

Tech Eric M.
Form 3
June 27, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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burden hours per
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(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Tech Eric M.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

06/18/2012

3. Issuer Name **and** Ticker or Trading Symbol

NAVISTAR INTERNATIONAL CORP [NAV]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

____ Officer ☒ Other

(give title below) (specify below)

Pres. Global Truck/Eng Subsid

C/O NAVISTAR
INTERNATIONAL
CORPORATION,Â 2701
NAVISTAR DRIVE

(Street)

LISLE,Â ILÂ 60532

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

15,522

D

Â

Premium Share Units (1)

1,355

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	Â <u>(2)</u>	12/16/2018	Common Stock	2,323	\$ 22.655	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	12/15/2016	Common Stock	13,802	\$ 35.805	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	12/14/2017	Common Stock	20,000	\$ 58.915	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	12/19/2018	Common Stock	20,000	\$ 37.2	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Tech Eric M.
C/O NAVISTAR INTERNATIONAL CORPORATION
2701 NAVISTAR DRIVE
LISLE, IL 60532

Â Â Â Pres. Global Truck/Eng Subsid

Signatures

Curt A. Kramer, Attorney
in fact

06/26/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Premium Share Units were acquired under Navistar's Executive Stock Ownership Program. Each Premium Share Unit represents one share of Navistar Common Stock.
- (2) The Option originally covered 6,968 shares and became exercisable as to 2,323 shares on 12/16/2009, as to 2,322 shares on 12/16/2010 and as to 2,323 shares on 12/16/2011.
- (3) The Option originally covered 20,703 shares and became exercisable as to 6,901 shares on 12/15/2010 and as to 6,901 shares on 12/15/2011, and will become exercisable as to 6,901 shares on 12/15/2012.
- (4) The Option became exercisable as to 6,667 shares on 12/14/2011, and will become exercisable as to 6,666 shares on 12/14/2012 and as to 6,667 shares on 12/14/2013.
- (5) The Option will become exercisable as to 6,667 shares on 12/19/2012, as to 6,666 shares on 12/19/2013 and as to 6,667 shares on 12/19/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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