**BRAM CRAIG C** Form 4 October 30, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * BRAM CRAIG C			2. Issuer Name and Ticker or Trading Symbol SYNALLOY CORP [SYNL]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
4510 COX RO	AD, SUITE	201	(Month/Day/Year) 10/25/2017	_X_ Director 10% Owner _X_ Officer (give title Other (specify below)  CEO & President		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
GLEN ALLEN	I, VA 23060	)	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Beneficially Form: Code Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Common 10/25/2017 M 25,632 A \$ 11.55 205,245 D Stock Common F 20,243 D 10/25/2017 185,002 D Stock Common Ι **IRA** 12,609 Stock Common 28,763 Ι Spouse Stock

401(k)

Trust

3,150

I

### Edgar Filing: BRAM CRAIG C - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Secu Secu Acqu or D (D)	rities nired (A) isposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.55	10/25/2017		M/K		25,632	<u>(1)</u>	01/24/2021	Common Stock	25,632
Employee Stock Option (right to buy)	\$ 11.345						<u>(1)</u>	02/09/2022	Common Stock	8,264
Employee Stock Option (right to buy)	\$ 13.7						(1)	02/07/2023	Common Stock	6,843
Employee Stock Option (right to buy)	\$ 16.01						(1)	02/10/2025	Common Stock	5,075

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
BRAM CRAIG C	X		CEO & President				
4510 COX ROAD, SUITE 201							

Reporting Owners 2

GLEN ALLEN, VA 23060

## **Signatures**

Sally M. Cunningham, Power of Attorney for Craig C.

Bram

10/30/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in equal installments of 20% beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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