Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Stock Yards Bancorp, Inc. Form 4 December 08, 2014

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Stinnett Thomas C Issuer Symbol Stock Yards Bancorp, Inc. [SYBT] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify 3814 ROCK BAY DRIVE 12/04/2014 below) below) Executive Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40245 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Indirect 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Beneficial (Instr. 3) any Code (D) Beneficially Form: Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) (Instr. 4) Following or Indirect Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price 5,390.6114 Common S 12/04/2014 F 1,431 D D (2) Stock 31.7 \$ 0 Common

Stock	12/04/2014	М	2,415	А	(1)	7,805.6114	D	
Common Stock						266	I	By Spouse
Common Stock						6,059.2911 (<u>3)</u>	Ι	by 401k/ESOP-fbo Thomas Stinnett

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shares
Option (right to buy)	\$ 22.8095	12/04/2014		М		2,415	12/14/2005	12/14/2014	Common Stock	2,41:
Option (right to buy)	\$ 24.0667						01/17/2007	01/17/2016	Common Stock	3,15
Option (right to buy)	\$ 26.83						02/20/2008	02/20/2017	Common Stock	3,00
Stock Appreciation Right	\$ 23.37						02/19/2009	02/19/2018	Common Stock	2,20
Stock Appreciation Right	\$ 22.14						02/17/2010	02/17/2019	Common Stock	2,00
Stock Appreciation Right	\$ 21.03						02/16/2011	02/16/2020	Common Stock	2,00
Stock Appreciation Right	\$ 23.76						03/15/2012	03/15/2021	Common Stock	2,82
Stock Appreciation Right	\$ 22.86						02/20/2013	02/20/2022	Common Stock	5,172
Stock Appreciation Right	\$ 22.89						02/19/2014	02/19/2023	Common Stock	7,66
Stock Appreciation	\$ 29.05						02/18/2015	02/18/2024	Common Stock	4,574

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Right

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner

Relationships

Officer

Other

Stinnett Thomas C 3814 ROCK BAY DRIVE LOUISVILLE, KY 40245

Executive Vice President

Signatures

//Thomas C. 12/08/2014 Stinnett

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option exercise
- (2) Includes shares acquired through dividend reinvestment plan.
- (3) Includes annual employer contribution

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.