LANTRONIX INC Form 4 May 16, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

05/13/2016

(Print or Type Responses)

1. Name and Address of Reporting Person * Fink Michael Andrew		2. Issuer Name <b>and</b> Ticker or Trading Symbol LANTRONIX INC [LTRX]			5. Relationship of Reporting Person(s) to Issuer  (Chaele all applicable)			
RONIX, INC., 75	(Month/D 35 05/13/20	ay/Year)	ansaction	DirectorX Officer (give below)	e title Other below)	Owner er (specify		
(Street) IRVINE, CA 92618			č	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(State)	(Zip) Table	e I - Non-D	erivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned		
		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	(First) (National Control of Property of P	Symbol LANTR  (First) (Middle) 3. Date of (Month/D (Month	Symbol LANTRONIX IN  (First) (Middle) 3. Date of Earliest Tra (Month/Day/Year)  O5/13/2016  STER DRIVE, SUITE  (Street) 4. If Amendment, Day Filed(Month/Day/Year)  A 92618  (State) (Zip) Table I - Non-D  2. Transaction Date 2A. Deemed 3. (Month/Day/Year) Execution Date, if Transaction any Code	Symbol LANTRONIX INC [LTRX]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  RONIX, INC., 7535 05/13/2016  NTER DRIVE, SUITE  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  A 92618  (State) (Zip) Table I - Non-Derivative Securities Acquired (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Code (D)	LANTRONIX INC [LTRX]  (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year) Director	Andrew  Symbol  LANTRONIX INC [LTRX]  (Check all applicable of the content of the		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s) (Instr. 3 and 4)

D

1,094

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

V Amount

848

(A)

(D)

Price

#### Edgar Filing: LANTRONIX INC - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	· · · · · · · · · · · · · · · · · · ·	Transactio		Expiration D		Amou		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	rear)	Under	, ,	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(IIISti
					4, and 5)						
									Amount		
						Date	Expiration		or		
							•	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Fink Michael Andrew C/O LANTRONIX, INC. 7535 IRVINE CENTER DRIVE, SUITE 100 IRVINE, CA 92618			Vice President of Operations			

# **Signatures**

/s/ Jeremy Whitaker, Attorney-in fact for Michael Fink

05/16/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represent shares of common stock acquired through the Lantronix, Inc. 2013 Employee Stock Purchase Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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