Edgar Filing: Fountain W Frank - Form 4

Fountain W Fran Form 4										
January 04, 2019									PPROVAL	
	UNITED	STATES		RITIES A shington			E COMMISSIO	N OMB Number:	3235-028	37
Check this bo if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed pur Section 17(suant to S (a) of the l	Section 1 Public U	SECUI 16(a) of th Itility Hol	RITIES ne Securi ding Con	ties Excha	WNERSHIP OF nge Act of 1934, of 1935 or Secti 1940	Estimated burden hou response	urs per)5
(Print or Type Respo	onses)									
1. Name and Addre Fountain W Fra		Person [*]	Symbol	er Name an NERGY			5. Relationship o Issuer			
(Last) (First) (Middle) ONE ENERGY PLAZA		3. Date of Earliest Transaction (Month/Day/Year) 01/02/2019			(Check all applicable) <u>X</u> Director Officer (give title <u>10%</u> Owner Dother (specify below)					
DETROIT, MI	(Street) 48226			endment, D onth/Day/Yea	-	al	6. Individual or Applicable Line) _X_ Form filed by Form filed by Person	-	erson	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
	ransaction Date nth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report o	n a separate line	e for each cl	ass of sec	urities bene	Perso infor requi	ons who res nation cont red to resp ays a curre	or indirectly. spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

Derivative Security			(D)	Disposed of D) Instr. 3, 4,							
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	(1)	01/02/2019	А		1,205		(2)	(2)	Common Stock	1,205	\$ 107

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Fountain W Frank ONE ENERGY PLAZA DETROIT, MI 48226	Х						
Signatures							

/s/ Timothy E. Kraepel, Attorney-in-Fact	01/04/2019
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) The phantom shares are immediately vested, but subject to a 3 year deferral. The director has a one time option to defer payment until their termination from the board.
- (3) Includes phantom stock acquired through the reinvestment feature under the DTE Energy Company Deferred Stock Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.