# Edgar Filing: SAFEGUARD SCIENTIFICS INC - Form 8-K

SAFEGUARD SCIENTIFICS INC Form 8-K October 30, 2006

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

#### FORM 8-K CURRENT REPORT

#### Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported) October 30, 2006 Safeguard Scientifics, Inc.

(Exact Name of Registrant as Specified in Charter)

Pennsylvania1-562023-1609753(State or Other Jurisdiction<br/>of Incorporation)(Commission<br/>File Number)(IRS Employer<br/>Identification No.)

## 435 Devon Park Drive, Building 800, Wayne, PA

(Address of Principal Executive Offices)

**19087** (Zip Code)

Registrant s telephone number, including area code

610-293-0600

#### Not applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### ITEM 2.02. Results of Operations and Financial Condition

On October 30, 2006, Safeguard Scientifics, Inc. (the Registrant ) issued a press release setting forth the Registrant s financial information for the third quarter ended September 30, 2006. A copy of the Registrant s press release is attached hereto as Exhibit 99.1 and hereby incorporated by reference.

This information shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (Exchange Act) or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

## ITEM 9.01. Financial Statements and Exhibits

(c) Exhibits

99.1 Press Release dated October 30, 2006.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Safeguard Scientifics, Inc.

Dated: October 30, 2006 By: STEVEN J. FEDER

Steven J. Feder

Senior Vice President and General

Counsel