

Edgar Filing: UNIONBANCORP INC - Form SC 13G

UNIONBANCORP INC
Form SC 13G
February 13, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. _____) *

UnionBancorp, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

908908106

(CUSIP Number)

December 31, 2001

Date of Event

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO. 908908106

13G

Page 2 of 6 Pages

1) NAME OF REPORTING PERSON

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UnionBancorp, Inc. Employee Stock Ownership Plan

2) CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐

(b) ☐

3) SEC USE ONLY

4) CITIZENSHIP OR PLACE OF ORGANIZATION

Illinois

NUMBER OF SHARES	5) SOLE VOTING POWER:	30,443 shares
BENEFICIALLY	6) SHARED VOTING POWER:	454,612 shares
OWNED BY	7) SOLE DISPOSITIVE POWER:	30,443 shares
EACH	8) SHARED DISPOSITIVE POWER:	None
REPORTING		
PERSON WITH		

9) AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

485,055 shares

10) CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

☐

11) PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

12.2%

12) TYPE OF REPORTING PERSON*

EP

Page 2 of 6 pages

STATEMENT CONTAINING INFORMATION REQUIRED BY SCHEDULE 13G

Item 1.

(a) Name of Issuer

UnionBancorp, Inc

(b) Address of Issuer's Principal Executive Offices

122 West Madison Street
Ottawa, Illinois 61350

Item 2.

(a) Name of Person Filing

UnionBancorp, Inc. Employee Stock Ownership Plan

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(b) Address of Principal Business Office, or if none, Residence

UnionBancorp, Inc. Employee Stock Ownership Plan
Trustee - UnionBank
122 West Madison Street
Ottawa, Illinois 61350

(c) Citizenship

Delaware

(d) Title of Class of Securities

Common Stock

(e) CUSIP Number

908908106

Item 3. If this statement is filed pursuant to Rule 13d-1(b), 13d-2(b), check whether the person filing is a:

(a) ☐ Broker or dealer registered under Section 15 of the Act

(b) ☐ Bank as defined in Section 3(a)(6) of the Act

(c) ☐ Insurance company as defined in Section 3(a)(19) of the Act

(d) ☐ Investment company registered under Section 8 of the Investment Company Act of 1940

(e) ☐ An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E)

Page 3 of 6 pages

(f) ☒ An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F)

(g) ☐ A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G)

(h) ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act

(i) ☐ A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940

(j) ☐ Group, in accordance with Section 240.13d-1(b)(1)(ii)(J)

Item 4. Ownership

(a) Amount Beneficially Owned

485,055 shares

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(b) Percent of Class

12.2%

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote

30,443 shares

(ii) shared power to vote or to direct the vote

454,612 shares

(iii) sole power to dispose or to direct the disposition of

30,443 shares

(iv) shared power to dispose or to direct the disposition of

none

Item 5. Ownership of Five Percent or Less of a Class

N/A

Item 6. Ownership of More than Five Percent on Behalf of Another Person

N/A

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company

N/A

Page 4 of 6 pages

Item 8. Identification and Classification of Members of the Group

N/A

Item 9. Notice of Dissolution of Group

N/A

Item 10. Certification

By signing below, I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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Page 5 of 6 pages

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 13, 2002

UNIONBANCORP, INC. EMPLOYEE STOCK
OWNERSHIP PLAN

By: UNIONBANK, its trustee

/s/ JIMMIE D. LANSFORD

Jimmie D. Lansford
Chairman

Page 6 of 6 pages