Hass Douglas Form 4 March 26, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31,

**OMB APPROVAL** 

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hass Douglas

2. Issuer Name and Ticker or Trading Symbol

Issuer

Lifeway Foods, Inc. [LWAY] 3. Date of Earliest Transaction

(Check all applicable)

5. Relationship of Reporting Person(s) to

C/O LIFEWAY FOODS, INC., 6431

(Month/Day/Year) 03/22/2019

Director 10% Owner Other (specify X\_ Officer (give title below)

(Middle)

General Counsel

W. OAKTON STREET

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MORTON GROVE, IL 60053

(City)	(State) (	(Zip) Table	e I - Non-D	erivative	Secur	ities Acq	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock, no par value	03/22/2019		A	5,395 (1)	A	\$0	11,634	D	
Common Stock, no par value	03/22/2019		F	2,353 (2)	D	\$ 2.15	9,281	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Hass Douglas - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number owf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (Right to Buy)	\$ 9.57	07/01/2016		A	150	(3)	07/01/2026	Common Stock	150	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Hass Douglas							
C/O LIFEWAY FOODS, INC.			General Counsel				
6431 W. OAKTON STREET			General Counsel				
MORTON GROVE, IL 60053							

## **Signatures**

/s/ Douglas Hass
03/26/2019

\*\*Signature of Pate
Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a restricted stock award pursuant to the Company's 2015 Omnibus Incentive Plan.
- (2) No stock was sold. In connection with the vesting of 5,395 shares of stock, the Company withheld a total of 2,353 shares to satisfy a payroll tax liability incident to such vesting.
- (3) Of such options, 100 are vested and 50 will vest on July 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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