

COLUMBUS MCKINNON CORP
Form 4
May 21, 2012

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOWARD KAREN L

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
05/17/2012

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP-Strategic Initiatives

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					64,463 ⁽¹⁾	D	
Common Stock	05/17/2012		F	386 ⁽²⁾ D \$ 13.4	64,077 ⁽¹⁾	D	
Common Stock	05/18/2012		F	532 ⁽²⁾ D \$ 13.31	63,545 ⁽¹⁾	D	
Common Stock					2,940.8312 ⁽³⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005 05/16/2014	Common Stock 20,000
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock 3,380
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019	Common Stock 11,063
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock 8,129
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock 7,597

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP-Strategic Initiatives	

Signatures

Mary C. O'Connor, Power of Attorney for Karen L.
Howard

05/21/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 8,829 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,477 shares become fully vested and non-forfeitable 5/18/2013; 1,189 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012,
- (1) 2,139 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2013, and the remaining 4,024 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 1,070 restricted stock units on 5/17/2012 and 1,476 restricted stock units on 5/18/2012.
 - (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (4) All exercisable, subject to IRS limitations.
 - (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
 - (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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