OneBeacon Insurance Group, Ltd.

Form 4

April 20, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Bridge Holdings (Bermuda) Ltd.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

04/18/2016

OneBeacon Insurance Group, Ltd.

(Check all applicable)

[OB]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

X 10% Owner Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O WHITE MOUNTAINS **INSURANCE GROUP LTD., 80** SOUTH MAIN STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

HANOVER, NH 03755

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if (Month/Day/Year) 3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I)

(A)

or

Transaction(s) (Instr. 4) (Instr. 3 and 4)

Code V Amount (D) Price

14,427,449 14,427,449 (1)

Class B

Common 04/18/2016 Shares

J

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Bridge Holdings (Bermuda) Ltd. C/O WHITE MOUNTAINS INSURANCE GROUP LTD. **80 SOUTH MAIN STREET** HANOVER, NH 03755

X

Signatures

Wesley C. Bell by Power of Attorney

04/20/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is a wholly owned indirect subsidiary of White Mountains Insurance Group, Ltd. ("WMIG"). The 14,427,449 Class (1) B Common Shares were transferred to the reporting person by various other wholly owned indirect subsidiaries of WMIG in connection with the closing of the sale of Sirius International Insurance Group, Ltd. to CM International Holding Pte. Ltd.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. of Shareholder's Capital ------

AECC AVIATION POWER CO LTD Ticker: 600893 Security ID: Y9730A108 Meeting Date: MAY 14, 2018 Meeting Type: Special Record Date: MAY 07, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Additional 2018 External For Against Management Guarantee Provision 2 Elect Sun Hongwei as Non-independent For For Shareholder Director and Board Strategic Committee and Audit Committee Member

------ AECC AVIATION POWER CO LTD Ticker: 600893 Security ID: Y9730A108 Meeting Date: JAN 24, 2018 Meeting Type: Special Record Date: JAN 17, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Continued Related-party For Against Management Transaction with Ultimate Controlling Shareholder and Its Related Party 2 Approve 2018 External Guarantee For Against Management

Reporting Owners 2

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Provision 3 Approve 2018 Financing Limit and For For Management Authorization to Sign Relevant Agreement 4
Approve Adjustment on Implementation For For Management Content of Raised Funds Investment Projects
------ AISINO CORP. Ticker: 600271 Security ID:
Y0017G124 Meeting Date: SEP 22, 2017 Meeting Type: Special Record Date: SEP 15, 2017 # Proposal Mgt Rec
Vote Cast Sponsor 1 Approve Repurchase Cancellation of For For Management Partial Stock Award 2.01 Elect Yao
Yuhong as Non-independent For For Management Director
------ AISINO CORP. Ticker: 600271 Security ID:
Y0017G124 Meeting Date: JUN 08, 2018 Meeting Type: Annual Record Date: JUN 01, 2018 # Proposal Mgt Rec
Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Financial Statements
For For Management 3 Approve Annual Report and Summary For For Management 4 Approve Profit Distribution For
For Management 5 Amend Articles of Association For For Management 6 Approve 2018 Appointment of Auditor For
For Management 7 Approve Repurchase and Cancellation of For For Management Performance Share Incentive Plan
8 Approve Report of the Board of For For Management Supervisors
----- BEIJING THUNISOFT CORPORATION LTD.
Ticker: 300271 Security ID: Y0773R108 Meeting Date: MAY 07, 2018 Meeting Type: Annual Record Date: APR 27,
2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2
Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For
Management 4 Approve Annual Report and Summary For For Management 5 Approve Profit Distribution For For
Management 6 Approve Appointment of Auditor For For Management 7.1 Approve Remuneration of
Non-Independent For For Management Directors 7.2 Approve Remuneration of Independent For For Management
Directors 8.1 Approve Remuneration of Supervisor For For Management Chairman Fan Jiaojiao 8.2 Approve
Remuneration of Supervisor Wang For For Management Zhen 8.3 Approve Remuneration of Supervisor Wang For
For Management Yue 9 Approve Performance Compensation For For Management Commitments Plan 10 Approve
Impairment Test Report For For Management 11 Approve Repurchase Cancellation of For For Management
Performance Shares ------ BEIJING THUNISOFT
CORPORATION LTD. Ticker: 300271 Security ID: Y0773R108 Meeting Date: JUN 11, 2018 Meeting Type: Special
Record Date: JUN 04, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For
Management Private Placement of Shares 2.1 Approve Share Type and Par Value For For Management 2.2 Approve
Issue Manner For For Management 2.3 Approve Target Subscribers and For For Management Subscription Method
2.4 Approve Issue Price and Pricing For For Management Principle 2.5 Approve Issue Size For For Management 2.6
Approve Lock-up Period For For Management 2.7 Approve Listing Exchange For For Management 2.8 Approve
Distribution Arrangement of For For Management Undistributed Earnings 2.9 Approve Amount and Use of Proceeds
For For Management 2.10 Approve Resolution Validity Period For For Management 3 Approve Plan on Private
Placement of For For Management Shares 4 Approve Demonstration Analysis Report For For Management in
Connection to Private Placement 5 Approve Feasibility Analysis Report on For For Management the Use of Proceeds
6 Approve Report on the Usage of For For Management Previously Raised Funds 7 Approve Establishment of Special
For For Management Account for Proceeds 8 Approve Authorization of Board to For For Management Handle All
Related Matters 9 Approve Impact of Dilution of Current For For Management Returns on Major Financial Indicators
and the Relevant Measures to be Taken 10 Approve Shareholder Return Plan in the For For Management Next Three
Years 11 Approve Repurchase and Cancellation of For For Management Partial Performance Shares
------ BLUEFOCUS COMMUNICATION GROUP CO.,
LTD. Ticker: 300058 Security ID: Y091AZ103 Meeting Date: SEP 15, 2017 Meeting Type: Special Record Date:
SEP 11, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1.00 Approve Introducing New Companies to For For
Management Foreign Currency Bonds 2.00 Approve Authorization of the Board to For For Management Handle
Matters Relating to Foreign Currency Bonds 3.00 Approve Guarantee Provision of the For For Management Company
and its Overseas Subsidiary to the Entire Principal and Interest of the Foreign Currency Bonds Issuance 4.00 Approve
Sale of Partial Electricity For For Management Rights 5.00 Approve Authorization of the Board to For For
Management Handle Matters Relating to Electricity Rights 6.00 Approve Provision of Guarantee to For For
Management Wholly-owned Subsidiary Beijing Sien Advertising Co., Ltd. 7.00 Approve Application of Bank Credit
For For Management Lines from Hangzhou Bank of Wholly-owned Subsidiary Beijing Sien Advertising Co., Ltd.
8.00 Approve Application of Bank Credit For For Management Lines from Hangzhou Bank of Wholly-owned
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Subsidiary Duomeng Ruida China Technology Co., Ltd. 9.00 Approve Application of Bank Credit For For Management Lines from WeBank of Wholly-owned Subsidiary Duomeng Ruida China Technology Co., Ltd. 10.00 Approve Application of Bank Credit For For Management Lines from Pingan Bank 11.00 Approve Restricted Stock Repurchase For For Management Cancellation 12.00 Amend Articles of Association For For Management ------BLUEFOCUS COMMUNICATION GROUP CO., LTD. Ticker: 300058 Security ID: Y091AZ103 Meeting Date: FEB 09, 2018 Meeting Type: Special Record Date: FEB 05, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Acquisition of Equity For Abstain Management 2 Approve Rules and Procedures in Regards For Abstain Management to the Meetings of the Bondholders 3 Approve Company's Eligibility for For For Management Issuance of Corporate Bonds 4 Approve Company's Plan for Issuance of For For Management Corporate Bonds 5 Approve Authorization of Board to For For Management Handle All Related Matters 6 Approve Rules and Procedures in Regards For For Management to the Meetings of Holders of This Corporate Bonds Issuance 7 Approve Changes in Accounting Policies For For Management 8 Approve Repurchase Cancellation of For For Management Performance Shares ------ BLUEFOCUS COMMUNICATION GROUP CO., LTD. Ticker: 300058 Security ID: Y091AZ103 Meeting Date: APR 10, 2018 Meeting Type: Special Record Date: APR 03, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Amend Draft of Performance Shares For Against Management Incentive Plan 2 Approve Repurchase Cancellation of For For Shareholder Performance Shares 3 Approve Asset Securitization of For For Shareholder Accounts Receivable 4 Approve Authorization of Board to For For Shareholder Handle All Related Matters to Asset Securitization 5.1 Elect Sun Taoran as Non-Independent For For Shareholder Director 5.2 Elect Xu Oing as Non-Independent For For Shareholder Director ------ BLUEFOCUS COMMUNICATION GROUP CO., LTD. Ticker: 300058 Security ID: Y091AZ103 Meeting Date: MAY 22, 2018 Meeting Type: Annual Record Date: MAY 14, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Financial Statements For For Management 2 Approve Report of the Board of For For Management Directors 3 Approve Report of the Board of For For Management Supervisors 4 Approve Annual Report and Summary For For Management 5 Approve Profit Distribution For For Management 6 Approve 2018 Remuneration of Directors For For Management and Supervisors 7 Approve Appointment of Auditor For For Management 8 Approve Internal Control For For Management Self-Evaluation Report 9 Approve Special Report on the Deposit For For Management and Usage of Raised Funds 10 Approve Repurchase and Cancellation of For For Management Performance Shares 11 Amend Articles of Association For For Shareholder 12 Approve Repurchase Cancellation of For For Shareholder Performance Shares ------ BLUEFOCUS COMMUNICATION GROUP CO., LTD. Ticker: 300058 Security ID: Y091AZ103 Meeting Date: APR 27, 2018 Meeting Type: Special Record Date: APR 20, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Change in Relevant Commitments For For Management 2 Approve Change of Company Name For For Management 3 Approve Change of Business Scope For For Management ------ CHINA CONSTRUCTION BANK CORPORATION Ticker: 939 Security ID: Y1397N119 Meeting Date: OCT 09, 2017 Meeting Type: Special Record Date: SEP 08, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Elect Tian Guoli and Authorize the For For Management Remuneration Committee to Fix His Remuneration ------ CHINA CONSTRUCTION BANK CORPORATION Ticker: 939 Security ID: Y1397N119 Meeting Date: JUN 29, 2018 Meeting Type: Annual Record Date: MAY 29, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Report of the Board of For For Management Directors 2 Approve 2017 Report of the Board of For For Management Supervisors 3 Approve 2017 Final Financial Accounts For For Management 4 Approve 2017 Profit Distribution Plan For For Management 5 Approve Budget of 2018 Fixed Assets For For Management Investment 6 Approve Remuneration Distribution and For For Management Settlement Plan for Directors in 2016 7 Approve Remuneration Distribution and For For Management Settlement Plan for Supervisors in 2016 8 Elect Wang Zuji as Director For For Management 9 Elect Pang Xiusheng as Director For For Management 10 Elect Zhang Gengsheng as Director For For Management 11 Elect Li Jun as Director For For Management 12 Elect Anita Fung Yuen Mei as Director For For Management 13 Elect Carl Walter as Director For For Management 14 Elect Kenneth Patrick Chung as Director For For Management 15 Elect Wu Jianhang as Supervisor For For Management 16 Elect Fang Qiuyue as Supervisor For For Management

17 Approve Capital Plan for 2018 to 2020 For For Management 18 Approve PricewaterhouseCoopers Zhong For For

Management Tian LLP as Domestic Auditors and PricewaterhouseCoopers as International Auditors and Fix Their Remuneration 19 Approve Amendments to the Authorization For For Management to the Board of Directors by the Shareholders' General Meeting on External Donations ------ CHINA CYTS TOURS HOLDING CO., LTD. Ticker: 600138 Security ID: Y1400N106 Meeting Date: MAY 25, 2018 Meeting Type: Annual Record Date: MAY 17, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve Profit Distribution For For Management 6 Approve Report of the Independent For For Management Directors 7 Amend Articles of Association Regarding For Abstain Management Party Committee 8 Amend Rules and Procedures Regarding For Abstain Management Meetings of Board of Directors 9 Amend Rules and Procedures Regarding For Abstain Management Meetings of Board of Supervisors 10 Approve 2018 Guarantee Plan For Abstain Management 11.1 Approve Related-party Transaction with For For Management Beijing Gubei Water Town Tourism Co., Ltd. 11.2 Approve Related-party Transaction with For For Management CYTS Hongqi (Hengqin) Fund Management Co., Ltd. 11.3 Approve Related-party Transaction with For For Management CYTS Yaoyue (Beijing) Tourism Co., Ltd. 11.4 Approve Related-party Transaction with For For Management China Everbright Group Corporation and Its Subsidiaries 12 Approve Payment of Remuneration to For For Management Auditor 13.1 Elect Lin Chun as Non-Independent For For Shareholder Director 13.2 Elect Xu Xi as Non-Independent Director For Shareholder ------ CHINA CYTS TOURS HOLDING CO., LTD. Ticker: 600138 Security ID: Y1400N106 Meeting Date: DEC 14, 2017 Meeting Type: Special Record Date: DEC 07, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Exemption on Fulfillment of For For Management Commitment ----- CHINA FILM CO., LTD. Ticker: 600977 Security ID: Y1R8AC107 Meeting Date: JUN 12, 2018 Meeting Type: Annual Record Date: JUN 01, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve 2018 Financial Budget Report For Abstain Management 6 Approve Profit Distribution For For Management 7 Approve Appointment of Auditor For For Management 8 Approve 2018 Related Party Transactions For For Management 9 Amend Articles of Association For For Management 10 Approve Adjustment of Board Member For For Management ------ CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD. Ticker: 001979 Security ID: Y14907102 Meeting Date: JAN 30, 2018 Meeting Type: Special Record Date: JAN 23, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Joint Investment in For For Management Establishment of Equity Investment Fund with Related Party 2 Approve Provision of Guarantee to For For Management Associate Company ------ CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO.,LTD. Ticker: 001979 Security ID: Y14907102 Meeting Date: APR 20, 2018 Meeting Type: Annual Record Date: APR 13, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For Management 4 Approve Profit Distribution For For Management 5 Approve Annual Report and Summary For For Management 6 Approve Change in External Auditor For For Management 7 Approve 2018 Daily Related Party For For Management Transaction 8 Approve General Authorization on For For Management Company's Issuance of Bond Products 9 Approve 2018 Deposits, Loans and For For Management Related-party Transactions at China Merchants Bank 10 Approve 2018 Provision of Guarantee for For For Management Subsidiary 11 Approve 2018 Provision of Financial For For Management Assistance for Joint Stock Company 12 Approve Provision of Financial For For Management Assistance for Authorization Management for the Project Company 13 Approve Purchase of Liability Insurance For For Management for Directors, Supervisors and Senior Management Members ------CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO.,LTD. Ticker: 001979 Security ID: Y14907102 Meeting Date: MAY 25, 2018 Meeting Type: Special Record Date: MAY 18, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Purchase of Equity and Related For For Management Party Transactions ------ CHINA MERCHANTS SHEKOU INDUSTRIAL

ZONE HOLDINGS CO.,LTD. Ticker: 001979 Security ID: Y14907102 Meeting Date: DEC 13, 2017 Meeting Type:

Special Record Date: DEC 05, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Initial Granting Plan of Stock For For Management Option 2 Approve Amendments to Articles of For Against Management Association 3 Approve Provision of Financial For For Management Assistance 4 Approve Provision of Guarantee to For For Management Shenzhen Merchants Overseas Chinese Town Investment Co., Ltd. 5 Approve Provision of Guarantee to For For Management Shenzhen China Merchants Real Estate Co., Ltd. 6 Approve Provision of Guarantee to For For Management Shenzhen Merchants Apartment Development Co., Ltd. 7 Approve Changes in Fund-raising For For Management Investment Project 8 Approve Establishment of Investment None For Shareholder Fund with Related-party ------ CHINA PACIFIC INSURANCE (GROUP) CO., LTD Ticker: 601601 Security ID: Y1505Z111 Meeting Date: DEC 27, 2017 Meeting Type: Special Record Date: DEC 20, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Amend Articles of Association For For Management 2 Amend Procedural Rules Regarding For For Management General Meetings of Shareholders 3 Approve Amendments to the Procedural For For Management Rules of the Board 4 Elect He Oing as Director For For Management ----- CHINA PACIFIC INSURANCE (GROUP) CO., LTD Ticker: 601601 Security ID: Y1505Z111 Meeting Date: JUN 15, 2018 Meeting Type: Annual Record Date: JUN 07, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve H Shares 2017 Annual Report For For Management 5 Approve 2017 Financial Statements For For Management 6 Approve Profit Distribution For For Management 7 Approve to Appoint Auditor For For Management 8 Approve 2017 Annual Due Diligence For For Management Report 9 Approve Duty Performance Report of For For Management Independent Director 10 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights 11 Elect Zhu Yonghong as Supervisor For For Management 12 Elect Lu Ning as Supervisor For For Management 13 Elect Huang Dinan as Director For For Shareholder 14 Approve Resolution on Routine Related For For Shareholder Party Transactions between the Company and Shanghai Rural Commercial Bank Co., Ltd.

------ CHINA PETROLEUM & CHEMICAL CORP. Ticker: 386 Security ID: Y15010112 Meeting Date: MAY 15, 2018 Meeting Type: Annual Record Date: APR 13, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve 2017 Financial Reports For For Management 4 Approve 2017 Profit Distribution Plan For For Management 5 Approve Interim Profit Distribution For For Management Plan 6 Approve PricewaterhouseCoopers Zhong For For Management Tian LLP and PricewaterhouseCoopers as External Auditors and Authorize Board to Fix Their Remuneration 7 Approve Service Contracts Between the For For Management Company and Directors and Supervisors 8 Amend Articles of Association For For Management 9 Amend Rules of Procedure Regarding For For Management Meetings of Board of Directors 10 Approve the Establishment of the Board For For Management Committee 11 Authorize Board to Determine the For Against Management Proposed Plan for the Issuance of Debt Financing Instruments 12 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights 13.01 Elect Dai Houliang as Director For For Management 13.02 Elect Li Yunpeng as Director For For Management 13.03 Elect Jiao Fangzheng as Director For For Management 13.04 Elect Ma Yongsheng as Director For For Management 13.05 Elect Ling Yiqun as Director For For Management 13.06 Elect Liu Zhongyun as Director For For Management 13.07 Elect Li Yong as Director For For Management 14.01 Elect Tang Min as Director For For Management 14.02 Elect Fan Gang as Director For For Management 14.03 Elect Cai Hongbin as Director For For Management 14.04 Elect Ng, Kar Ling Johnny as Director For For Management 15.01 Elect Zhao Dong as Supervisor For For Management 15.02 Elect Jiang Zhenying as Supervisor For For Management 15.03 Elect Yang Changjiang as Supervisor For For Management 15.04 Elect Zhang Baolong as Supervisor For For Management 15.05 Elect Zou Huiping as Supervisor For For Management ------ CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD. Ticker: 000999 Security ID: Y7503B105 Meeting Date: SEP 26, 2017 Meeting Type: Special Record Date: SEP 20, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Loan Application For For Management 2.01 Elect Qiu Huawei as Non-independent None For Shareholder Director 2.02 Elect Liu Wentao as Non-independent None For Shareholder Director

Type: Annual Record Date: APR 17, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Financial Statements For For Management 2 Approve Profit Distribution For For Management 3 Approve Report of the Board of For For Management Directors 4 Approve Report of the Board of For For Management Supervisors 5 Approve Annual Report and Summary For For Management 6 Approve 2018 Daily Related-party For For Management Transactions 7 Approve Allowance of Independent For For Management Directors 8.1 Elect Song Oing as Non-independent For For Shareholder Director 8.2 Elect Guo Wei as Non-independent For For Shareholder Director 8.3 Elect Liu Wentao as Non-independent For For Shareholder Director 8.4 Elect Weng Jingwen as Non-independent For For Shareholder Director 8.5 Elect Wu Jun as Non-independent For For Shareholder Director 8.6 Elect Qiu Huawei as Non-independent For For Management Director 8.7 Elect Zhou Hui as Non-independent For For Management Director 9.1 Elect Yao Xingtian as Independent For For Management Director 9.2 Elect Tu Pengfei as Independent For For Management Director 9.3 Elect Xu Fang as Independent Director For Management 9.4 Elect Liu Junyong as Independent For For Management Director 10.1 Elect Fang Ming as Supervisor For For Shareholder 10.2 Elect Feng Yi as Supervisor For For Shareholder 10.3 Elect Li Guohui as Supervisor For For Shareholder ------ CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD. Ticker: 000999 Security ID: Y7503B105 Meeting Date: FEB 13, 2018 Meeting Type: Special Record Date: FEB 06, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Appointment of 2017 Auditor For For Management 2 Amend Articles of Association For Against Management (Additional Content in Party Building Work) 3 Amend Articles of Association For For Management (Strengthen the Protection of Investors' Rights and Related Revisions) ------ CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD. Ticker: 000999 Security ID: Y7503B105 Meeting Date: JUN 07, 2018 Meeting Type: Special Record Date: MAY 31, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve to Appoint Auditor For For Management ------ CHINA SOUTH PUBLISHING & MEDIA GROUP CO LTD Ticker: 601098 Security ID: Y15174108 Meeting Date: MAY 17, 2018 Meeting Type: Annual Record Date: MAY 10, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Report of the Independent For For Management Directors 4 Approve Remuneration of Directors For For Management 5 Approve Remuneration of Supervisors For For Management 6 Approve Annual Report and Summary For For Management 7 Approve Profit Distribution For For Management 8 Approve Financial Statements For For Management 9 Approve Appointment of Financial For For Management Auditor and Internal Control Auditor 10 Approve Financial Services Agreement For Against Management with Controlling Shareholder 11 Approve 2017 Daily Related Party For Against Management Transaction and 2018 Daily Related Party Transactions 12 Approve Use of Excess Raised Funds to For For Management Replenish Working Capital 13.1 Elect Gong Shuguang as Non-Independent For For Management Director 13.2 Elect Peng Bo as Non-Independent For For Management Director 13.3 Elect Ding Shuangping as For For Management Non-Independent Director 13.4 Elect Gao Jun as Non-Independent For For Management Director 13.5 Elect Shu Bin as Non-Independent For For Management Director 14.1 Elect Ji Shuihe as Independent Director For Management 14.2 Elect Chen Gongrong as Independent For For Management Director 14.3 Elect He Xiaogang as Independent For For Management Director 15.1 Elect Xu Shufu as Supervisor For For Management 15.2 Elect Liu Hong as Supervisor For For Management 15.3 Elect Zhang Xudong as Supervisor For For Management ------ CITIC SECURITIES CO., LTD. Ticker: 600030 Security ID: Y1639N117 Meeting Date: JUN 26, 2018 Meeting Type: Annual Record Date: MAY 25, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Work Report of the Board For For Management 2 Approve 2017 Work Report of the For For Management Supervisory Committee 3 Approve 2017 Annual Report For For Management 4 Approve 2017 Profit Distribution Plan For For Management 5 Approve PricewaterhouseCoopers Zhong For For Management Tian LLP and PricewaterhouseCoopers as PRC and International Auditors Respectively, PricewaterhouseCoopers Zhong Tian LLP as Internal Control Auditor and Fix Their Remuneration 6 Approve 2018 Estimated Investment For For Management Amount for Proprietary Business 8 Approve Potential Related Party For For Management Transactions Involved in the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments 10 Approve Remuneration of Directors and For For Management Supervisors 11.01 Approve Contemplated Related For For Management Party/Connected Transactions Between the Company and Its Subsidiaries and the CITIC Group and Its Subsidiaries and Associates 11.02 Approve Contemplated Related For For Management Party/Connected Transactions Between the Company and Its Subsidiaries and Other

Related/Connected Parties 12 Elect Kuang Tao as Director For Shareholder 14 Elect Liu Hao as Supervisor For For Shareholder 7.01 Approve Issuing Entity, Size of For For Management Issuance and Method of Issuance in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.02 Approve Type of the Debt Financing For For Management Instruments in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.03 Approve Term of the Debt Financing For For Management Instruments in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.04 Approve Interest Rate of the Debt For For Management Financing Instruments in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.05 Approve Security and Other Arrangements For For Management in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.06 Approve Use of Proceeds in Relation to For For Management the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.07 Approve Issuing Price in Relation to For For Management the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.08 Approve Targets of Issue and the For For Management Placement Arrangements of the RMB Debt Financing Instruments to the Shareholders in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.09 Approve Listing of the Debt Financing For For Management Instruments in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.10 Approve Resolutions Validity Period in For For Management Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments 7.11 Approve Authorization for the Issuances For For Management of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments 9 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights for A Shares and H Shares 13 Amend Articles of Association For For Management

------ DAQIN RAILWAY CO., LTD. Ticker: 601006 Security ID: Y1997H108 Meeting Date: MAY 23, 2018 Meeting Type: Annual Record Date: MAY 16, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements and 2018 For For Management Financial Budget Report 4 Approve Profit Distribution For For Management 5 Approve Annual Report and Summary For For Management 6 Amend Articles of Association For For Management 7 Approve Appointment of Financial For For Management Auditor 8 Approve Appointment of Internal Control For For Management Auditor 9.1 Elect Bi Shoufeng as Non-Independent None For Shareholder Director 10.1 Elect Zhang Yongqing as Supervisor None For Shareholder ------ FOCUSED PHOTONICS (HANGZHOU) INC. Ticker: 300203 Security ID: Y2574T101 Meeting Date: SEP 15, 2017 Meeting Type: Special Record Date: SEP 08, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Extension of Resolution For For Management Validity Period of Private Placement 2 Approve Extension of Authorization of For For Management the Board to Handle Matters on Private Placement 3 Approve Amendments to Articles of For For Management Association to Expand Business Scope 4 Approve Water Ecological Environment For For Shareholder Management Agreement with Ultimate Controlling Shareholder ------FOCUSED PHOTONICS (HANGZHOU) INC. Ticker: 300203 Security ID: Y2574T101 Meeting Date: MAY 23, 2018 Meeting Type: Annual Record Date: MAY 18, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve Profit Distribution For For Management 6 Approve 2018 Appointment of Auditor For For Management 7 Approve Remuneration of Director and For For Management Senior Management Members 8 Approve Provision of Guarantee For For Management ------ GOERTEK INC. Ticker: 002241 Security ID: Y27360109 Meeting Date: APR 23, 2018 Meeting Type: Annual Record Date: APR 18, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For Management 4 Approve Annual Report and Summary For For Management 5 Approve Profit Distribution For For Management 6 Approve Report on the Deposit and Usage For For Management of Raised Funds 7 Approve Application of Bank Credit For For Management Lines 8 Approve Provision of Guarantee to HK For For Management Subsidiary 9 Approve Provision of Guarantee to For For Management Denmark Subsidiary 10 Approve

Foreign Exchange Derivatives For For Management Trading 11 Approve Appointment of Auditor For For

Management 12 Approve Shareholder Return in the Next For For Management Three Years 13 Amend Related-Party Transaction For For Management Management System ------ GOERTEK INC. Ticker: 002241 Security ID: Y27360109 Meeting Date: SEP 12, 2017 Meeting Type: Special Record Date: SEP 05, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Amendments to Articles of For For Management Association 2 Approve Appointment of Auditor For For Management ------ GUANGSHEN RAILWAY CO., LTD. Ticker: 525 Security ID: Y2930P108 Meeting Date: JUN 06, 2018 Meeting Type: Annual Record Date: MAY 04, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Work Report of the Board For For Management of Directors 2 Approve 2017 Work Report of the For For Management Supervisory Committee 3 Approve 2017 Audited Financial For For Management Statements 4 Approve 2017 Profits Distribution For For Management Proposal 5 Approve 2018 Financial Budget For For Management 6 Approve PricewaterhouseCoopers Zhong For For Management Tian LLP and PricewaterhouseCoopers as Auditors and Authorize Board and Audit Committee to Fix Their Remuneration 7 Approve Resumption of Land Use Rights For For Management over Guangzhou East Shipai Old Goods Yard 8.01 Elect Guo Ji'an as Director None For Shareholder 8.02 Elect Chen Xiaomei as Director None For Shareholder ------ HAND ENTERPRISE SOLUTIONS CO., LTD. Ticker: 300170 Security ID: Y3001F102 Meeting Date: JAN 15, 2018 Meeting Type: Special Record Date: JAN 08, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1.01 Approve Criteria to Select Plan For For Shareholder Participants 1.02 Approve Source, Type and Number of For For Shareholder Underlying Stocks 1.03 Approve Allocation of Underlying Stocks For For Shareholder to Incentive Targets 1.04 Approve Duration, Granting Date and For For Shareholder Lock-up Period 1.05 Approve Grant Price and Price-setting For For Shareholder Basis 1.06 Approve Unlocking Arrangements and For For Shareholder Assessment Conditions 1.07 Approve Adjustment on Equity Incentive For For Shareholder Plan 1.08 Approve Granting Procedures of Equity For For Shareholder to Incentive Targets 1.09 Approve Rights and Obligations of the For For Shareholder Plan Participants and the Company 1.10 Approve Changes and Termination of For For Shareholder Equity Incentive Plan 1.11 Approve Accounting Treatment and Effect For For Shareholder on Company's Business Performance 1.12 Approve Share Repurchase Cancellation For For Shareholder 2 Approve Authorization of Board to For For Management Handle All Related Matters 3 Approve Methods to Assess the For For Management Performance of Plan Participants ------ HAND ENTERPRISE SOLUTIONS CO., LTD. Ticker: 300170 Security ID: Y3001F102 Meeting Date: FEB 07, 2018 Meeting Type: Special Record Date: JAN 31, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Joint Investment in Equity For For Management Investment Funds and Related-party Transactions 2 Approve Comprehensive Bank Credit Line For For Management Application 3 Approve Adjustment in 2015 Employee For For Management Share Purchase Plan and Extend Its Duration ------ HUAFA INDUSTRIAL CO., LTD, ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: MAY 09, 2018 Meeting Type: Annual Record Date: MAY 03, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve 2018 Financial Budget Report For For Management 6 Approve Profit Distribution For For Management 7 Approve Corporate Social Responsibility For For Management Report 8 Approve Report of the Independent For For Management Directors 9 Approve Appointment of Auditor For For Management ------ HUAFA INDUSTRIAL CO., LTD. ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: MAY 14, 2018 Meeting Type: Special Record Date: MAY 08, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1.1 Elect Guo Lingyong as Non-independent For For Management Director 1.2 Elect Tang Jianjun as Non-independent For For Management Director 1.3 Elect Zhang Xuebing as Independent For For Management Director ------ HUAFA INDUSTRIAL CO., LTD. ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: FEB 12, 2018 Meeting Type: Special Record Date: FEB 06, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2016 Remuneration of Directors For For Management 2 Approve 2016 Remuneration of For For Management Supervisors 3 Approve Repurchase Cancellation of For For Management Performance Shares that has been Granted but Not Yet Unlocked 4 Approve Issuance of Property

Remaining For For Management Payment Asset Support Special Plan and Related-party Transactions 5 Approve Authorization of Board to For For Management Handle All Related Matters ------ HUAFA INDUSTRIAL CO., LTD. ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: MAR 16, 2018 Meeting Type: Special Record Date: MAR 12, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Authorization of the Company For For Management and Subsidiary to Apply for Financing 2 Approve 2018 Guarantee Plan For Against Management 3 Approve Loan and Comprehensive Credit For For Management Line Applications 4 Approve Authorization of the Company's For Against Management Management Team to Engage in External Investments 5 Approve Authorization of the Company's For Against Management Management Team to Engage in Land Acquisition 6 Amend Financial Services Agreement and For Against Management Related-party Transaction ------ HUAFA INDUSTRIAL CO., LTD. ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: APR 12, 2018 Meeting Type: Special Record Date: APR 03, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Amend Articles of Association For For Management 2 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors ------ HUAFA INDUSTRIAL CO., LTD, ZHUHAI Ticker: 600325 Security ID: Y3739J103 Meeting Date: MAY 30, 2018 Meeting Type: Special Record Date: MAY 24, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For Management Issuance of Corporate Bonds 2.1 Approve Issue Size For For Management 2.2 Approve Bond Maturity For For Management 2.3 Approve Bond Interest Rate and Method For For Management of Determination 2.4 Approve Issue Manner For For Management 2.5 Approve Target Subscribers For For Management 2.6 Approve Use of Proceeds For For Management 2.7 Approve Safeguard Measures of Debts For For Management Repayment 2.8 Approve Resolution Validity Period For For Management 3 Approve Authorization of the Board to For For Management Handle All Related Matters 4 Approve Appointment of Underwriters for For For Management this Corporate Bonds Issuance ----- INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED Ticker: 1398 Security ID: Y3990B104 Meeting Date: JUN 26, 2018 Meeting Type: Annual Record Date: MAY 25, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Work Report of the Board For For Management of Directors 2 Approve 2017 Work Report of the Board For For Management of Supervisors 3 Approve 2017 Audited Accounts For For Management 4 Approve 2017 Profit Distribution Plan For For Management 5 Approve 2018 Fixed Asset Investment For For Management Budget 6 Approve 2018-2020 Capital Planning For For Management 7 Approve KPMG Huazhen LLP and KPMG as For For Management External Auditors and KPMG Huazhen LLP as Internal Control Auditors 8 Elect Cheng Fengchao Director For For Management 9 Amend Plan on Authorization of the For For Management Shareholders' General Meeting to the Board of Directors ------ INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED Ticker: 1398 Security ID: Y3990B104 Meeting Date: NOV 29, 2017 Meeting Type: Special Record Date: OCT 27, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Remuneration of Directors and For For Management Supervisors for 2016 2 Elect Anthony Francis Neoh as Director For For Management 3 Amend Rules and Procedures Regarding For For Management General Meetings of Shareholders 4 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors 5 Amend Rules and Procedures Regarding For For Management Meetings of Board of Supervisors ----- INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD. Ticker: 600887 Security ID: Y408DG116 Meeting Date: SEP 11, 2017 Meeting Type: Special Record Date: SEP 01, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Elect Zhao Chengxia as Non-Independent For For Management Director 2 Approve Write-off of Partial Stock For For Management Incentive Option and Repurchase Cancellation of Partial Restricted Stock 3 Amend Articles of Association For For Management ------ INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD. Ticker: 600887 Security ID: Y408DG116 Meeting Date: MAY 31, 2018 Meeting Type: Annual Record Date: MAY 23, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Annual Report and Summary For For Management 2 Approve Report of the Board of For For Management Directors 3 Approve Report of the Board of For For Management Supervisors 4 Approve Business Strategy and For For Management Investment Plan 5 Approve Financial Statements and 2018 For For Management Financial Budget Report 6 Approve Profit Distribution For For Management 7 Approve Report of the Independent For For Management Directors 8 Approve Provision of Guarantee

For For Management 9 Approve Amendments to Articles of For For Management Association 10 Approve Cancellation of Stock Option For For Management and Repurchase Cancellation of Performance Shares 11 Approve Appointment of Financial For For Management Auditor and Internal Control Auditor as well as Their Remuneration ------ JIANGSU EXPRESSWAY CO. LTD. Ticker: 177 Security ID: Y4443L111 Meeting Date: JUN 21, 2018 Meeting Type: Annual Record Date: MAY 21, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Report of the Board of For For Management Directors 2 Approve 2017 Report of the Board of For For Management Supervisors 3 Approve 2017 Financial Statements and For For Management Statutory Reports 4 Approve 2017 Annual Financial For For Management Statements 5 Approve 2018 Financial Budget Report For For Management 6 Approve 2017 Profit Distribution Plan For For Management 7 Approve Deloitte Touche Tohmatsu For For Management Certified Public Accountants LLP as Auditors and Internal Auditors and Authorize Board to Fix Their Remuneration 8 Approve Amendments to Articles of For For Management Association 9 Approve Issuance of Debt Financing None Non-Voting Management Instruments 9.1 Approve Issue Size and Method For For Management 9.2 Approve Type of Debt Financing For For Management Instruments 9.3 Approve Maturity of Debt Financing For For Management Instruments 9.4 Approve Target Subscribers and Placing For For Management Arrangement for Shareholders 9.5 Approve Interest Rate For For Management 9.6 Approve Use of Proceeds For For Management 9.7 Approve Listing Exchange For For Management 9.8 Approve Guarantee Provision For For Management 9.9 Approve Resolution Validity Period For For Management 9.10 Approve Authorization of the Board to For For Management Handle All Related Matters 10.1 Elect Gu Dejun as Director For For Management 10.2 Elect Chen Yanli as Director For For Management 10.3 Elect Chen Yongbing as Director For For Management 10.4 Elect Yao Yongjia as Director For For Management 10.5 Elect Wu Xinhua as Director For For Management 10.6 Elect Hu Yu as Director For For Management 10.7 Elect Ma Chung Lai, Lawrence as For For Management Director 11.1 Elect Zhang Zhuting as Independent For For Management Director 11.2 Elect Chen Liang as Independent For For Management Director 11.3 Elect Lin Hui as Independent Director For For Management 11.4 Elect Zhou Shudong as Independent For For Management Director 12.1 Elect Yu Lanying as Supervisor For For Management 12.2 Elect Ding Guozhen as Supervisor For For Management 12.3 Elect Pan Ye as Supervisor For For Management ------ JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD. Ticker: 002304 Security ID: Y444AE101 Meeting Date: JAN 29, 2018 Meeting Type: Special Record Date: JAN 24, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Amendments to Articles of For For Management Association 2.1 Elect Wang Yao as Non-independent For For Management Director 2.2 Elect Zhong Yu as Non-independent For For Management Director 2.3 Elect Han Feng as Non-independent For For Management Director 2.4 Elect Wang Kai as Non-independent For For Management Director 2.5 Elect Cong Xuenian as Non-independent For For Management Director 2.6 Elect Zhou Xinhu as Non-independent For For Management Director 2.7 Elect Liu Huashuang as Non-independent For For Management Director 3.1 Elect Xu Zhijian as Independent For For Management Director 3.2 Elect Cai Yunqing as Independent For For Management Director 3.3 Elect Ji Xueqing as Independent For For Management Director 3.4 Elect Chen Tongguang as Independent For For Management Director 4.1 Elect Chen Yiqin as Supervisor For For Management 4.2 Elect Zhou Wenqi as Supervisor For For Management 4.3 Elect Chen Taisong as Supervisor For For Management ------ JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD. Ticker: 002304 Security ID: Y444AE101 Meeting Date: MAY 23, 2018 Meeting Type: Annual Record Date: MAY 18, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve Profit Distribution For For Management 6 Approve Appointment of Financial Report For For Management Auditor ------ MIDEA GROUP CO., LTD. Ticker: 000333 Security ID: Y6S40V103 Meeting Date: APR 23, 2018 Meeting Type: Annual Record Date: APR 16, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisor 3 Approve Financial Statements For For Management 4 Approve Annual Report and Summary For For Management 5 Approve Profit Distribution For For Management 6 Approve Stock Option Incentive Plan and For For Management Its Summary 7 Approve Appraisal Measures of the Stock For For Management Option Incentive Plan 8 Approve Authorization of the Board to For For Management Handle All Related Matters on Stock Option Incentive Plan 9 Approve Draft and Summary of For For Management Performance

Shares Incentive Plan 10 Approve Methods to Assess the For For Management Performance of Plan Participants 11 Approve Authorization of the Board to For For Management Handle All Related Matters on Performance Shares Incentive Plan 12 Approve Phase IV Global Partnership For For Management Stock Ownership Plan Draft and Summary 13 Approve Authorization of the Board to For For Management Handle All Related Matters on Phase IV Global Partnership Stock Ownership Plan 14 Approve Phase I Business Partnership For For Management Stock Ownership Plan Draft and Summary 15 Approve Authorization of the Board to For For Management Handle All Related Matters on Phase I Business Partnership Stock Ownership Plan 16 Approve Provision of Guarantee For For Management 17 Approve Special Report on Foreign For For Management Exchange Capital Derivatives Business Investment 18 Approve Use of Idle Funds to Conduct For For Management Entrusted Asset Management 19 Approve Deposit and Loan Related Party For For Management Transactions 20 Amend Articles of Association For For Management 21 Approve Appointment of Auditor For For Management

------ MIDEA GROUP CO., LTD. Ticker: 000333 Security ID: Y6S40V103 Meeting Date: SEP 15, 2017 Meeting Type: Special Record Date: SEP 08, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Deposit, Loan and For For Management Related-party Transaction -----NARI TECHNOLOGY DEVELOPMENT LTD CO Ticker: 600406 Security ID: Y6S99Q112 Meeting Date: JUN 14, 2018 Meeting Type: Annual Record Date: JUN 07, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Financial Statements For For Management 2 Approve Profit Distribution For For Management 3 Approve Report of the Independent For For Management Directors 4 Approve Report of the Board of For For Management Directors 5 Approve Report of the Board of For For Management Supervisors 6 Approve Annual Report and Summary For For Management 7 Approve 2018 Financial Budget Report For For Management 8 Approve 2018 Appointment of Financial For For Management and Internal Control Auditor 9 Approve Entrusted Loan Application and For For Management Related Party Transactions 10 Approve 2018 Daily Related Party For Against Management Transactions and Financial Services Related Transactions 11 Approve Change in Registered Capital, For Abstain Management Business Scope and Amend Articles of Association 12 Amend Rules and Procedures Regarding For For Management General Meetings of Shareholders 13 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors 14 Approve Changes in Accounting Estimates For For Management 15.1 Elect Xiong Yanren as Independent For For Management Director ------ NARI TECHNOLOGY DEVELOPMENT LTD CO Ticker: 600406 Security ID: Y6S99Q112 Meeting Date: AUG 21, 2017 Meeting Type: Special Record Date: AUG 14, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For Management Assets Purchase by Cash Payment and Issuance of New Shares to Specific Parties as well as Raising Supporting Funds 2 Approve Transaction Constitute as For For Management Related-Party Transaction 3.01 Approve Transaction Parties For For Management 3.02 Approve Target Assets For For Management 3.03 Approve Transaction Method For For Management 3.04 Approve Transaction Amount For For Management 3.05 Approve Issue Price in Connection to For For Management Issuance of New Shares and Cash Payment for Asset Purchase 3.06 Approve Issue Type and Par Value in For For Management Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.07 Approve Asset Purchased Amount, Payment For For Management Price and Issue Amount in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.08 Approve Issue Price Adjustment For For Management Mechanism in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.09 Approve Share Lock-up Period in For For Management Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.10 Approve Cash Payment Method and Payment For For Management Time in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.11 Approve Performance Commitments and For For Management Compensation Arrangements in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.12 Approve Transition Period Arrangements For For Management in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.13 Approve Distribution Arrangement of For For Management Undistributed Earnings in Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.14 Approve Arrangement of Employees in For For Management Connection to Issuance of New Shares and Cash Payment for Asset Purchase 3.15 Approve Issue Price in Connection to For For Management Raising Supporting Funds 3.16 Approve Issue Type and Par Value in For For Management Connection to Raising Supporting Funds 3.17 Approve Raising Supporting Funds and For For Management Issue Amount in Connection to Raising Supporting Funds 3.18 Approve Share Lock-up Situation in For For Management Connection to Raising Supporting Funds 3.19

Approve Use of Proceeds in Connection For For Management to Raising Supporting Funds 3.20 Approve Resolution Validity Period For For Management 4 Approve Report (Draft) on Company's For For Management Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds and Its Summary 5 Approve the Notion that the Transaction For For Management Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies 6 Approve the Notion that the Transaction For For Management Does not Constitute as Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed Companies 7 Approve the Notion that the Transaction For For Management Complies with Article 11 of the Management Approach Regarding Major Asset Restructuring of Listed Companies 8 Approve the Notion that the Transaction For For Management Complies with Article 43 of the Management Approach Regarding Major Asset Restructuring of Listed Companies 9 Approve the Completeness and Compliance For For Management of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction 10 Approve Signing of Conditional Asset For For Management Purchase Agreement by Issue of Shares and Subscription Agreement 11 Approve Signing of Conditional Asset For For Management Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement 12 Approve Profit Forecast Compensation For For Management Agreement 13 Approve Profit Forecast Compensation For For Management Supplemental Agreement 14 Approve Relevant Audit Report, Review For For Management Report and Evaluation Report of the Transaction 15 Approve Independence of Appraiser, the For For Management Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing 16 Approve Impact of Dilution of Major For For Management Assets Restructuring 17 Approve White Wash Waiver and Related For For Management Transactions 18 Approve Authorization of the Board to For For Management Handle All Related Matters ------ POLY REAL ESTATE GROUP CO., LTD.

Ticker: 600048 Security ID: Y6987R107 Meeting Date: DEC 20, 2017 Meeting Type: Special Record Date: DEC 12, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Acquisition of Equity For For Management

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------QINGDAO HAIER CO., LTD. Ticker: 600690 Security ID: Y7166P102 Meeting Date: NOV 23, 2017 Meeting Type: Special Record Date: NOV 15, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For Management Issuance of Convertible Bonds 2 Approve Plan on Issuance of Convertible For For Management Bonds 2.1 Approve Issue Type For For Management 2.2 Approve Issue Scale For For Management 2.3 Approve Par Value and Issue Price For For Management 2.4 Approve Bond Maturity For For Management 2.5 Approve Interest Rate For For Management 2.6 Approve Debt Repayment Period and For For Management Manner 2.7 Approve Conversion Period For For Management 2.8 Approve Method of Determination and For For Management Adjustment of Conversion Price 2.9 Approve Terms for Downward Adjustment For For Management of Conversion Price 2.10 Approve Determination of Conversion of For For Management Shares 2.11 Approve Terms of Redemption For For Management 2.12 Approve Terms of Sell-Back For For Management 2.13 Approve Dividend Distribution Post For For Management Conversion 2.14 Approve Issue Manner and Target For For Management Subscriber 2.15 Approve Placing Arrangement for For For Management Shareholders 2.16 Approve Matters Relating to Meetings of For For Management Bond Holders 2.17 Approve Raised Funds Investment of CNY For For Management 2,101,500,000 2.18 Approve Raised Funds Investment of CNY For For Management 977,750,000 2.19 Approve Raised Funds Investment of CNY For For Management 468,090,000 2.20 Approve Raised Funds Investment of CNY For For Management 450,880,000 2.21 Approve Raised Funds Investment of CNY For For Management 1,641,760,000 2.22 Approve Guarantee Matters For For Management 2.23 Approve Deposit Management of Raised For For Management Funds 2.24 Approve Resolution Validity Period For For Management 3 Approve Plan for Convertible Bonds For For Management Issuance 4 Approve Feasibility Analysis Report on For For Management the Use of Proceeds 4.1 Approve Consumer Upgrades Ice and Other For For Management Production Line Intelligent Manufacturing Upgrade Expansion Project 4.2 Approve Kitchen Electric and Power For For Management Capacity Project 4.3 Approve Overseas Emerging Market For For Management Manufacturing Base Construction Project 4.4 Approve COSMOPlat Industrial For For Management Interconnection Project 4.5 Approve Repayment of Debts For For Management 5 Approve Report on the Usage of For For Management Previously Raised Funds 6 Approve Impact of Dilution of Current For For Management Returns on Major Financial Indicators and the Relevant Measures to be Taken 7 Approve Authorization of Board to For For Management Handle All Related Matters 8 Approve Shareholder Returns for the For For Management Next Three Years 9 Approve Bond Holder Meeting Principles For For Management 10 Approve 2017 Related Party Transaction For For Management -----OINGDAO HAIER CO., LTD. Ticker: 600690 Security ID: Y7166P102 Meeting Date: MAY 18, 2018 Meeting Type: Annual Record Date: MAY 11, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For Management 4 Approve Annual Report and Summary For For Management 5 Approve Internal Control Audit Report For For Management 6 Approve Profit Distribution For For Management 7 Approve Appointment of Auditor For For Management 8 Approve Signing of Daily Related-party For Abstain Management Transactions Agreement and 2018 Daily Related-party Transactions 9 Approve Provision of Guarantee For For Management 10 Approve Foreign Exchange Fund For For Management Derivatives Business 11 Approve Amendments to Articles of For For Management Association 12 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors 13 Amend Rules and Procedures Regarding For For Management Meetings of Board of Supervisors 14 Approve Acquisition of Equity and For For Management Related-party Transactions 15 Approve Authorization of the Board to For For Management Handle All Matters Related to Acquisition of Equity and Related-party Transactions 16 Approve Extension in Authorization for For For Management Board to Handle All Matters Related to Issuance of Convertible Bonds

------QINGDAO HAIER CO., LTD. Ticker: 600690 Security ID: Y7166P102 Meeting Date: APR 27, 2018 Meeting Type: Special Record Date: APR 20, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Issuance of D Class Shares and For For Management Listing in China Europe International Exchange 2 Approve Initial Public Offering of D For For Management Shares in the China Europe International Exchange as well as Its Listing 2.1 Approve Share Type and Par Value For For Management 2.2 Approve Issue Time For For Management 2.3 Approve Issue Manner For For Management 2.4 Approve Issue Size For For Management 2.5 Approve Pricing Method For For Management 2.6 Approve Target Subscribers For For Management 2.7 Approve Principles of Offering For For Management 3 Approve Conversion of Company to For For Management Foreign Fund-Raising Company 4 Approve Resolution Validity Period For For Management 5 Approve Authorization of Board to For For Management Handle All Related Matters 6 Approve Report on the Usage of For For Management Previously Raised Funds 7 Approve Use of Proceeds For For Management 8 Approve Distribution Arrangement of For For Management Cumulative Earnings 9 Amend Articles of Association in For For Management Connection to the Listing of D Shares in the China Europe International Exchange 10 Amend Rules and Procedures Regarding For For Management General Meetings of Shareholders 11 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors 12 Amend Rules and Procedures Regarding For For Management Meetings of Board of Supervisors 13 Amend Working System for Independent For For Management Directors 14 Amend Management System for Providing For For Management External Guarantees 15 Approve Formulation of Foreign For For Management Securities and Listing-related Confidentiality and File Management System 16 Approve Purchase of Liability Insurance For Abstain Management for Directors, Supervisors and Senior Management Members 17 Amend Articles of Association For For Management

Management General Motors Financial Co., Ltd. 8 Approve Provision of Guarantee to For For Management Controlled Subsidiary by Global Chexiang Car Rental Co., Ltd. 9 Approve Provision of Guarantee by Huayu For For Management Automotive Systems Co., Ltd. to Huayuan Korben Schmidt Aluminum Technology Co., Ltd. 10 Approve Provision of Guarantee by Huayu For For Management Automotive Systems Co., Ltd. to its Subsidiaries 11 Approve to Appoint Financial Auditor For For Management 12 Approve to Appoint Internal Control For For Management Auditor 13 Approve Amendments to Articles of For For Management Association to Expand Business Scope 14.1 Elect Chen Hong as Non-independent For For Shareholder Director 14.2 Elect Chen Zhixin as Non-independent For For Shareholder Director 14.3 Elect Wang Jian as Non-independent For For Shareholder Director 15.1 Elect Tao Xinliang as Independent For For Shareholder Director 15.2 Elect Li Ruoshan as Independent For For Shareholder Director 15.3 Elect Zeng Saixing as Independent For For Shareholder Director 16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder 16.3 Elect Yi Lian as Supervisor For Shareholder ------ SAIC MOTOR CORP., LTD. Ticker: 600104 Security ID: Y7443N102 Meeting Date: NOV 21, 2017 Meeting Type: Special Record Date: NOV 14, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Amendments to Articles of For Against Management Association 2 Amend Rules and Procedures Regarding For For Management General Meetings of Shareholders 3 Amend Rules and Procedures Regarding For For Management Meetings of Board of Supervisors 4 Elect Ding Ning as Supervisor For For Management

----- SHANGHAI JAHWA UNITED CO., LTD. Ticker: 600315 Security ID: Y7685E109 Meeting Date: MAY 28, 2018 Meeting Type: Annual Record Date: MAY 22, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For Management 4 Approve Financial Statements For For Management 5 Approve Profit Distribution For For Management 6 Approve Repurchase and Cancellation of For For Management Performance Shares 7 Approve Amendments to Articles of For For Management Association 8 Elect Wang Lujun as Independent For For Management Director 9 Approve 2018 Financial Budget Report For For Management 10 Approve to Appoint Financial Auditor For For Management and Internal Control Auditor 11 Approve Daily Related Party Transaction For Against Management 12 Approve Investment in Financial For Against Management Products 13 Approve Stock Option Incentive Plan For For Management Draft and Its Summary 14 Approve Methods to Assess the For For Management Performance of Plan Participants 15 Approve Authorization of the Board to For For Management Handle All Related Matters ------ SHANGHAI PHARMACEUTICALS HOLDING CO., LTD. Ticker: 601607 Security ID: Y7685S108 Meeting Date: JUN 26, 2018 Meeting Type: Annual Record Date: JUN 15, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Report of the Board of For For Management Directors 2 Approve 2017 Report of the Board of For For Management Supervisors 3 Approve 2017 Annual Report For For Management 4 Approve 2017 Final Accounts Report and For For Management 2018 Financial Budget 5 Approve PricewaterhouseCoopers Zhong For For Management Tian LLP as Domestic Auditor, PricewaterhouseCoopers as Overseas Auditor and Payment of Auditors' Fees for 2017 6 Approve 2017 Profit Distribution Plan For For Management 7 Approve External Guarantees for 2018 For For Management 8 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights 9 Amend Articles of Association and Rules For For Management of Procedure of the Board of Directors 10 Approve Issuance of Debt Financing For For Management Products 11 Approve Satisfaction of the Conditions For For Management of the Issuance of Corporate Bonds 12 Approve Issuance of Corporate Bonds For For Management 12.01 Approve Face Value of Bonds to Be For For Management Issued and Scale of Issuance in Relation to the Issuance of Corporate Bonds 12.02 Approve Issuing Price of Bonds and the For For Management Way to Determine Interest Rate in Relation to the Issuance of Corporate Bonds 12.03 Approve Term of Bonds in Relation to For For Management the Issuance of Corporate Bonds 12.04 Approve Way of Principal and Interest For For Management Repayment in Relation to the Issuance of Corporate Bonds 12.05 Approve Way and Target of Issuance in For For Management Relation to the Issuance of Corporate Bonds 12.06 Approve Use of Proceeds in Relation to For For Management the Issuance of Corporate Bonds 12.07 Approve Arrangement of Placement to For For Management Shareholders in Relation to the Issuance of Corporate Bonds 12.08 Approve Guarantees in Relation to the For For Management Issuance of Corporate Bonds 12.09 Approve Redemption and Put Provision in For For Management Relation to the Issuance of Corporate Bonds 12.10 Approve Credit Standing of the Company For For Management

and Measures to Guarantee Repayment in Relation to the Issuance of Corporate Bonds 12.11 Approve Way of Underwriting in Relation For For Management to the Issuance of Corporate Bonds 12.12 Approve Listing Arrangements in For For Management Relation to the Issuance of Corporate Bonds 12.13 Approve Validity Period of the For For Management Resolution in Relation to the Issuance of Corporate Bonds 12.14 Approve Authorizations for the For For Management Executive Committee of the Board in Relation to the Issuance of Corporate Bonds ------ SHANGHAI PUDONG DEVELOPMENT BANK CO., LTD. Ticker: 600000 Security ID: Y7689F102 Meeting Date: MAY 28, 2018 Meeting Type: Annual Record Date: MAY 21, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements and 2018 For For Management Financial Budget Report 4 Approve Profit Distribution For For Management 5 Approve Appointment of Auditor For For Management 6 Approve Issuance of Capital Bonds For For Management 7 Approve Report on the Usage of For For Management Previously Raised Funds ------ SHENZHEN AIRPORT CO., LTD. Ticker: 000089 Security ID: Y7741V103 Meeting Date: AUG 30, 2017 Meeting Type: Special Record Date: AUG 23, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1.01 Elect Luo Yude as Non-independent For For Management Director 1.02 Elect Chen Jinzu as Non-independent For For Management Director 1.03 Elect Chen Minsheng as Non-independent For For Management Director 1.04 Elect Chen Fanhua as Non-independent For For Management Director 1.05 Elect Xu Yan as Non-independent For For Management Director 2.01 Elect Huang Yaying as Independent For For Management Director 2.02 Elect Shen Weitao as Independent For For Management Director 2.03 Elect Zhao Bo as Independent Director For For Management 3.01 Elect Wu Yuejuan as Supervisor For For Management 3.02 Elect Zhang Zisheng as Supervisor For For Management ------SHENZHEN AIRPORT CO., LTD. Ticker: 000089 Security ID: Y7741V103 Meeting Date: JAN 17, 2018 Meeting Type: Special Record Date: JAN 10, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Appointment of 2017 Financial For For Management Auditor and Internal Control Auditor ------ SHENZHEN AIRPORT CO., LTD. Ticker: 000089 Security ID: Y7741V103 Meeting Date: APR 26, 2018 Meeting Type: Annual Record Date: APR 19, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of Board of Directors For For Management 2 Approve Report of Board of Supervisors For For Management 3 Approve Financial Statements For For Management 4 Approve Profit Distribution For For Management 5 Approve Annual Report and Summary For For Management 6 Approve 2018 Daily Related Party For For Management Transaction Estimates 7 Amend Articles of Association For Abstain Management ----- SHENZHEN AIRPORT CO., LTD. Ticker: 000089 Security ID: Y7741V103 Meeting Date: NOV 15, 2017 Meeting Type: Special Record Date: NOV 08, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Use of Own Funds to Purchase For For Management Principal-guaranteed Wealth Management Products ----- STANLEY AGRICULTURAL GROUP CO., LTD. Ticker: 002588 Security ID: Y8151R103 Meeting Date: JUN 05, 2018 Meeting Type: Special Record Date: MAY 29, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1.1 Approve Provision of Financial For Against Management Assistance for Nehe Stanley Jufeng Agricultural Service Co., Ltd. 1.2 Approve Provision of Financial For Against Management Assistance for Jining Stanley Tiancheng Agricultural Service Co., Ltd. 1.3 Approve Provision of Financial For Against Management Assistance for Renqiu City Stanley Agricultural Service Co., Ltd. 1.4 Approve Provision of Financial For Against Management Assistance for Wengniu Teqi Stanley Agricultural Technology Consulting Service Co., Ltd. 1.5 Approve Provision of Financial For Against Management Assistance for Licheng Stanley Ruihe Agricultural Service Co., Ltd. -----STANLEY AGRICULTURAL GROUP CO., LTD. Ticker: 002588 Security ID: Y8151R103 Meeting Date: MAY 16, 2018 Meeting Type: Annual Record Date: MAY 09, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Report of the Independent For For Management Directors 4 Approve Financial Statements For For Management 5 Approve Annual Report and Summary For For Management 6 Approve Profit Distribution For For Management 7 Approve Special Report on the Deposit For For Management and Usage of Raised Funds 8 Approve Internal Control For For Management Self-Evaluation Report 9 Approve 2018 Appointment of Auditor For For Management 10 Approve 2018 Use of Idle Own Funds to For For Management Invest in Financial Products 11

Approve 2018 Comprehensive Bank Credit For For Management Line Application 12 Approve Provision of Guarantee For For Management 13 Approve Use of Own Funds to Conduct For Against Management Risk Investment 14 Approve 2018 Daily Related Party For For Management Transactions 15 Amend Articles of Association For For Management ------ TSUI WAH HOLDINGS LIMITED Ticker: 1314 Security ID: G91150105 Meeting Date: AUG 25, 2017 Meeting Type: Annual Record Date: AUG 18, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Accept Financial Statements and For For Management Statutory Reports 2 Approve Final Dividend For For Management 3 Approve Special Dividend For For Management 4a1 Elect Lee Yuen Hong as Director For For Management 4a2 Elect Lee Tsz Kin Kenji as Director For For Management 4a3 Elect Cheng Chung Fan as Director For For Management 4a4 Elect Cheng Yu Sang as Director For For Management 4a5 Elect Tang Man Tsz as Director For For Management 4a6 Elect Yim Kwok Man as Director For For Management 4b Authorize Board to Fix Remuneration of For For Management Directors 5 Approve Ernst & Young as Independent For For Management Auditor and Authorize Board to Fix Their Remuneration 6 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights 7 Authorize Repurchase of Issued Share For For Management Capital 8 Authorize Reissuance of Repurchased For Against Management Shares ------ VENUSTECH GROUP INC Ticker: 002439 Security ID: Y07764106 Meeting Date: DEC 11, 2017 Meeting Type: Special Record Date: DEC 04, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For Management Public Issuance of Convertible Bonds 2 Approve Plan for Public Issuance of For For Management Convertible Bonds 3.01 Approve Issue Type For For Management 3.02 Approve Issue Scale For For Management 3.03 Approve Amount and Issue Price For For Management 3.04 Approve Bond Maturity For For Management 3.05 Approve Bond Interest Rate For For Management 3.06 Approve Method and Term for the For For Management Repayment of Principal and Interest 3.07 Approve Conversion Period For For Management 3.08 Approve Determination and Adjustment of For For Management Conversion Price 3.09 Approve Downward Adjustment of For For Management Conversion Price 3.10 Approve Method on Handling Fractional For For Management Shares Upon Conversion 3.11 Approve Terms of Redemption For For Management 3.12 Approve Terms of Sell-Back For For Management 3.13 Approve Dividend Distribution Post For For Management Conversion 3.14 Approve Issue Manner and Target For For Management Subscribers 3.15 Approve Placing Arrangement for For For Management Shareholders 3.16 Approve Matters Relating to Meetings of For For Management Bond Holders 3.17 Approve Use of Proceeds For For Management 3.18 Approve Safekeeping of Raised Funds For For Management 3.19 Approve Guarantee Matters For For Management 3.20 Approve Resolution Validity Period For For Management 4 Approve Report on the Usage of For For Management Previously Raised Funds 5 Approve Feasibility Analysis Report on For For Management the Intended Usage of Raised Funds 6 Approve Impact of Dilution of Current For For Management Returns on Major Financial Indicators and the Relevant Measures to be Taken as well as Relevant Undertakings 7 Approve Rules and Procedures Regarding For For Management the Meeting of the Bondholders 8 Approve Shareholder Return Plan in the For For Management Next Three Years 9 Approve Establishment of Special For For Management Accounts for Raised Funds 10 Approve Authorization of Board to For For Management Handle All Related Matters ------ VENUSTECH GROUP INC Ticker: 002439 Security ID: Y07764106 Meeting Date: NOV 28, 2017 Meeting Type: Special Record Date: NOV 21, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Acquisition of Equity For For Management 600859 Security ID: Y0775J104 Meeting Date: SEP 04, 2017 Meeting Type: Special Record Date: AUG 29, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Company's Eligibility for For For Management Merger by Absorption as well as Related-party Transactions 2.01 Approve Plan Summary For For Management 2.02 Approve Transaction Price and Pricing For For Management Basis 2.03 Approve Payment Arrangements For For Management 2.04 Approve Share Type and Par Value Per For For Management Share 2.05 Approve Transaction Parties For For Management 2.06 Approve Adjustment on Issuance Price For For Management 2.07 Approve Adjustment on Issue Size For For Management 2.08 Approve Lock-up Period For For Management 2.09 Approve Listing Exchange For For Management 2.10 Approve Distribution of Retained For For Management Earnings and Cumulative Earnings 2.11 Approve Transitional Profit or Loss For For Management 2.12 Approve Claims and Debts Treatment as For For Management well as Creditor Protection 2.13 Approve Staffing Arrangements For For Management 2.14 Approve

Dissenting Shareholder For For Management Protection Mechanism 2.15 Approve Asset Delivery and Share For For

Management Issuance 2.16 Approve Responsibility for Breach of For For Management Contract 2.17 Approve Resolution Validity Period For For Management 3 Approve This Transaction Constitutes as For For Management Related-party Transaction 4 Approve Transaction Complies with For For Management Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies 5 Approve Draft Report and Summary on For For Management Merger by Absorption as well as Related-party Transactions 6 Approve Signing of Merger by Absorption For For Management Agreement 7 Approve Audit Report, Review Report and For For Management Asset Evaluation Report Related to This Transaction 8 Approve Independence of Appraiser, the For For Management Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing 9 Approve Basis and Fairness of Pricing For For Management for This Transaction 10 Approve Impact of Dilution of Current For For Management Returns on Major Financial Indicators and the Relevant Measures to be Taken 11 Approve Completeness and Compliance of For For Management Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction 12 Approve Authorization of Board to For For Management Handle All Related Matters 13 Elect Du Jianguo as Non-Independent For For Management Director 14.01 Elect Fang Zihong as Supervisor For For Management 14.02 Elect Wang Hexin as Supervisor For For Management ------ WANGFUJING GROUP CO., LTD. Ticker: 600859 Security ID: Y0775J104 Meeting Date: JUN 29, 2018 Meeting Type: Special Record Date: JUN 25, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Amend Articles of Association For Against Management 2.1 Elect Wu Gang as Non-independent For For Management Director 2.2 Elect Zhao Hong as Non-independent For For Management Director -----WANGFUJING GROUP CO., LTD. Ticker: 600859 Security ID: Y0775J104 Meeting Date: APR 13, 2018 Meeting Type: Annual Record Date: APR 09, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For Management 4 Approve Profit Distribution and For For Management Dividend Distribution Plan 5 Approve Annual Report and Summary For For Management 6 Approve 2018 Appointment of Auditor For For Management 7 Approve Provision of Guarantee to For Against Management Controlled Subsidiary ------ WULIANGYE YIBIN CO., LTD. Ticker: 000858 Security ID: Y9718N106 Meeting Date: JUN 08, 2018 Meeting Type: Annual Record Date: JUN 01, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Annual Report For For Management 2 Approve Report of the Board of For For Management Directors 3 Approve Report of the Board of For For Management Supervisors 4 Approve Financial Statements For For Management 5 Approve Profit Distribution For For Management 6 Approve Budget Report For For Management 7 Approve 2018 Daily Related-party For Against Management Transactions 8 Approve Appointment of Auditor For For Management 9 Amend Articles of Association Regarding For For Management Party Committee ------ XJ ELECTRIC CO., LTD. Ticker: 000400 Security ID: Y9722U104 Meeting Date: MAY 17, 2018 Meeting Type: Annual Record Date: MAY 11, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Annual Report and Summary For For Management 2 Approve Report of the Board of For For Management Directors 3 Approve Financial Statements For For Management 4 Approve Profit Distribution and For For Management Capitalization of Capital Reserves 5 Approve Appointment of Auditor For For Management 6 Approve Financial Service Agreement For Abstain Management 7 Approve Amendments to Articles of For For Management Association 8 Approve Implementing Rules for For For Management Cumulative Voting System (Draft) 9 Approve Report of the Board of For For Management Supervisors ------ XJ ELECTRIC CO., LTD. Ticker: 000400 Security ID: Y9722U104 Meeting Date: DEC 27, 2017 Meeting Type: Special Record Date: DEC 20, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Elect Zhou Qun as Non-Independent For For Shareholder Director 2 Approve Amendments to Articles of For Against Management Association 3 Amend Rules and Procedures Regarding For For Management General Meetings of Shareholders 4 Amend Rules and Procedures Regarding For For Management Meetings of Board of Directors 5 Amend Rules and Procedures Regarding For For Management Meetings of Board of Supervisors 6 Approve Increase in 2017 Daily For For Management Related-party Transactions 7 Approve Estimated 2018 Daily For For Management Related-party Transactions 8 Approve Continued Financial Service For Abstain Management Agreement ------ XJ ELECTRIC CO., LTD. Ticker: 000400 Security ID: Y9722U104 Meeting Date: SEP 12, 2017 Meeting Type: Special Record Date: SEP 06, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1.01 Elect Zhang Xusheng as Non-independent None For

Shareholder Director 1.02 Elect Chen Guidong as Non-independent None For Shareholder Director 2.01 Elect Zhang Xinchang as Supervisor None For Shareholder
YUNNAN BAIYAO GROUP CO., LTD. Ticker: 000538 Security ID: Y9879F108 Meeting Date: MAY 10, 2018
Meeting Type: Annual Record Date: MAY 04, 2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the
Board of For For Management Directors 2 Approve Report of the Board of For For Management Supervisors 3 Approve Financial Statements For For Management 4 Approve Annual Report and Summary For For Management 5
Approve Profit Distribution For For Management 6 Approve 2018 Financial Budget Report For For Management 7
Approve Appointment of Auditor and For For Management Internal Control Auditor 8 Approve Allowance Management System for For For Management Independent Directors
ZHEJIANG HUACE FILM & TV CO., LTD.
Ticker: 300133 Security ID: Y988B8109 Meeting Date: JUL 11, 2017 Meeting Type: Special Record Date: JUL 04, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Change of Partial Use of For For Management ProceedsZHEJIANG YINLUN MACHINERY CO.,LTD.
Ticker: 002126 Security ID: Y9892T101 Meeting Date: MAY 08, 2018 Meeting Type: Annual Record Date: APR 26,
2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Report of the Board of For For Management Directors 2
Approve Report of the Board of For For Management Supervisors 3 Approve Annual Report and Summary For For
Management 4 Approve Financial Statements For For Management 5 Approve 2018 Financial Budget Report For For
Management 6 Approve Profit Distribution For For Management 7 Approve Comprehensive Credit Line For For
Management Application 8 Approve Provision of Guarantee for For Against Management Subsidiary 9 Approve Appointment of Auditor For For Management
ZHONGBAI HOLDINGS GROUP CO., LTD. Ticker: 000759 Security ID: Y9715V101 Meeting Date: AUG 17,
2017 Meeting Type: Special Record Date: AUG 11, 2017 # Proposal Mgt Rec Vote Cast Sponsor 1 Approve Issuance
of Perpetual Debt For For Management 2 Elect Ma Quanli as Non-independent None For Shareholder Director 3.01
Elect Zhu Qiang as Supervisor None For Shareholder 3.02 Elect Liao Xuehua as Supervisor None For Shareholder ZHONGBAI HOLDINGS GROUP CO., LTD.
Ticker: 000759 Security ID: Y9715V101 Meeting Date: JUN 14, 2018 Meeting Type: Special Record Date: JUN 11,
2018 # Proposal Mgt Rec Vote Cast Sponsor 1 Elect Sun Jin as Independent Director For For Management ======= END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Morgan Stanley China A Share Fund, Inc.

By (Signature and Title)* /s/ John H. Gernon

John H. Gernon

President and Principal Executive Officer

Date August 28, 2018

^{*} Print the name and title of each signing officer under his or her signature.