

Edgar Filing: LAUDER LEONARD A - Form 4

LAUDER LEONARD A

Form 4

January 09, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

(1) Lauder, Leonard A.

The Estee Lauder Companies Inc.

767 Fifth Avenue

New York, NY 10153

2. Issuer Name and Ticker or Trading Symbol

The Estee Lauder Companies Inc.

EL

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director (X) 10% Owner (X) Officer (give title below) ( ) Other

(specify below)

Chairman of the Board of Directors

7. Individual or Joint/Group Filing (Check Applicable Line)

( ) Form filed by One Reporting Person

(X) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | A/ D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---------------------------------------------------------|------|-------|------------------------------------------------------------|
| Class A Common Stock | 12/31/01            | J (2)   | 73,300                                                  | A    |       | 4,974,580                                                  |
| Class A Common Stock |                     |         |                                                         |      |       | 4,698,951                                                  |
| Class A Common Stock |                     |         |                                                         |      |       | 1,300,000                                                  |
| Class A Common Stock |                     |         |                                                         |      |       | 2,531,471                                                  |
| Class A Common Stock |                     |         |                                                         |      |       | 3,579,302                                                  |
| Class A Common Stock |                     |         |                                                         |      |       | 15,384                                                     |
| Class A Common Stock |                     |         |                                                         |      |       | 390,000                                                    |

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Derivative Security | 2.Con-<br>version<br>or Exer-<br>cise<br>Price of<br>Deriva-<br>tive<br>Secu-<br>rity | 3.<br>Transaction | 4.<br><br> | 5.Number of De-<br>rivative Secu-<br>rities Acqui-<br>red(A) or Dis-<br>posed of(D)<br><br>A/<br>D<br>Amount | 6.Date Exer-<br>cisable and<br>Expiration<br>Date(Month/<br>Day/Year)<br>Date  Expir- | 7.Title and Amount<br>of Underlying<br>Securities<br><br>Title and Number<br>of Shares | 8.P<br>of<br>vat<br>Sec<br>rit |
|--------------------------------|---------------------------------------------------------------------------------------|-------------------|------------|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------|
|                                |                                                                                       |                   |            |                                                                                                              |                                                                                       |                                                                                        |                                |

### Explanation of Responses:

(1) Leonard A. Lauder ("LAL"), Chairman of the Board, is the designated filer on behalf of: (a) himself; and (b) his wife, Evelyn H. Lauder ("EHL") an executive officer (Senior Corporate Vice President) of the Issuer.

(2) Distribution of shares from the LAL 4002 Trust.

(3) The Reporting Person disclaims beneficial ownership to the extent he does not have a pecuniary interest in such securities.

(4) The Reporting Person is co-Trustee and beneficiary of the Estee Lauder 1994 Trust.

(5) The Reporting Person is the grantor of a grantor retained annuity trust.

(6) The Reporting Person is the majority stockholder of LAL Family Corporation, which is the sole general partner of LAL Family Partners L.P. LAL Family Partners L.P. is a limited partnership in which the Reporting Person has sole voting and investment power.

(7) The Reporting Person is a general partner of Lauder & Sons L.P. The Reporting Person is also a Trustee of The 1995 Estee Lauder LAL Trust, which is also a general partner of Lauder & Sons L.P.

(8) The Reporting Person disclaims beneficial ownership of shares owned by his spouse.

Joint Filer

Information:

Name: Evelyn H.

Lauder

Address: The Estee Lauder Companies  
Inc.

767 Fifth

Avenue

New York, NY

10153

Designated Filer: Leonard A.

Lauder

Issuer and Ticker Symbol: The Estee Lauder Companies Inc.

(EL)

Date of Event Requiring Statement:

12/31/2001

Signature: /s/ Evelyn H.

Lauder

DATE \_\_\_\_\_

01/ /2002