

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC  
 Form 5  
 February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.  
 See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of  
 Utility Holding Company Act of 1935 or Section 30(f) of the In

|  |         |          |  |                             |              |         |
|--|---------|----------|--|-----------------------------|--------------|---------|
| 1. Name and Address of Reporting Person* |         |          | 2. Issuer Name and Ticker or Trading Symbol                      |                             | 6. Re Is     |         |
|  |         |          | Dunes Hotels and Casinos, Inc.                                   |                             | X Di         |         |
| (Last)                                   | (First) | (Middle) | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 4. Statement for Month/Year | X            | Of (g b |
| Peadon, D.                               | Cary    |          |  | 12/2001                     | ---          |         |
| (Street)                                 |         |          | 5. If Amendment, Date of Original (Month/Year)                   |                             | 7. Indi (Che |         |
| 8441 E. 32nd Street N., Suite 200        |         |          |  |                             | X Fo         |         |
|  |         |          |  |                             | --- Pe       |         |
|  |         |          |  |                             | ___ Fo       |         |
|  |         |          |  |                             | Re           |         |

(City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of  
 Wichita, Kansas 67226

| 1. Title of Security (Instr. 3) | 2. Trans- action date: (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |        | 5. Amount of Securities Beneficial Owned at End of Iss Fiscal Yea (Instr. 3 and 4) |
|---------------------------------|---|----------------------------------|---|------------|--------|--|
|                                 |   |                                  | Amount  | (A) or (D) | Price  |  |
| Common Stock                    | 11/30/01                                  | S                                | 3,100   | D          | \$1.05 | 0  |

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\* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned options, convertible securities)

| 1. Title of Derivative Security (Inst. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|---|--|--------------------------------------|--------------------------------|---|--|---|--|
|---|--|--------------------------------------|--------------------------------|---|--|---|--|

| (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|-----|-----|------------------|-----------------|-------|----------------------------|
|-----|-----|------------------|-----------------|-------|----------------------------|

Explanation of Responses:

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/s/ D. Cary Peadon

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D. Cary Peadon

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which  
must be manually signed. If space provided is  
insufficient, see Instruction 6 for procedure.