

LORBERBAUM JEFFREY S
Form 5
January 20, 2010

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
LORBERBAUM JEFFREY S

(Last) (First) (Middle)

160 SOUTH INDUSTRIAL
BLVD., P.O. BOX 12069

(Street)

CALHOUN, GA 30703

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
MOHAWK INDUSTRIES INC
[MHK]

3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/08/2009	Â	S	Amount (1) 100,000 D \$ 49.04	2,635,604	I	Fam.Ltd.Partnership
Common Stock	09/09/2009	Â	S	Amount (1) 150,000 D \$ 49.85	2,485,604	I	Fam.Ltd.Partnership
Common Stock	09/10/2009	Â	S	Amount (1) 50,000 D \$ 49.71	2,435,604	I	Fam.Ltd.Partnership
	09/11/2009	Â	S	D	2,379,322	I	Fam.Ltd.Partnership

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Common Stock				56,282 (1)	\$				49.38
Common Stock	^	^	^	^	^	^	217,196	D	^
Common Stock	^	^	^	^	^	^	8,423,438	I	Aladdin Partners, LP
Common Stock	^	^	^	^	^	^	194	I	by Managed Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
LORBERBAUM JEFFREY S 160 SOUTH INDUSTRIAL BLVD. P.O. BOX 12069 CALHOUN, GA 30703	^ X	^	^ Chairman and CEO	^

Signatures

JEFFREY S.
LORBERBAUM
01/20/2010

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The general partner of JMS Group Limited Partnership ("JMS") is SJL Management company, LLC ("SJL"). The reporting person, Ms. Suzanne L. Helen and Mr. Mark Lorberbaum are equal members of SJL, and each of them reports indirect ownership of 100% of the issuer shares held by JMS, but disclaims beneficial ownership of these shares except to the extent of his or her individual pecuniary interest in such shares. The number of shares reported on this Form 5 as indirectly owned through JMS excludes 356,282 shares previously reported as indirectly owned by the reporting person which were distributed to Mark Lorberbaum on a pro rata basis in connection with a redemption of his limited partnership interest in JMS.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.