
| 1.4 | Elect Director David E.R. Dangoor | For | For | Management |
| 1.5 | Elect Director Murray S. Kessler | For | For | Management |
| 1.6 | Elect Director Jerry W. Levin | For | For | Management |
| 1.7 | Elect Director Richard W. Roedel | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Inform Poor and Less Educated on Health Consequences of Tobacco | Against | Against | Shareholder |

MATTEL, INC.

Ticker: MAT Security ID: 577081102
 Meeting Date: MAY 16, 2014 Meeting Type: Annual
 Record Date: MAR 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Michael J. Dolan | For | For | Management |
| 1b | Elect Director Trevor A. Edwards | For | For | Management |
| 1c | Elect Director Frances D. Fergusson | For | For | Management |
| 1d | Elect Director Dominic Ng | For | For | Management |
| 1e | Elect Director Vasant M. Prabhu | For | For | Management |
| 1f | Elect Director Andrea L. Rich | For | For | Management |
| 1g | Elect Director Dean A. Scarborough | For | For | Management |
| 1h | Elect Director Christopher A. Sinclair | For | For | Management |
| 1i | Elect Director Bryan G. Stockton | For | For | Management |
| 1j | Elect Director Dirk Van de Put | For | For | Management |
| 1k | Elect Director Kathy White Loyd | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101
 Meeting Date: MAY 22, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Susan E. Arnold | For | For | Management |
| 1b | ElectionElect Director Richard H. Lenny | For | For | Management |
| 1c | Elect Director Walter E. Massey | For | For | Management |

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|----|--|---------|-----|-------------|
| 1d | Elect Director Cary D. McMillan | For | For | Management |
| 1e | Elect Director Sheila A. Penrose | For | For | Management |
| 1f | Elect Director John W. Rogers, Jr. | For | For | Management |
| 1g | Elect Director Roger W. Stone | For | For | Management |
| 1h | Elect Director Miles D. White | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |

MEDTRONIC, INC.

Ticker: MDT Security ID: 585055106
 Meeting Date: AUG 22, 2013 Meeting Type: Annual
 Record Date: JUL 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Richard H. Anderson | For | For | Management |
| 1.2 | Elect Director Scott C. Donnelly | For | For | Management |
| 1.3 | Elect Director Victor J. Dzau | For | For | Management |
| 1.4 | Elect Director Omar Ishrak | For | For | Management |
| 1.5 | Elect Director Shirley Ann Jackson | For | For | Management |
| 1.6 | Elect Director Michael O. Leavitt | For | For | Management |
| 1.7 | Elect Director James T. Lenehan | For | For | Management |
| 1.8 | Elect Director Denise M. O'Leary | For | For | Management |
| 1.9 | Elect Director Kendall J. Powell | For | For | Management |
| 1.10 | Elect Director Robert C. Pozen | For | For | Management |
| 1.11 | Elect Director Preetha Reddy | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Adopt Majority Voting for Uncontested Election of Directors | For | For | Management |
| 6 | Reduce Supermajority Vote Requirement for Establishing Range For Board Size | For | For | Management |
| 7 | Reduce Supermajority Vote Requirement for Removal of Directors | For | For | Management |
| 8 | Reduce Supermajority Vote Requirement for Amendment of Articles | For | For | Management |
| 9 | Rescind Fair Price Provision | For | For | Management |

MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105
 Meeting Date: MAY 27, 2014 Meeting Type: Annual
 Record Date: MAR 31, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Leslie A. Brun | For | For | Management |
| 1b | Elect Director Thomas R. Cech | For | For | Management |
| 1c | Elect Director Kenneth C. Frazier | For | For | Management |
| 1d | Elect Director Thomas H. Gloner | For | For | Management |
| 1e | Elect Director William B. Harrison, Jr. | For | For | Management |
| 1f | Elect Director C. Robert Kidder | For | For | Management |

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|----|---|---------|-----|-------------|
| 1g | Elect Director Rochelle B. Lazarus | For | For | Management |
| 1h | Elect Director Carlos E. Represas | For | For | Management |
| 1i | Elect Director Patricia F. Russo | For | For | Management |
| 1j | Elect Director Craig B. Thompson | For | For | Management |
| 1k | Elect Director Wendell P. Weeks | For | For | Management |
| 1l | Elect Director Peter C. Wendell | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 5 | Amend Articles/Bylaws/Charter -- Call Special Meetings | Against | For | Shareholder |

METLIFE, INC.

Ticker: MET Security ID: 59156R108
 Meeting Date: APR 22, 2014 Meeting Type: Annual
 Record Date: FEB 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Cheryl W. Grise | For | For | Management |
| 1.2 | Elect Director Carlos M. Gutierrez | For | For | Management |
| 1.3 | Elect Director R. Glenn Hubbard | For | For | Management |
| 1.4 | Elect Director Steven A. Kandarian | For | For | Management |
| 1.5 | Elect Director John M. Keane | For | For | Management |
| 1.6 | Elect Director Alfred F. Kelly, Jr. | For | For | Management |
| 1.7 | Elect Director William E. Kennard | For | For | Management |
| 1.8 | Elect Director James M. Kilts | For | For | Management |
| 1.9 | Elect Director Catherine R. Kinney | For | For | Management |
| 1.10 | Elect Director Denise M. Morrison | For | For | Management |
| 1.11 | Elect Director Kenton J. Sicchitano | For | For | Management |
| 1.12 | Elect Director Lulu C. Wang | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Approve Non-Employee Director Omnibus Stock Plan | For | For | Management |

MICROCHIP TECHNOLOGY INCORPORATED

Ticker: MCHP Security ID: 595017104
 Meeting Date: AUG 16, 2013 Meeting Type: Annual
 Record Date: JUN 20, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Steve Sanghi | For | For | Management |
| 1.2 | Elect Director Matthew W. Chapman | For | For | Management |
| 1.3 | Elect Director L.B. Day | For | For | Management |
| 1.4 | Elect Director Albert J. Hugo-Martinez | For | For | Management |
| 1.5 | Elect Director Wade F. Meyercord | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104
 Meeting Date: NOV 19, 2013 Meeting Type: Annual
 Record Date: SEP 13, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Elect Director Steven A. Ballmer | For | For | Management |
| 2 | Elect Director Dina Dublon | For | For | Management |
| 3 | Elect Director William H. Gates | For | For | Management |
| 4 | Elect Director Maria M. Klawe | For | For | Management |
| 5 | Elect Director Stephen J. Luczo | For | For | Management |
| 6 | Elect Director David F. Marquardt | For | For | Management |
| 7 | Elect Director Charles H. Noski | For | For | Management |
| 8 | Elect Director Helmut Panke | For | For | Management |
| 9 | Elect Director John W. Thompson | For | For | Management |
| 10 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 11 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 12 | Ratify Auditors | For | For | Management |

MID-AMERICA APARTMENT COMMUNITIES, INC.

Ticker: MAA Security ID: 59522J103
 Meeting Date: SEP 27, 2013 Meeting Type: Special
 Record Date: AUG 22, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Approve Omnibus Stock Plan | For | For | Management |
| 3 | Adjourn Meeting | For | For | Management |

MID-AMERICA APARTMENT COMMUNITIES, INC.

Ticker: MAA Security ID: 59522J103
 Meeting Date: MAY 22, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director H. Eric Bolton, Jr. | For | For | Management |
| 1b | Elect Director Alan B. Graf, Jr. | For | For | Management |
| 1c | Elect Director Ralph Horn | For | For | Management |
| 1d | Elect Director James K. Lowder | For | For | Management |
| 1e | Elect Director Thomas H. Lowder | For | For | Management |
| 1f | Elect Director Claude B. Nielsen | For | For | Management |
| 1g | Elect Director Philip W. Norwood | For | For | Management |
| 1h | Elect Director Harold W. Ripps | For | For | Management |
| 1i | Elect Director W. Reid Sanders | For | For | Management |
| 1j | Elect Director William B. Sansom | For | For | Management |
| 1k | Elect Director Gary Shorb | For | For | Management |
| 1l | Elect Director John W. Spiegel | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

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| | | | | |
|---|----------------------------------|-----|-----|------------|
| | Executive Officers' Compensation | | | |
| 4 | Ratify Auditors | For | For | Management |

MOLSON COORS BREWING COMPANY

Ticker: TAP Security ID: 60871R209
 Meeting Date: JUN 04, 2014 Meeting Type: Annual
 Record Date: APR 07, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Roger G. Eaton | For | For | Management |
| 1.2 | Elect Director Charles M. Herington | For | For | Management |
| 1.3 | Elect Director H. Sanford Riley | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101
 Meeting Date: MAY 14, 2014 Meeting Type: Annual
 Record Date: APR 01, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1A | Elect Director Merrill A. Miller, Jr. | For | For | Management |
| 1B | Elect Director Clay C. Williams | For | For | Management |
| 1C | Elect Director Greg L. Armstrong | For | For | Management |
| 1D | Elect Director Robert E. Beauchamp | For | For | Management |
| 1E | Elect Director Marcela E. Donadio | For | For | Management |
| 1F | Elect Director Ben A. Guill | For | For | Management |
| 1G | Elect Director David D. Harrison | For | For | Management |
| 1H | Elect Director Roger L. Jarvis | For | For | Management |
| 1I | Elect Director Eric L. Mattson | For | For | Management |
| 1K | Elect Director Jeffery A. Smisek | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

NEW RESIDENTIAL INVESTMENT CORP.

Ticker: NRZ Security ID: 64828T102
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: APR 02, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Alan L. Tyson | For | For | Management |
| 1.2 | Elect Director David Saltzman | For | Withhold | Management |
| 2 | Ratify Auditors | For | For | Management |

NORFOLK SOUTHERN CORPORATION

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Ticker: NSC Security ID: 655844108
 Meeting Date: MAY 08, 2014 Meeting Type: Annual
 Record Date: FEB 27, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Thomas D. Bell, Jr. | For | For | Management |
| 1.2 | Elect Director Erskine B. Bowles | For | For | Management |
| 1.3 | Elect Director Robert A. Bradway | For | For | Management |
| 1.4 | Elect Director Wesley G. Bush | For | For | Management |
| 1.5 | Elect Director Daniel A. Carp | For | For | Management |
| 1.6 | Elect Director Karen N. Horn | For | For | Management |
| 1.7 | Elect Director Steven F. Leer | For | For | Management |
| 1.8 | Elect Director Michael D. Lockhart | For | For | Management |
| 1.9 | Elect Director Amy E. Miles | For | For | Management |
| 1.10 | Elect Director Charles W. Moorman, IV | For | For | Management |
| 1.11 | Elect Director Martin H. Nesbitt | For | For | Management |
| 1.12 | Elect Director James A. Squires | For | For | Management |
| 1.13 | Elect Director John R. Thompson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

NTT DOCOMO INC.

Ticker: 9437 Security ID: 62942M201
 Meeting Date: JUN 19, 2014 Meeting Type: Annual
 Record Date: MAR 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1 | Approve Allocation of Income, with a Final Dividend of JPY 30 | For | For | Management |
| 2.1 | Elect Director Kato, Kaoru | For | Against | Management |
| 2.2 | Elect Director Yoshizawa, Kazuhiro | For | For | Management |
| 2.3 | Elect Director Sakai, Yoshikiyo | For | For | Management |
| 2.4 | Elect Director Terasaki, Akira | For | For | Management |
| 2.5 | Elect Director Onoe, Seizo | For | For | Management |
| 2.6 | Elect Director Sato, Hirotaka | For | For | Management |
| 2.7 | Elect Director Takagi, Kazuhiro | For | For | Management |
| 2.8 | Elect Director Asami, Hiroyasu | For | For | Management |
| 2.9 | Elect Director Suto, Shoji | For | For | Management |
| 2.10 | Elect Director Omatsuzawa, Kiyohiro | For | For | Management |
| 2.11 | Elect Director Nakayama, Toshiki | For | For | Management |
| 2.12 | Elect Director Kii, Hajime | For | For | Management |
| 2.13 | Elect Director Tani, Makoto | For | For | Management |
| 2.14 | Elect Director Murakami, Teruyasu | For | For | Management |
| 2.15 | Elect Director Nakamura, Takashi | For | For | Management |
| 3.1 | Appoint Statutory Auditor Kobayashi, Toru | For | For | Management |
| 3.2 | Appoint Statutory Auditor Okihara, Toshimune | For | Against | Management |

OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105

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Meeting Date: MAY 02, 2014 Meeting Type: Annual

Record Date: MAR 13, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Spencer Abraham | For | For | Management |
| 1.2 | Elect Director Howard I. Atkins | For | For | Management |
| 1.3 | Elect Director Eugene L. Batchelder | For | For | Management |
| 1.4 | Elect Director Stephen I. Chazen | For | For | Management |
| 1.5 | Elect Director Edward P. Djerejian | For | For | Management |
| 1.6 | Elect Director John E. Feick | For | For | Management |
| 1.7 | Elect Director Margaret M. Foran | For | For | Management |
| 1.8 | Elect Director Carlos M. Gutierrez | For | For | Management |
| 1.9 | Elect Director William R. Klesse | For | For | Management |
| 1.10 | Elect Director Avedick B. Poladian | For | For | Management |
| 1.11 | Elect Director Elisse B. Walter | For | For | Management |
| 2 | Remove Age Restriction for Directors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | For | For | Management |
| 5 | Separate the Roles of the Chairman of the Board and the Chief Executive Officer | For | For | Management |
| 6 | Ratify Auditors | For | For | Management |
| 7 | Stock Retention/Holding Period | Against | For | Shareholder |
| 8 | Review and Assess Membership of Lobbying Organizations | Against | For | Shareholder |
| 9 | Report on Management of Hydraulic Fracturing Risks and Opportunities | Against | For | Shareholder |
| 10 | Report on Methane Emissions Management and Reduction Targets | Against | For | Shareholder |

OLD REPUBLIC INTERNATIONAL CORPORATION

Ticker: ORI Security ID: 680223104

Meeting Date: MAY 23, 2014 Meeting Type: Annual

Record Date: MAR 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director James C. Hellauer | For | For | Management |
| 1.2 | Elect Director Arnold L. Steiner | For | For | Management |
| 1.3 | Elect Director Fredricka Taubitz | For | For | Management |
| 1.4 | Elect Director Aldo C. Zucaro | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

ORANGE

Ticker: ORA Security ID: 684060106

Meeting Date: MAY 27, 2014 Meeting Type: Annual/Special

Record Date: APR 25, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Consolidated Financial | For | For | Management |

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| | | | | |
|----|--|-----|---------|------------|
| | Statements and Statutory Reports | | | |
| 3 | Approve Allocation of Income and Dividends of EUR 0.80 per Share | For | For | Management |
| 4 | Approve Transaction with Bernard Dufau Re: Compensation | For | For | Management |
| 5 | Reelect Stephane Richard as Director | For | Against | Management |
| 6 | Elect Patrice Brunet as Representative of Employee Shareholders to the Board | For | Against | Management |
| 7 | Elect Jean-Luc Burgain as Representative of Employee Shareholders to the Board | For | Against | Management |
| 8 | Approve Remuneration of Directors in the Aggregate Amount of EUR 750,000 | For | For | Management |
| 9 | Advisory Vote on Compensation of Stephane Richard, Chairman and CEO | For | For | Management |
| 10 | Advisory Vote on Compensation of Gervais Pellissier, Vice-CEO | For | For | Management |
| 11 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |
| 12 | Amend Article 15.1 of Bylaws Re: Board Decisions | For | For | Management |
| 13 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares | For | For | Management |
| 14 | Authorize Filing of Required Documents/Other Formalities | For | For | Management |

PARKER-HANNIFIN CORPORATION

Ticker: PH Security ID: 701094104
 Meeting Date: OCT 23, 2013 Meeting Type: Annual
 Record Date: AUG 30, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Robert G. Bohn | For | For | Management |
| 1.2 | Elect Director Linda S. Harty | For | For | Management |
| 1.3 | Elect Director William E. Kassling | For | For | Management |
| 1.4 | Elect Director Robert J. Kohlhepp | For | For | Management |
| 1.5 | Elect Director Kevin A. Lobo | For | For | Management |
| 1.6 | Elect Director Klaus-Peter Muller | For | Withhold | Management |
| 1.7 | Elect Director Candy M. Obourn | For | For | Management |
| 1.8 | Elect Director Joseph M. Scaminace | For | For | Management |
| 1.9 | Elect Director Wolfgang R. Schmitt | For | For | Management |
| 1.10 | Elect Director Ake Svensson | For | For | Management |
| 1.11 | Elect Director James L. Wainscott | For | For | Management |
| 1.12 | Elect Director Donald E. Washkewicz | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

PAYCHEX, INC.

Ticker: PAYX Security ID: 704326107
 Meeting Date: OCT 16, 2013 Meeting Type: Annual
 Record Date: AUG 19, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|--|-----|-----|------------|
| 1a | Elect Director B. Thomas Golisano | For | For | Management |
| 1b | Elect Director Joseph G. Doody | For | For | Management |
| 1c | Elect Director David J. S. Flaschen | For | For | Management |
| 1d | Elect Director Phillip Horsley | For | For | Management |
| 1e | Elect Director Grant M. Inman | For | For | Management |
| 1f | Elect Director Pamela A. Joseph | For | For | Management |
| 1g | Elect Director Martin Mucci | For | For | Management |
| 1h | Elect Director Joseph M. Tucci | For | For | Management |
| 1i | Elect Director Joseph M. Velli | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

PEOPLE'S UNITED FINANCIAL, INC.

Ticker: PBCT Security ID: 712704105
 Meeting Date: APR 17, 2014 Meeting Type: Annual
 Record Date: FEB 19, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director John P. Barnes | For | For | Management |
| 1.2 | Elect Director Collin P. Baron | For | For | Management |
| 1.3 | Elect Director Kevin T. Bottomley | For | For | Management |
| 1.4 | Elect Director John K. Dwight | For | For | Management |
| 1.5 | Elect Director Janet M. Hansen | For | For | Management |
| 1.6 | Elect Director Richard M. Hoyt | For | For | Management |
| 1.7 | Elect Director Nancy McAllister | For | For | Management |
| 1.8 | Elect Director Mark W. Richards | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Amend Non-Employee Director Restricted Stock Plan | For | For | Management |
| 5 | Ratify Auditors | For | For | Management |

PEPSICO, INC.

Ticker: PEP Security ID: 713448108
 Meeting Date: MAY 07, 2014 Meeting Type: Annual
 Record Date: FEB 28, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Shona L. Brown | For | For | Management |
| 1b | Elect Director George W. Buckley | For | For | Management |
| 1c | Elect Director Ian M. Cook | For | For | Management |
| 1d | Elect Director Dina Dublon | For | For | Management |
| 1e | Elect Director Rona A. Fairhead | For | For | Management |
| 1f | Elect Director Ray L. Hunt | For | For | Management |
| 1g | Elect Director Alberto Ibarguen | For | For | Management |
| 1h | Elect Director Indra K. Nooyi | For | For | Management |
| 1i | Elect Director Sharon Percy Rockefeller | For | For | Management |
| 1j | Elect Director James J. Schiro | For | For | Management |
| 1k | Elect Director Lloyd G. Trotter | For | For | Management |
| 1l | Elect Director Daniel Vasella | For | For | Management |
| 1m | Elect Director Alberto Weisser | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |

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| | | | | |
|---|--|---------|---------|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 5 | Require Shareholder Vote to Approve Political Contributions Policy | Against | Against | Shareholder |
| 6 | Stock Retention/Holding Period | Against | For | Shareholder |

PFIZER INC.

Ticker: PFE Security ID: 717081103
 Meeting Date: APR 24, 2014 Meeting Type: Annual
 Record Date: FEB 26, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Dennis A. Ausiello | For | For | Management |
| 1.2 | Elect Director W. Don Cornwell | For | For | Management |
| 1.3 | Elect Director Frances D. Fergusson | For | For | Management |
| 1.4 | Elect Director Helen H. Hobbs | For | For | Management |
| 1.5 | Elect Director Constance J. Horner | For | For | Management |
| 1.6 | Elect Director James M. Kilts | For | For | Management |
| 1.7 | Elect Director George A. Lorch | For | For | Management |
| 1.8 | Elect Director Shantanu Narayan | For | For | Management |
| 1.9 | Elect Director Suzanne Nora Johnson | For | For | Management |
| 1.10 | Elect Director Ian C. Read | For | For | Management |
| 1.11 | Elect Director Stephen W. Sanger | For | For | Management |
| 1.12 | Elect Director Marc Tessier-Lavigne | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Require Shareholder Vote to Approve Political Contributions Policy | Against | Against | Shareholder |
| 6 | Review and Assess Membership of Lobbying Organizations | Against | Against | Shareholder |
| 7 | Provide Right to Act by Written Consent | Against | For | Shareholder |

PG&E CORPORATION

Ticker: PCG Security ID: 69331C108
 Meeting Date: MAY 12, 2014 Meeting Type: Annual
 Record Date: MAR 13, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Lewis Chew | For | For | Management |
| 1.2 | Elect Director Anthony F. Earley, Jr. | For | For | Management |
| 1.3 | Elect Director Fred J. Fowler | For | For | Management |
| 1.4 | Elect Director Maryellen C. Herringer | For | For | Management |
| 1.5 | Elect Director Richard C. Kelly | For | For | Management |
| 1.6 | Elect Director Roger H. Kimmel | For | For | Management |
| 1.7 | Elect Director Richard A. Meserve | For | For | Management |
| 1.8 | Elect Director Forrest E. Miller | For | For | Management |
| 1.9 | Elect Director Rosendo G. Parra | For | For | Management |
| 1.10 | Elect Director Barbara L. Rambo | For | For | Management |
| 1.11 | Elect Director Barry Lawson Williams | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 4 | Executive Officers' Compensation Approve Omnibus Stock Plan | For | For | Management |
|---|--|-----|-----|------------|

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109
 Meeting Date: MAY 07, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Harold Brown | For | For | Management |
| 1.2 | Elect Director Andre Calantzopoulos | For | For | Management |
| 1.3 | Elect Director Louis C. Camilleri | For | For | Management |
| 1.4 | Elect Director Jennifer Li | For | For | Management |
| 1.5 | Elect Director Sergio Marchionne | For | Against | Management |
| 1.6 | Elect Director Kalpana Morparia | For | For | Management |
| 1.7 | Elect Director Lucio A. Noto | For | For | Management |
| 1.8 | Elect Director Robert B. Polet | For | For | Management |
| 1.9 | Elect Director Carlos Slim Helu | For | For | Management |
| 1.10 | Elect Director Stephen M. Wolf | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Adopt Policy to Restrict Non-Required Animal Testing | Against | Against | Shareholder |

PHILLIPS 66

Ticker: PSX Security ID: 718546104
 Meeting Date: MAY 07, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director William R. Loomis, Jr. | For | For | Management |
| 1b | Elect Director Glenn F. Tilton | For | For | Management |
| 1c | Elect Director Marna C. Whittington | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Quantitative GHG Goals for Operations | Against | For | Shareholder |

POTASH CORPORATION OF SASKATCHEWAN INC.

Ticker: POT Security ID: 73755L107
 Meeting Date: MAY 15, 2014 Meeting Type: Annual/Special
 Record Date: MAR 17, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Christopher M. Burley | For | For | Management |
| 1.2 | Elect Director Donald G. Chynoweth | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.3 | Elect Director William J. Doyle | For | For | Management |
| 1.4 | Elect Director John W. Estey | For | For | Management |
| 1.5 | Elect Director Gerald W. Grandey | For | For | Management |
| 1.6 | Elect Director C. Steven Hoffman | For | For | Management |
| 1.7 | Elect Director Dallas J. Howe | For | For | Management |
| 1.8 | Elect Director Alice D. Laberge | For | For | Management |
| 1.9 | Elect Director Consuelo E. Madere | For | For | Management |
| 1.10 | Elect Director Keith G. Martell | For | For | Management |
| 1.11 | Elect Director Jeffrey J. McCaig | For | For | Management |
| 1.12 | Elect Director Mary Mogford | For | For | Management |
| 1.13 | Elect Director Elena Viyella de Paliza | For | For | Management |
| 2 | Ratify Deloitte LLP as Auditors | For | For | Management |
| 3 | Approve 2014 Performance Option Plan | For | For | Management |
| 4 | Advisory Vote on Executive Compensation Approach | For | For | Management |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103
 Meeting Date: MAR 04, 2014 Meeting Type: Annual
 Record Date: JAN 06, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|------------|
| 1a | Elect Director Barbara T. Alexander | For | For | Management |
| 1b | Elect Director Donald G. Cruickshank | For | For | Management |
| 1c | Elect Director Raymond V. Dittamore | For | For | Management |
| 1d | Elect Director Susan Hockfield | For | For | Management |
| 1e | Elect Director Thomas W. Horton | For | For | Management |
| 1f | Elect Director Paul E. Jacobs | For | For | Management |
| 1g | Elect Director Sherry Lansing | For | For | Management |
| 1h | Elect Director Steven M. Mollenkopf | For | For | Management |
| 1i | Elect Director Duane A. Nelles | For | For | Management |
| 1j | Elect Director Clark T. 'Sandy' Randt, Jr. | For | For | Management |
| 1k | Elect Director Francisco Ros | For | For | Management |
| 1l | Elect Director Jonathan J. Rubinstein | For | For | Management |
| 1m | Elect Director Brent Scowcroft | For | For | Management |
| 1n | Elect Director Marc I. Stern | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

QUEST DIAGNOSTICS INCORPORATED

Ticker: DGX Security ID: 74834L100
 Meeting Date: MAY 21, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Timothy L. Main | For | For | Management |
| 1.2 | Elect Director Timothy M. Ring | For | For | Management |
| 1.3 | Elect Director Daniel C. Stanzione | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Remove Supermajority Vote Requirement | For | For | Management |
| 4 | Provide Right to Call Special Meeting | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
|---|--|-----|-----|------------|

RIO TINTO PLC

Ticker: RIO Security ID: 767204100
 Meeting Date: APR 15, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Remuneration Policy | For | For | Management |
| 3 | Approve Remuneration Report for UK Law Purposes | For | For | Management |
| 4 | Approve Remuneration Report for Australian Law Purposes | For | For | Management |
| 5 | Approve Potential Termination Benefits | For | For | Management |
| 6 | Elect Anne Lauvergeon as Director | For | For | Management |
| 7 | Elect Simon Thompson as Director | For | For | Management |
| 8 | Re-elect Robert Brown as Director | For | For | Management |
| 9 | Re-elect Jan du Plessis as Director | For | For | Management |
| 10 | Re-elect Michael Fitzpatrick as Director | For | For | Management |
| 11 | Re-elect Ann Godbehere as Director | For | For | Management |
| 12 | Re-elect Richard Goodmanson as Director | For | For | Management |
| 13 | Re-elect Lord Kerr as Director | For | For | Management |
| 14 | Re-elect Chris Lynch as Director | For | For | Management |
| 15 | Re-elect Paul Tellier as Director | For | For | Management |
| 16 | Re-elect John Varley as Director | For | For | Management |
| 17 | Re-elect Sam Walsh as Director | For | For | Management |
| 18 | Reappoint PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 19 | Authorise the Audit Committee to Fix Remuneration of Auditors | For | For | Management |
| 20 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 21 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 22 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 23 | Authorise the Company to Call EGM with Two Weeks' Notice | For | For | Management |
| 24 | Approve Scrip Dividend | For | For | Management |

ROYAL DUTCH SHELL PLC

Ticker: RDSA Security ID: 780259206
 Meeting Date: MAY 20, 2014 Meeting Type: Annual
 Record Date: APR 10, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Remuneration Policy | For | For | Management |
| 3 | Approve Remuneration Report | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 4 | Elect Euleen Goh as Director | For | For | Management |
| 5 | Elect Patricia Woertz as Director | For | For | Management |
| 6 | Elect Ben van Beurden as Director | For | For | Management |
| 7 | Re-elect Guy Elliott as Director | For | For | Management |
| 8 | Re-elect Simon Henry as Director | For | For | Management |
| 9 | Re-elect Charles Holliday as Director | For | For | Management |
| 10 | Re-elect Gerard Kleisterlee as Director | For | For | Management |
| 11 | Re-elect Jorma Ollila as Director | For | For | Management |
| 12 | Re-elect Sir Nigel Sheinwald as Director | For | For | Management |
| 13 | Re-elect Linda Stuntz as Director | For | For | Management |
| 14 | Re-elect Hans Wijers as Director | For | For | Management |
| 15 | Re-elect Gerrit Zalm as Director | For | For | Management |
| 16 | Reappoint PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 17 | Authorise Board to Fix Remuneration of Auditors | For | For | Management |
| 18 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 19 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 20 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 21 | Approve Long Term Incentive Plan | For | For | Management |
| 22 | Approve Deferred Bonus Plan | For | For | Management |
| 23 | Approve Restricted Share Plan | For | For | Management |
| 24 | Approve EU Political Donations and Expenditure | For | For | Management |

SANOFI

Ticker: SAN Security ID: 80105N105
 Meeting Date: MAY 05, 2014 Meeting Type: Annual
 Record Date: MAR 27, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Approve Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Consolidated Financial Statements and Statutory Reports | For | For | Management |
| 3 | Approve Allocation of Income and Dividends of EUR 2.80 per Share | For | For | Management |
| 4 | Approve Auditors' Special Report on Related-Party Transactions | For | For | Management |
| 5 | Reelect Christopher Viehbacher as Director | For | For | Management |
| 6 | Reelect Robert Castaigne as Director | For | For | Management |
| 7 | Reelect Christian Mulliez as Director | For | For | Management |
| 8 | Elect Patrick Kron as Director | For | For | Management |
| 9 | Advisory Vote on Compensation of Serge Weinberg, Chairman | For | For | Management |
| 10 | Advisory Vote on Compensation of Christopher Viehbacher, CEO | For | For | Management |
| 11 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |
| 12 | Authorize Filing of Required Documents/Other Formalities | For | For | Management |

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SEASPAN CORPORATION

Ticker: SSW Security ID: Y75638109
 Meeting Date: JAN 28, 2014 Meeting Type: Special
 Record Date: DEC 02, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Preferred Share Amendment | For | Against | Management |
| 2 | Approve the Declassification Amendment | For | For | Management |

SEASPAN CORPORATION

Ticker: SSW Security ID: Y75638109
 Meeting Date: APR 25, 2014 Meeting Type: Annual
 Record Date: MAR 11, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect John C. Hsu as Director | For | For | Management |
| 1b | Elect David Lyall as Director | For | Withhold | Management |
| 1c | Elect Peter S. Shaerf Director | For | For | Management |
| 2 | Ratify KMPG LLP, Chartered Accountants as Auditors | For | For | Management |
| 3 | Amend Articles of Incorporation to Declassify the Board of Directors and Provide Annual Election of Member of the Board | For | For | Management |
| 4 | Amend Articles of Incorporation to Increase the Size of the Board | For | For | Management |
| 5 | Amend Articles of Incorporation and Bylaws to Decrease the Shareholder Supermajority Voting Requirements to Amend Certain Articles of Incorporation and Bylaws | For | For | Management |

SILVER WHEATON CORP.

Ticker: SLW Security ID: 828336107
 Meeting Date: MAY 09, 2014 Meeting Type: Annual/Special
 Record Date: MAR 21, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| a1 | Elect Director Lawrence I. Bell | For | For | Management |
| a2 | Elect Director George L. Brack | For | For | Management |
| a3 | Elect Director John A. Brough | For | For | Management |
| a4 | Elect Director R. Peter Gillin | For | For | Management |
| a5 | Elect Director Chantal Gosselin | For | For | Management |
| a6 | Elect Director Douglas M. Holtby | For | For | Management |
| a7 | Elect Director Eduardo Luna | For | For | Management |
| a8 | Elect Director Wade D. Nesmith | For | For | Management |
| a9 | Elect Director Randy V.J. Smallwood | For | For | Management |
| b | Approve Deloitte LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| c | Advisory Vote on Executive Compensation Approach | For | For | Management |
| d | Amend Share Option Plan | For | For | Management |
| e | Approve Advance Notice Policy | For | For | Management |
| f | Amend Quorum Requirements | For | For | Management |
| g | Allow Electronic Distribution of Company Communications | For | For | Management |

SPECTRA ENERGY CORP

Ticker: SE Security ID: 847560109
 Meeting Date: APR 15, 2014 Meeting Type: Annual
 Record Date: FEB 18, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Gregory L. Ebel | For | For | Management |
| 1b | Elect Director Austin A. Adams | For | For | Management |
| 1c | Elect Director Joseph Alvarado | For | For | Management |
| 1d | Elect Director Pamela L. Carter | For | For | Management |
| 1e | Elect Director Clarence P. Cazalot, Jr. | For | For | Management |
| 1f | Elect Director F. Anthony Comper | For | For | Management |
| 1g | Elect Director Peter B. Hamilton | For | For | Management |
| 1h | Elect Director Michael McShane | For | For | Management |
| 1i | Elect Director Michael G. Morris | For | For | Management |
| 1j | Elect Director Michael E. J. Phelps | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Political Contributions | Against | For | Shareholder |
| 5 | Report on Methane Emissions | Against | For | Shareholder |

STATOIL ASA

Ticker: STL Security ID: 85771P102
 Meeting Date: MAY 14, 2014 Meeting Type: Annual
 Record Date: MAR 31, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Open Meeting | None | None | Management |
| 2 | Registration of Attending Shareholders and Proxies | None | None | Management |
| 3 | Elect Chairman of Meeting | For | For | Management |
| 4 | Approve Notice of Meeting and Agenda | For | For | Management |
| 5 | Designate Inspector(s) of Minutes of Meeting | For | For | Management |
| 6 | Accept Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of NOK 7.00 Per Share | For | For | Management |
| 7 | Withdraw Company from Tar Sands Activities in Canada | Against | Against | Shareholder |
| 8 | Withdraw Company from Ice-Laden Activities in the Arctic | Against | Against | Shareholder |
| 9 | Approve Company's Corporate Governance Statement | For | For | Management |
| 10 | Approve Remuneration Policy And Other | For | Against | Management |

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| | | | | |
|-----|--|---------|---------|-------------|
| | Terms of Employment For Executive Management | | | |
| 11 | Approve Remuneration of Auditors | For | For | Management |
| 12a | Reelect Olaug Svarva as Member of Corporate Assembly | For | For | Management |
| 12b | Reelect Idar Kreutzer as Member of Corporate Assembly | For | For | Management |
| 12c | Reelect Karin Aslaksen as Member of Corporate Assembly | For | For | Management |
| 12d | Reelect Greger Mannsverk as Member of Corporate Assembly | For | For | Management |
| 12e | Reelect Steinar Olsen as Member of Corporate Assembly | For | For | Management |
| 12f | Reelect Ingvald Strommen as Member of Corporate Assembly | For | For | Management |
| 12g | Reelect Rune Bjerke as Member of Corporate Assembly | For | For | Management |
| 12h | Reelect Siri Kalvig as Member of Corporate Assembly | For | For | Management |
| 12i | Reelect Barbro Haetta as Member of Corporate Assembly | For | For | Management |
| 12j | Elect Terje Venold as Member of Corporate Assembly | For | For | Management |
| 12k | Elect Tone Lunde Bakker as Member of Corporate Assembly | For | For | Management |
| 12l | Elect Linda Litlekalsoy Aase as Member of Corporate Assembly | For | For | Management |
| 12m | Reelect Arthur Sletteberg as Deputy Member of Corporate Assembly | For | For | Management |
| 12n | Reelect Bassim Haj as Deputy Member of Corporate Assembly | For | For | Management |
| 12o | Elect Nina Kivijervi as Deputy Member of Corporate Assembly | For | For | Management |
| 12p | Elect Birgitte Vartdal as Deputy Members of Corporate Assembly | For | For | Management |
| 13 | Approve Remuneration of Corporate Assembly in the Amount of NOK 116,400 for the Chairman, NOK 61,400 for the Vice Chairman, NOK 43,100 for Other Members, and NOK 6,100 for Deputy Members | For | For | Management |
| 14a | Reelect Olaug Svarva as Member and Chairman of Nominating Committee | For | For | Management |
| 14b | Reelect Tom Rathe as Member of Nominating Committee | For | For | Management |
| 14c | Reelect Elisabeth Berge as Member of Nominating Committee with Johan Alstad as Personal Deputy | For | For | Management |
| 14d | Elect Tone Bakker as New Member of Nominating Committee | For | For | Management |
| 15 | Approve Remuneration of Nominating Committee in the Amount of NOK 11,600 for the Chairman and NOK 8,600 for Other Members | For | For | Management |
| 16 | Approve Quaterly Dividend Payment | For | For | Management |
| 17 | Approve Equity Plan Financing | For | Against | Management |
| 18 | Authorize Share Repurchase Program and Cancellation of Repurchased Shares | For | For | Management |
| 19 | Terminate Engagement in Angola and Azerbaijan | Against | Against | Shareholder |

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STMICROELECTRONICS NV

Ticker: STM Security ID: 861012102
 Meeting Date: DEC 02, 2013 Meeting Type: Special
 Record Date: NOV 04, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Open Meeting | None | None | Management |
| 2 | Approve Granting of Quarterly Dividends of USD 0.10 per Share | For | For | Management |
| 3 | Authorize Supervisory Board to Decide on Dividend Payments In Addition to Shareholders | For | For | Management |
| 4 | Close Meeting | None | None | Management |

SUNCOR ENERGY INC

Ticker: SU Security ID: 867224107
 Meeting Date: APR 29, 2014 Meeting Type: Annual
 Record Date: MAR 03, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Mel E. Benson | For | For | Management |
| 1.2 | Elect Director Dominic D'Alessandro | For | For | Management |
| 1.3 | Elect Director W. Douglas Ford | For | For | Management |
| 1.4 | Elect Director John D. Gass | For | For | Management |
| 1.5 | Elect Director Paul Haseldonckx | For | For | Management |
| 1.6 | Elect Director John R. Huff | For | For | Management |
| 1.7 | Elect Director Jacques Lamarre | For | For | Management |
| 1.8 | Elect Director Maureen McCaw | For | For | Management |
| 1.9 | Elect Director Michael W. O'Brien | For | For | Management |
| 1.10 | Elect Director James W. Simpson | For | For | Management |
| 1.11 | Elect Director Eira M. Thomas | For | For | Management |
| 1.12 | Elect Director Steven W. Williams | For | For | Management |
| 1.13 | Elect Director Michael M. Wilson | For | For | Management |
| 2 | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 3 | Advisory Vote on Executive Compensation Approach | For | For | Management |

SYSCO CORPORATION

Ticker: SY Security ID: 871829107
 Meeting Date: NOV 15, 2013 Meeting Type: Annual
 Record Date: SEP 17, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director John M. Cassaday | For | For | Management |
| 1b | Elect Director Jonathan Golden | For | For | Management |
| 1c | Elect Director Joseph A. Hafner, Jr. | For | For | Management |
| 1d | Elect Director Hans-Joachim Koerber | For | For | Management |
| 1e | Elect Director Nancy S. Newcomb | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1f | Elect Director Jackie M. Ward | For | For | Management |
| 2 | Approve Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |

TARGET CORPORATION

Ticker: TGT Security ID: 87612E106
 Meeting Date: JUN 11, 2014 Meeting Type: Annual
 Record Date: APR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Roxanne S. Austin | For | Against | Management |
| 1b | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1c | Elect Director Calvin Darden | For | Against | Management |
| 1d | Elect Director Henrique De Castro | For | Against | Management |
| 1e | Elect Director James A. Johnson | For | Against | Management |
| 1f | Elect Director Mary E. Minnick | For | Against | Management |
| 1g | Elect Director Anne M. Mulcahy | For | Against | Management |
| 1h | Elect Director Derica W. Rice | For | Against | Management |
| 1i | Elect Director Kenneth L. Salazar | For | For | Management |
| 1j | Elect Director John G. Stumpf | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Eliminate Perquisites | Against | Against | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Cease Discrimination in Hiring, Vendor Contracts, or Customer Relations | Against | Against | Shareholder |

THE CLOROX COMPANY

Ticker: CLX Security ID: 189054109
 Meeting Date: NOV 20, 2013 Meeting Type: Annual
 Record Date: SEP 30, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Daniel Boggan, Jr. | For | For | Management |
| 1.2 | Elect Director Richard H. Carmona | For | For | Management |
| 1.3 | Elect Director Tully M. Friedman | For | For | Management |
| 1.4 | Elect Director George J. Harad | For | For | Management |
| 1.5 | Elect Director Donald R. Knauss | For | For | Management |
| 1.6 | Elect Director Esther Lee | For | For | Management |
| 1.7 | Elect Director Robert W. Matschullat | For | For | Management |
| 1.8 | Elect Director Jeffrey Noddle | For | For | Management |
| 1.9 | Elect Director Rogelio Rebolledo | For | For | Management |
| 1.10 | Elect Director Pamela Thomas-Graham | For | For | Management |
| 1.11 | Elect Director Carolyn M. Ticknor | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

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THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100
 Meeting Date: APR 23, 2014 Meeting Type: Annual
 Record Date: FEB 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Herbert A. Allen | For | For | Management |
| 1.2 | Elect Director Ronald W. Allen | For | For | Management |
| 1.3 | Elect Director Ana Botin | For | For | Management |
| 1.4 | Elect Director Howard G. Buffett | For | For | Management |
| 1.5 | Elect Director Richard M. Daley | For | For | Management |
| 1.6 | Elect Director Barry Diller | For | For | Management |
| 1.7 | Elect Director Helene D. Gayle | For | For | Management |
| 1.8 | Elect Director Evan G. Greenberg | For | For | Management |
| 1.9 | Elect Director Alexis M. Herman | For | For | Management |
| 1.10 | Elect Director Muhtar Kent | For | For | Management |
| 1.11 | Elect Director Robert A. Kotick | For | For | Management |
| 1.12 | Elect Director Maria Elena Lagomasino | For | For | Management |
| 1.13 | Elect Director Sam Nunn | For | For | Management |
| 1.14 | Elect Director James D. Robinson, III | For | For | Management |
| 1.15 | Elect Director Peter V. Ueberroth | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109
 Meeting Date: OCT 08, 2013 Meeting Type: Annual
 Record Date: AUG 09, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Angela F. Braly | For | For | Management |
| 1b | Elect Director Kenneth I. Chenault | For | For | Management |
| 1c | Elect Director Scott D. Cook | For | For | Management |
| 1d | Elect Director Susan Desmond-Hellmann | For | For | Management |
| 1e | Elect Director A.G. Lafley | For | For | Management |
| 1f | Elect Director Terry J. Lundgren | For | For | Management |
| 1g | Elect Director W. James McNerney, Jr. | For | For | Management |
| 1h | Elect Director Margaret C. Whitman | For | For | Management |
| 1i | Elect Director Mary Agnes Wilderotter | For | For | Management |
| 1j | Elect Director Patricia A. Woertz | For | For | Management |
| 1k | Elect Director Ernesto Zedillo | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Reduce Supermajority Vote Requirement | For | For | Management |
| 4 | Approve Non-Employee Director Omnibus Stock Plan | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

THE SOUTHERN COMPANY

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Ticker: SO Security ID: 842587107
 Meeting Date: MAY 28, 2014 Meeting Type: Annual
 Record Date: MAR 31, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Juanita Powell Baranco | For | For | Management |
| 1b | Elect Director Jon A. Boscia | For | For | Management |
| 1c | Elect Director Henry A. 'Hal' Clark, III | For | For | Management |
| 1d | Elect Director Thomas A. Fanning | For | For | Management |
| 1e | Elect Director David J. Grain | For | For | Management |
| 1f | Elect Director Veronica M. Hagen | For | For | Management |
| 1g | Elect Director Warren A. Hood, Jr. | For | For | Management |
| 1h | Elect Director Linda P. Hudson | For | For | Management |
| 1i | Elect Director Donald M. James | For | For | Management |
| 1j | Elect Director Dale E. Klein | For | For | Management |
| 1k | Elect Director William G. Smith, Jr. | For | For | Management |
| 1l | Elect Director Steven R. Specker | For | For | Management |
| 1m | Elect Director E. Jenner Wood, III | For | Against | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

THE WESTERN UNION COMPANY

Ticker: WU Security ID: 959802109
 Meeting Date: MAY 16, 2014 Meeting Type: Annual
 Record Date: MAR 20, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Dinyar S. Devitre | For | For | Management |
| 1b | Elect Director Hikmet Ersek | For | For | Management |
| 1c | Elect Director Jack M. Greenberg | For | For | Management |
| 1d | Elect Director Betsy D. Holden | For | For | Management |
| 1e | Elect Director Linda Fayne Levinson | For | For | Management |
| 1f | Elect Director Frances Fragos Townsend | For | For | Management |
| 1g | Elect Director Solomon D. Trujillo | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | Against | Shareholder |
| 5 | Report on Political Contributions | Against | For | Shareholder |
| 6 | Amend Bylaws to Establish a Board Committee on Human Rights | Against | Against | Shareholder |

TIME WARNER INC.

Ticker: TWX Security ID: 887317303
 Meeting Date: JUN 13, 2014 Meeting Type: Annual
 Record Date: APR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director James L. Barksdale | For | For | Management |
| 1.2 | Elect Director William P. Barr | For | For | Management |
| 1.3 | Elect Director Jeffrey L. Bewkes | For | For | Management |

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|------|--|---------|---------|-------------|
| 1.4 | Elect Director Stephen F. Bollenbach | For | For | Management |
| 1.5 | Elect Director Robert C. Clark | For | For | Management |
| 1.6 | Elect Director Mathias Dopfner | For | For | Management |
| 1.7 | Elect Director Jessica P. Einhorn | For | For | Management |
| 1.8 | Elect Director Carlos M. Gutierrez | For | For | Management |
| 1.9 | Elect Director Fred Hassan | For | For | Management |
| 1.10 | Elect Director Kenneth J. Novack | For | For | Management |
| 1.11 | Elect Director Paul D. Wachter | For | For | Management |
| 1.12 | Elect Director Deborah C. Wright | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

TRANSOCEAN LTD.

Ticker: RIG Security ID: H8817H100
Meeting Date: MAY 16, 2014 Meeting Type: Annual
Record Date: APR 29, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Discharge of Board and Senior Management | For | For | Management |
| 3 | Appropriation of Available Earnings for Fiscal Year 2013 | For | For | Management |
| 4 | Approve Dividends of USD 3.00 per Share from Capital Contribution Reserves | For | For | Management |
| 5 | Approve Renewal of the Authorized Share Capital | For | For | Management |
| 6 | Approve Decrease in Size of Board | For | For | Management |
| 7a | Declassify the Board of Directors | For | For | Management |
| 7b | Amend Articles Re: Binding Shareholder Ratification of the Compensation of the Board of Directors and the Executive Management Team | For | For | Management |
| 7c | Amend Articles Re: Supplementary Amount for Persons Assuming Executive Management Team Positions During a Compensation Period for which Shareholder Ratification has Already been Granted | For | For | Management |
| 7d | Amend Articles Re: Principles Applicable to the Compensation of the Members of the Board of Directors and the Executive Management Team | For | For | Management |
| 7e | Amend Articles Re: Maximum Term and Termination Notice Period of Members of the Board of Directors and the Executive Management Team and Non-Competition Agreements with Members of the Executive Management Team | For | For | Management |
| 7f | Amend Articles Re: Permissible Mandates of Members of the Board of Directors and the Executive Management Team | For | For | Management |

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|-----|---|-----|-----|------------|
| 7g | Amend Articles Re: Loans and Post-Retirement Benefits | For | For | Management |
| 8 | Require Majority Vote for the Election of Directors | For | For | Management |
| 9 | Amend Articles Re: Shareholder Agenda Item Requests Pursuant to Swiss Law | For | For | Management |
| 10a | Elect Ian C. Strachan as Director | For | For | Management |
| 10b | Elect Glyn A. Barker as Director | For | For | Management |
| 10c | Elect Vanessa C. L. Chang as Director | For | For | Management |
| 10d | Elect Frederico F. Curado as Director | For | For | Management |
| 10e | Elect Chad Deaton as Director | For | For | Management |
| 10f | Elect Martin B. McNamara as Director | For | For | Management |
| 10g | Elect Samuel Merksamer as Director | For | For | Management |
| 10h | Elect Edward R. Muller as Director | For | For | Management |
| 10i | Elect Steven L. Newman as Director | For | For | Management |
| 10j | Elect Tan Ek Kia as Director | For | For | Management |
| 10k | Elect Vincent J. Intrieri as Director | For | For | Management |
| 11 | Elect Ian C. Strachan as Board Chairman | For | For | Management |
| 12a | Appoint Frederico F. Curado as Member of the Compensation Committee | For | For | Management |
| 12b | Appoint Martin B. McNamara as Member of the Compensation Committee | For | For | Management |
| 12c | Appoint Tan Ek Kia as Member of the Compensation Committee | For | For | Management |
| 12d | Appoint Vincent J. Intrieri as Member of the Compensation Committee | For | For | Management |
| 13 | Designate Schweiger Advokatur/Notariat as Independent Proxy | For | For | Management |
| 14 | Appointment Of Ernst & Young LLP as Independent Registered Public Accounting Firm for Fiscal Year 2014 and Reelection of Ernst & Young Ltd, Zurich as the Company's Auditor for a Further One-Year Term | For | For | Management |
| 15 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 16 | Amend Omnibus Stock Plan | For | For | Management |

U.S. BANCORP

Ticker: USB Security ID: 902973304
 Meeting Date: APR 15, 2014 Meeting Type: Annual
 Record Date: FEB 18, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1b | Elect Director Y. Marc Belton | For | For | Management |
| 1c | Elect Director Victoria Buyniski Gluckman | For | For | Management |
| 1d | Elect Director Arthur D. Collins, Jr. | For | For | Management |
| 1e | Elect Director Richard K. Davis | For | For | Management |
| 1f | Elect Director Roland A. Hernandez | For | For | Management |
| 1g | Elect Director Doreen Woo Ho | For | For | Management |
| 1h | Elect Director Joel W. Johnson | For | For | Management |
| 1i | Elect Director Olivia F. Kirtley | For | For | Management |
| 1j | Elect Director Jerry W. Levin | For | For | Management |
| 1k | Elect Director David B. O'Maley | For | For | Management |
| 1l | Elect Director O'dell M. Owens | For | For | Management |
| 1m | Elect Director Craig D. Schnuck | For | For | Management |

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|----|--|---------|---------|-------------|
| 1n | Elect Director Patrick T. Stokes | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

UNILEVER PLC

Ticker: ULVR Security ID: 904767704
 Meeting Date: MAY 14, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Remuneration Policy | For | For | Management |
| 3 | Approve Remuneration Report | For | For | Management |
| 4 | Re-elect Paul Polman as Director | For | For | Management |
| 5 | Re-elect Jean-Marc Huet as Director | For | For | Management |
| 6 | Re-elect Laura Cha as Director | For | For | Management |
| 7 | Re-elect Louise Fresco as Director | For | For | Management |
| 8 | Re-elect Ann Fudge as Director | For | For | Management |
| 9 | Re-elect Dr Byron Grote as Director | For | For | Management |
| 10 | Re-elect Mary Ma as Director | For | For | Management |
| 11 | Re-elect Hixonia Nyasulu as Director | For | For | Management |
| 12 | Re-elect Sir Malcolm Rifkind as Director | For | For | Management |
| 13 | Re-elect John Rishton as Director | For | For | Management |
| 14 | Re-elect Kees Storm as Director | For | For | Management |
| 15 | Re-elect Michael Treschow as Director | For | For | Management |
| 16 | Re-elect Paul Walsh as Director | For | For | Management |
| 17 | Elect Feike Sijbesma as Director | For | For | Management |
| 18 | Appoint KPMG LLP as Auditors | For | For | Management |
| 19 | Authorise Board to Fix Remuneration of Auditors | For | For | Management |
| 20 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 21 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 22 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 23 | Authorise EU Political Donations and Expenditure | For | For | Management |
| 24 | Authorise the Company to Call EGM with Two Weeks' Notice | For | For | Management |

VODAFONE GROUP PLC

Ticker: VOD Security ID: 92857W209
 Meeting Date: JUL 23, 2013 Meeting Type: Annual
 Record Date: JUN 03, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Re-elect Gerard Kleisterlee as Director | For | For | Management |

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|----|--|-----|-----|------------|
| 3 | Re-elect Vittorio Colao as Director | For | For | Management |
| 4 | Re-elect Andy Halford as Director | For | For | Management |
| 5 | Re-elect Stephen Pusey as Director | For | For | Management |
| 6 | Re-elect Renee James as Director | For | For | Management |
| 7 | Re-elect Alan Jebson as Director | For | For | Management |
| 8 | Re-elect Samuel Jonah as Director | For | For | Management |
| 9 | Elect Omid Kordestani as Director | For | For | Management |
| 10 | Re-elect Nick Land as Director | For | For | Management |
| 11 | Re-elect Anne Lauvergeon as Director | For | For | Management |
| 12 | Re-elect Luc Vandeveld as Director | For | For | Management |
| 13 | Re-elect Anthony Watson as Director | For | For | Management |
| 14 | Re-elect Philip Yea as Director | For | For | Management |
| 15 | Approve Final Dividend | For | For | Management |
| 16 | Approve Remuneration Report | For | For | Management |
| 17 | Reappoint Deloitte LLP as Auditors | For | For | Management |
| 18 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | For | For | Management |
| 19 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 20 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 21 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 22 | Authorise EU Political Donations and Expenditure | For | For | Management |
| 23 | Authorise the Company to Call EGM with Two Weeks' Notice | For | For | Management |

VODAFONE GROUP PLC

Ticker: VOD Security ID: 92857W209
 Meeting Date: JAN 28, 2014 Meeting Type: Special
 Record Date: DEC 12, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Scheme of Arrangement | For | For | Management |
| 1 | Approve Disposal of All of the Shares in Vodafone Americas Finance 1 by Vodafone 4 Limited to Verizon Communications Inc | For | For | Management |
| 2 | Approve Matters Relating to the Return of Value to Shareholders | For | For | Management |
| 3 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 4 | Authorise Board to Ratify and Execute Approved Resolutions | For | For | Management |

W. R. BERKLEY CORPORATION

Ticker: WRB Security ID: 084423102
 Meeting Date: MAY 20, 2014 Meeting Type: Annual
 Record Date: MAR 25, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------|---------|-----------|------------|
| 1.1 | Elect Director George G. Daly | For | Against | Management |
| 1.2 | Elect Director Jack H. Nusbaum | For | Against | Management |

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| | | | | |
|-----|--|-----|---------|------------|
| 1.3 | Elect Director Mark L. Shapiro | For | Against | Management |
| 2 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Ratify Auditors | For | For | Management |

WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103
 Meeting Date: JUN 06, 2014 Meeting Type: Annual
 Record Date: APR 11, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Aida M. Alvarez | For | For | Management |
| 1b | Elect Director James I. Cash, Jr. | For | For | Management |
| 1c | Elect Director Roger C. Corbett | For | For | Management |
| 1d | Elect Director Pamela J. Craig | For | For | Management |
| 1e | Elect Director Douglas N. Daft | For | For | Management |
| 1f | Elect Director Michael T. Duke | For | Against | Management |
| 1g | Elect Director Timothy P. Flynn | For | For | Management |
| 1h | Elect Director Marissa A. Mayer | For | For | Management |
| 1i | Elect Director C. Douglas McMillon | For | For | Management |
| 1j | Elect Director Gregory B. Penner | For | For | Management |
| 1k | Elect Director Steven S Reinemund | For | For | Management |
| 1l | Elect Director Jim C. Walton | For | For | Management |
| 1m | Elect Director S. Robson Walton | For | Against | Management |
| 1n | Elect Director Linda S. Wolf | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Disclosure of Recoupment Activity from Senior Officers | Against | For | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

WELLPOINT, INC.

Ticker: WLP Security ID: 94973V107
 Meeting Date: MAY 14, 2014 Meeting Type: Annual
 Record Date: MAR 14, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director R. Kerry Clark | For | For | Management |
| 1.2 | Elect Director Robert L. Dixon, Jr. | For | For | Management |
| 1.3 | Elect Director Lewis Hay, III | For | For | Management |
| 1.4 | Elect Director William J. Ryan | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Prohibit Political Spending | Against | Against | Shareholder |

WELLS FARGO & COMPANY

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Ticker: WFC Security ID: 949746101
 Meeting Date: APR 29, 2014 Meeting Type: Annual
 Record Date: MAR 04, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John D. Baker, II | For | For | Management |
| 1b | Elect Director Elaine L. Chao | For | For | Management |
| 1c | Elect Director John S. Chen | For | For | Management |
| 1d | Elect Director Lloyd H. Dean | For | For | Management |
| 1e | Elect Director Susan E. Engel | For | For | Management |
| 1f | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1g | Elect Director Donald M. James | For | For | Management |
| 1h | Elect Director Cynthia H. Milligan | For | For | Management |
| 1i | Elect Director Federico F. Pena | For | For | Management |
| 1j | Elect Director James H. Quigley | For | For | Management |
| 1k | Elect Director Judith M. Runstad | For | For | Management |
| 1l | Elect Director Stephen W. Sanger | For | For | Management |
| 1m | Elect Director John G. Stumpf | For | For | Management |
| 1n | Elect Director Susan G. Swenson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |
| 5 | Review Fair Housing and Fair Lending Compliance | Against | For | Shareholder |

WELLS FARGO ADVANTAGE GLOBAL DIVIDEND OPPORTUNITY FUND

Ticker: EOD Security ID: 94987C103
 Meeting Date: FEB 10, 2014 Meeting Type: Annual
 Record Date: DEC 09, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Isaiah Harris, Jr. | For | For | Management |
| 1.2 | Elect Director David F. Larcker | For | For | Management |
| 1.3 | Elect Director Olivia S. Mitchell | For | For | Management |

XEROX CORPORATION

Ticker: XRX Security ID: 984121103
 Meeting Date: MAY 20, 2014 Meeting Type: Annual
 Record Date: MAR 24, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Glenn A. Britt | For | For | Management |
| 1.2 | Elect Director Ursula M. Burns | For | For | Management |
| 1.3 | Elect Director Richard J. Harrington | For | For | Management |
| 1.4 | Elect Director William Curt Hunter | For | For | Management |
| 1.5 | Elect Director Robert J. Keegan | For | For | Management |
| 1.6 | Elect Director Robert A. McDonald | For | For | Management |
| 1.7 | Elect Director Charles Prince | For | For | Management |
| 1.8 | Elect Director Ann N. Reese | For | For | Management |
| 1.9 | Elect Director Sara Martinez Tucker | For | For | Management |
| 1.10 | Elect Director Mary Agnes Wilderotter | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
|---|--|-----|-----|------------|

YAMANA GOLD INC.

Ticker: YRI Security ID: 98462Y100
Meeting Date: APR 30, 2014 Meeting Type: Annual/Special
Record Date: MAR 07, 2014

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Peter Marrone | For | For | Management |
| 1.2 | Elect Director Patrick J. Mars | For | For | Management |
| 1.3 | Elect Director John Begeman | For | For | Management |
| 1.4 | Elect Director Alexander Davidson | For | For | Management |
| 1.5 | Elect Director Richard Graff | For | For | Management |
| 1.6 | Elect Director Nigel Lees | For | For | Management |
| 1.7 | Elect Director Carl Renzoni | For | For | Management |
| 1.8 | Elect Director Dino Titaro | For | For | Management |
| 2 | Ratify Deloitte LLP as Auditors | For | For | Management |
| 3 | Adopt By-Law No. 1 | For | For | Management |
| 4 | Advisory Vote on Executive Compensation Approach | For | For | Management |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dividend and Income Fund

By: /s/ Thomas B. Winmill
Thomas B. Winmill, Chief Executive Officer

Date: August 12, 2014