### Edgar Filing: Hart Brett J - Form 4

Hart Brett J Form 4 March 02, 2018 FORM 4 Check this box if no longer subject to Section 16, Form 5 obligations may continue. See Instruction 1(b). Hart Brett J March 02, 2018 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 March 02, 2018 March 02, 2018 March 02, 2018 March 02, 2018 March 02, 2018 Satistic Securities Securities Exchange Act of 1934, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Expires: January 31, 2005 Estimated average burden hours per response 0.5												
(Print or Type	Responses)											
Hart Brett J Symbol				d Continental Holdings, Inc.				, ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				te of Earliest Transaction th/Day/Year) 8/2018					Director 10% Owner X Officer (give title Other (specify below) below) EVP, Chief Adm Ofcr & Gen Cnsl			
								-	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Paraon			
(City)     (State)     (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, if any			3. 4. Securities Acquired Transaction (A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)		
Common	02/28/2018			M(1)(2)	v	4,795	(D) A	\$ 0	74,896	D		
Stock Common Stock	02/28/2018			F <u>(3)</u>		2,125	D	\$ 67.79	72,771	D		
Common Stock	02/28/2018			M <u>(1)(4)</u>		387	А	\$0	73,158	D		
Common Stock	02/28/2018			F(5)		172	D	\$ 67.79	72,986	D		
Common Stock	02/28/2018			F <u>(6)</u>		1,135	D	\$ 67.79	71,851	D		

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Common Stock 02/28/2018 F<sup>(7)</sup> 1,593 D <sup>\$</sup><sub>67.79</sub> 70,258 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(8)</u>	02/28/2018		M <u>(1)</u>	4,795	(2)	(2)	Common Stock	4,795	ç
Restricted Stock Units	<u>(8)</u>	02/28/2018		M <u>(1)</u>	387	<u>(4)</u>	(4)	Common Stock	387	ç

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Hart Brett J P. O. BOX 66100 HDQLD CHICAGO, IL 60666			EVP, Chief Adm Ofcr & Gen Cnsl					
Signatures								
/s/ Sarah Hagy for Brett J. Hart	03/	02/2018						

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the settlement upon vesting of restricted stock units ("RSUs") into UAL common stock.
- (2) The RSUs were granted on February 23, 2017 and vest in 1/3 annual installments on February 28, 2018, 2019 and 2020.
- (3) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSU award granted on February 23, 2017.
- (4) The RSUs were granted on June 14, 2017 and vest in 1/3 annual installments on February 28, 2018, 2019 and 2020.
- (5) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSU award granted on June 14, 2017.
- (6) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted on February 18, 2015. This award vests in 1/3 annual installments on February 28, 2016, 2017 and 2018.
- (7) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted on February 17, 2016. This award vests in 1/3 annual installments on February 28, 2017, 2018 and 2019.
- (8) Each RSU represents the economic equivalent of one share of UAL common stock and is settled in shares of UAL common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.