Edgar Filing: CATERPILLAR INC - Form 4

CATERPILL	AR INC									
Form 4										
July 02, 2013	5									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB AF	PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this if no long subject to Section 16 Form 4 or Form 5	SECUR	GES IN BENEFICIAL OWNERSHIP SECURITIES 6(a) of the Securities Exchange Act of 19				Expires: January 3 200 Estimated average burden hours per response 0				
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(a	a) of the Public U 30(h) of the I	•	•	- ·			n		
(Print or Type R	lesponses)									
POWELL CHARLES D Symbol			r Name and Ticker or Trading RPILLAR INC [CAT]				5. Relationship of Reporting Person(s) to Issuer			
(Last)				of Earliest Transaction			(Check all applicable)			
100 N.E. ADAMS STREET(Month/D 06/28/20(Street)4. If American descent d			th/Day/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)			
			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PEORIA, IL	61629						Form filed by M Person			
(City)	(State)	(Zip) Tab	ole I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securi on(A) or Di (Instr. 3, Amount	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	06/28/2013		A <u>(1)</u>	37	A	\$ 82.75	17,473	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumbe	6. Date Exer		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(wondur Day) Teat)	(Month/Day/Year)	Code (Instr. 8)	onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Underlying Securities (Instr. 3 and 4	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr	
				Code V	(A) (E	D) Date Exercisable	Expiration Date	Title Amoun or Numbo of Shares	er	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
POWELL CHARLES D 100 N.E. ADAMS STREET PEORIA, IL 61629	Х						
Signatures							
Charles D. Powell; S.R. Underwood, POA		07/0	2/2013				
**Signature of Reporting Person		D	ate				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock received in lieu of director's fees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.