Edgar Filing: BAXTER WARNER L - Form 4

BAXTER WARNER L Form 4 February 19, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed By

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OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address o			me and Ticke rporation AF	Per	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Baxter, Warner L. (Last) (Firs P. O. Box 66149	Ċ	3. I.R.S. Ide of Reporting f an entity (mber	Month	ement for /Day/Year ary 19, 2003	I 109 <u>X</u> (Oth Sr.	Lo fisice (clicck an applicable) Lorector Low Owner X Officer (give title below) Other (specify below) Sr. Vice President and Dir. of Subsidiary				
(Street) St. Louis, MO 63166-6149						Date of	5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (Sta		Tabl	e I Non-Der	ivative	sposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)		2A. Deem Execution Date, if any (Month/Day Year)	action Code (Instr.	(A) or Dis (Instr. 3, 4	es Acq posed (of (D)	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, \$.01 Par Value								182	Ι	By 401(k)		
Common Stock, \$.01 Par Value								2,521	D			
Common Stock, \$.01 Par Value								4,197	D			
Common Stock, \$.01 Par Value	02/14/03		Α	4,831 ⁽¹⁾	A	\$39.41		4,831	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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(0.5., puts, cuils, wurrunts, options, co														
	2. Conver-	3.	3A.	4.	5.		6. Date Exerc	7. Title and		8. Price of	9. Number of	10.	11. Nature	
Derivative	sion or	Trans-	Deemed	Trans-	Nun	ıber	and Expiratio	n	Amount of		Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of		Date		Underlying		Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Deri	vati	(Malonth/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Secu	iriti	¥ear)		(Instr. 3 &	z 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acq	uire	d					Following	ative	
	-	Day/	Day/	8)	(A)							Reported	Security:	
		Year)	Year)	ĺ.	Dist	ose	d					Transaction(s)	Direct	
					of (Ì	D)						(Instr. 4)	(D)	
					Ì	·						(moute t)	or	
					(Inst	tr.							Indirect	
					3,4	&							(I)	
					5)								(Instr. 4)	
				$C \downarrow V$	- í	(\mathbf{D})		г ·	T.1				(
				Code V	(A)			Expira-	Title	Amount				
							Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				
Stock									Common			41,200	D	
Option									Stock					
									\$.01 Par					
									Value					

(e.g., puts, calls, warrants, options, convertible securities)

Explanation of Responses:

(1) Grant of restricted stock.

By: /s/ <u>G. L. Waters</u> G. L. Waters, Asst. Secy. for Warner L. Baxter **Signature of Reporting Person

February 19, 2003 Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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