Krishnamurti Nat Form 4 December 21, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Krishnamurti Nat

(Last)

(City)

(First) (Middle)

PO BOX 1541, ONE BLUE HILL

**PLAZA** 

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

**HUDSON TECHNOLOGIES INC** /NY [HDSN]

3. Date of Earliest Transaction (Month/Day/Year)

12/19/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

PEARL RIVER, NY 10965

(Zip)

Code (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

or Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP, CFO

6. Ownership

Form: Direct

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

below)

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

(D) or Indirect Beneficial

Issuer

below)

Director

Applicable Line)

5. Amount of

Securities

Following

Reported

Transaction(s)

(Instr. 3 and 4)

Owned

Beneficially

X\_ Officer (give title

Estimated average

burden hours per

1

#### Edgar Filing: Krishnamurti Nat - Form 4

| Security (Instr. 3)         | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. | 8) | Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |     | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                  |
|-----------------------------|---|------------|-------------------------|-----------------|----|---|-----|---------------------|--------------------|------------------|----------------------------------|
|                             |   |            |                         | Code            | V  | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title            | Amount of<br>Number of<br>Shares |
| Stock Option (Right to Buy) | \$ 5.7  | 12/19/2017 |                         | A               |    | 150,000   |     | 12/19/2017          | 12/19/2020         | Common<br>Stock  | 150,000                          |

## **Reporting Owners**

| Reporting Owner Name / Address | Keiationsnips |
|--------------------------------|---------------|
|--------------------------------|---------------|

Director 10% Owner Officer Other

Krishnamurti Nat PO BOX 1541

ONE BLUE HILL PLAZA

VP, CFO

PEARL RIVER, NY 10965

### **Signatures**

Nat

Krishnamurti 12/21/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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