

CARDIOGENESIS CORP /CA

Form 8-K

November 13, 2006

**Table of Contents**

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

*Date of Report (Date of earliest event reported):* November 13, 2006

**CARDIOGENESIS CORPORATION**

*(Exact name of registrant as specified in its charter)*

|   |                                 |  |
|---|---------------------------------|--|
| California  | 000-28288                       | 77-0223740                                   |
| <i>(State or other<br/>jurisdiction of<br/>incorporation)</i> | <i>(Commission File Number)</i> | <i>(IRS Employer<br/>Identification No.)</i> |

11 Musick

Irvine, CA 92618

*(Address of principal executive offices, including zip code)*

*Registrant's telephone number, including area code: (949) 420-1800*

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

**TABLE OF CONTENTS**

Item 2.02. Results of Operation and Financial Condition

Item 9.01 Financial Statements and Exhibits

SIGNATURE

EXHIBIT 99.01

---

**Table of Contents**

**Item 2.02. Results of Operation and Financial Condition**

On November 13, 2006, Cardiogenesis Corporation issued a press release announcing its financial results for the quarter and nine months ended September 30, 2006.

The press release is attached hereto as Exhibit 99.01 and is incorporated herein by this reference.

The information in this Form 8-K and the Exhibits attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Act of 1934, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, except as shall be expressly set forth by specific reference in such filing.

**Item 9.01 Financial Statements and Exhibits**

(c) Exhibits

| <b>Exhibit Number</b> | <b>Exhibit Title or Description</b>  |
|-----------------------|--|
| 99.01                 | Press release of Cardiogenesis Corporation dated November 13, 2006 (furnished pursuant to Item 2.02) |

---

**Table of Contents**

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CARDIOGENESIS CORPORATION  
(Registrant)

Date: November 13, 2006

By: /s/ William Abbott  
William Abbott,  
Chief Financial Officer

---

**Table of Contents**

**Exhibit Index**

| <b>Exhibit Number</b> | <b>Exhibit Title or Description</b>  |
|-----------------------|--|
| 99.01                 | Press release of Cardiogenesis Corporation dated November 13, 2006 (furnished pursuant to Item 2.02) |