

KONDO CHRIS  
Form 4  
April 17, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KONDO CHRIS**

(Last) (First) (Middle)

**ONE APPLE PARK WAY**

(Street)

**CUPERTINO, CA 95014**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**APPLE INC [AAPL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/15/2019**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Principal Accounting Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|--|---|
|                                       |   |   | Code                                 | V   | Amount   | (A)<br>or<br>(D)   | Price   |
| Common<br>Stock                       | 02/19/2019                              |   | G                                    | V   | 471  | D  | \$ 0  |
| Common<br>Stock                       | 04/15/2019                              |   | M                                    |   | 6,917  | A  | <u>(1)</u>  |
| Common<br>Stock <u>(3)</u>            | 04/15/2019                              |   | F                                    |   | 2,587  | D  | \$<br>199.23  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |       |
| Restricted Stock Unit                      | <u>(1)</u>   | 04/15/2019                           |  | M                              | 2,144   | <u>(4)</u> <u>(4)</u>                                    | Common Stock 2,144  |       |
| Restricted Stock Unit                      | <u>(1)</u>   | 04/15/2019                           |  | M                              | 2,072   | <u>(5)</u> <u>(5)</u>                                    | Common Stock 2,072  |       |
| Restricted Stock Unit                      | <u>(1)</u>   | 04/15/2019                           |  | M                              | 1,593   | <u>(6)</u> <u>(6)</u>                                    | Common Stock 1,593  |       |
| Restricted Stock Unit                      | <u>(1)</u>   | 04/15/2019                           |  | M                              | 1,108   | <u>(7)</u> <u>(7)</u>                                    | Common Stock 1,108  |       |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships                    |
|--|----------------------------------|
|  | Director 10% Owner Officer Other |
| KONDO CHRIS<br>ONE APPLE PARK WAY<br>CUPERTINO, CA 95014 | Principal Accounting Officer     |

## Signatures

/s/ Sam Whittington, Attorney-in-Fact for Chris Kondo 04/17/2019

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.
- (2) The number of securities reported reflects the acquisition on January 31, 2019 of 115 shares of Apple Inc.'s common stock pursuant to the Apple Inc. Amended Employee Stock Purchase Plan ("ESPP") for the ESPP purchase period of August 1, 2018 through January 31, 2019.

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- (3) Shares withheld by Registrant to satisfy the statutory tax withholding requirements on vesting of restricted stock units. No shares were sold.

This award was granted on October 5, 2015. 12.5% of the award vested on April 15, 2016 and the remaining restricted stock units vest

- (4) 12.5% in semi-annual installments over the four-year period ending October 15, 2019, assuming continued employment through the applicable vesting date.

This award was granted on October 14, 2016. 12.5% of the award vested on April 15, 2017 and the remaining restricted stock units vest

- (5) 12.5% in semi-annual installments over the four-year period ending October 15, 2020, assuming continued employment through the applicable vesting date.

This award was granted on October 15, 2017. 12.5% of the award vested on April 15, 2018 and the remaining restricted stock units vest

- (6) 12.5% in semi-annual installments over the four-year period ending October 15, 2021, assuming continued employment through the applicable vesting date.

This award was granted on September 30, 2018. 12.5% of the award vested on April 15, 2019 and the remaining restricted stock units

- (7) vest 12.5% in semi-annual installments over the four-year period ending October 15, 2022, assuming continued employment through the applicable vesting date.

### Remarks:

#### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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