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BLUE DOLPHIN ENERGY CO

Form 144

December 11, 2013

UNITED STATES OMB APPROVAL

OMB SECURITIES AND EXCHANGE COMMISSION

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Estimated average burden

hours per response **FORM 144** 1.00

NOTICE OF PROPOSED SALE OF SECURITIES SEC USE ONLY PURSUANT TO RULE 144 UNDER THE SECURITIES DOCUMENT SEQUENCE NO.

ACT OF 1933

CUSIP NUMBER

ATTENTION: ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

WORK LOCATION

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO

Blue Dolphin Energy Cimpany 73-1268729 0 - 15905

1 (d) ADDRESS OF ISSUER STREET **CITY** STATE ZIP CODE(e) TELEPHONE

NO

801 Travis Street, Suite 2100 TX77002 713-568-4725 Houston 2 (a) NAME OF PERSON FOR WHOSE (b) RELATIONSHIP TO (c) ADDRESS CITY STATE ZIP

ACCOUNT THE SECURITIES ARE TO ISSUER **STREET CODE**

BE SOLD

77002 801 Travis Street, **Ivar Siem** Chairman of the Board Houston TX

Suite 2100

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 SEC USE (c) Number(d) (g) Name (b) Name and Address (e) Number (f) Title of Each Broker Through **ONLY** of Shares Aggregate of Shares Approximate of Each (a) of the Whom the Market Date of Sale Securities Broker-Dealer or Other or Other Class of Securities are to be Offered or File Number Units Value Units (See instr. Exchange Securities Each Market Maker To Be (See instr. Outstanding (See instr. 3(f)To Be Sold who is Acquiring the Sold (See instr. (MO. DAY 3(d)) 3(g)Securities (See instr. YR.) 3(e))

3(c)

MerrillLynch, Peirce, Fenner

& Smith, Inc.

Common 580 Westlake Park Blvd., 2,222 \$9,554.60 10,580,973 12/11/2013 **OTCQX** Stock

Suite 1630

Houston, TX 77079

INSTRUCTIONS:

Name of issuer 1. (a) 3. (a) Title of the class of securities to be sold

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	(b)	Issuer's I.R.S. Identification Number	(b) Name and address of each broker through whom the securities are intended to be sold
	(c)	Issuer's S.E.C. file number, if any	(c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
	(d)	Issuer's address, including zip code	(d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
	(e)	Issuer's telephone number, including area code	(e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer(f) Approximate date on which the securities are to be sold
2.	(a)	Name of person for whose account the securities are to be sold	(g) Name of each securities exchange, if any, on which the securities are intended to be sold
	(b)	Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)	
	(c)	Such person's address, including zip code	

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

			Name of Person from Whom Acquired	Amount of		
Title of the Class	Date you Acquired	Nature of Acquisition Transaction	(If gift, also give date donor acquired)	Securities Acquired	Date of N Payment P	
Common Stock	12/31/2001	Annual Employee 401(k) Plan Allocation	Blue Dolphin Energy Company	94	12/31/2001	N/A
Common Stock	12/31/2002	Annual Employee 401(k) Plan Allocation	Blue Dolphin Energy Company	2,128	12/31/2002	N/A

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of	Gross
Name and Address of Seller	Title of Securities Sold	Date of Sale	Securities Sold	Proceeds
None.				

The Blue Dolphin Services Co. 401(k) Plan (the "Plan") is being terminated effective 12/27/13. All REMARKS: assets held in the Plan are being liquidated. Proceeds from the sale of Mr. Siem's common stock as held in the Plan are being rolled over into Mr. Siem's personal retirement account.

INSTRUCTIONS:

Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such

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representation as of the plan adoption or instruction date.

12/11/13 DATE OF NOTICE /s/ Ivar Siem

(SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1 The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)