

BARNES GROUP INC

Form 4

November 08, 2013

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARNES THOMAS O

(Last) (First) (Middle)

BARNES GROUP INC., 123 MAIN  
STREET

(Street)

BRISTOL, CT 06010

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
BARNES GROUP INC [B]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/07/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify  
below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) Price |                                                                                               |                                                          |                                                       |
| Common Stock                    | 11/07/2013                           |                                                    | S                              |                                                                   | 250    | D \$ 36.3948     | 415,716 <sup>(1)</sup>                                                                        | I                                                        | Trust #42-01-100-854655                               |
| Common Stock                    | 11/07/2013                           |                                                    | S                              |                                                                   | 52     | D \$ 36.3948     | 76,185 <sup>(1)</sup>                                                                         | I                                                        | Trust #42-01-100-855071                               |
| Common Stock                    | 11/07/2013                           |                                                    | S                              |                                                                   | 90     | D \$ 36.3948     | 161,512 <sup>(1)</sup>                                                                        | I                                                        | Trust #42-01-100-855072                               |
| Common Stock                    | 11/07/2013                           |                                                    | S                              |                                                                   | 280    | D \$ 36.3948     | 485,106 <sup>(1)</sup>                                                                        | I                                                        | Trust #42-01-100-855071                               |
| Common Stock                    | 11/07/2013                           |                                                    | S                              |                                                                   | 230    | D \$ 36.3948     | 412,840 <sup>(1)</sup>                                                                        | I                                                        | Trust #42-01-100-854655                               |

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|              |            |   |       |   |                           |                             |   |                         |
|--------------|------------|---|-------|---|---------------------------|-----------------------------|---|-------------------------|
| Common Stock | 11/07/2013 | S | 50    | D | \$ 36.3948                | 84,382 <sup>(1)</sup>       | I | Trust #42-01-100-855071 |
| Common Stock | 11/07/2013 | S | 1,544 | D | \$ 36.2071 <sup>(2)</sup> | 388,975.6524 <sup>(3)</sup> | D |                         |
| Common Stock | 11/08/2013 | S | 5,456 | D | \$ 36.73 <sup>(3)</sup>   | 383,519.6524 <sup>(3)</sup> | D |                         |
| Common Stock |            |   |       |   |                           | 33,711.853                  | I | By 401(k) Plan          |
| Common Stock |            |   |       |   |                           | 39,356 <sup>(1)</sup>       | I | Trust #42-01-100-854656 |
| Common Stock |            |   |       |   |                           | 4,107.1938 <sup>(1)</sup>   | I | By my wife              |
| Common Stock |            |   |       |   |                           | 154,266 <sup>(1)</sup>      | I | Trust #CF-2078          |
| Common Stock |            |   |       |   |                           | 127,172 <sup>(1)</sup>      | I | Trust #CF-2363          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                                      |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

BARNES THOMAS O  
BARNES GROUP INC.  
123 MAIN STREET  
BRISTOL, CT 06010

X

## Signatures

Monique B. Marchetti, pursuant to Power of  
Attorney

11/08/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.  
  
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.20 to \$36.23, inclusive. The reporting person undertakes to provide to Barnes Group Inc., any security holder of Barnes Group Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.
- (2) Includes 3553 Restricted Stock Units granted 2/12/13 that are subject to forfeiture if certain events occur.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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