#### Edgar Filing: FIRST TRUST/ABERDEEN EMERGING OPPORTUNITY FUND - Form 3

#### FIRST TRUST/ABERDEEN EMERGING OPPORTUNITY FUND

Form 3

April 28, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Person \* Requiring Statement FIRST TRUST/ABERDEEN EMERGING Nichols Jennifer A (Month/Day/Year) OPPORTUNITY FUND [FEO] 02/03/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1735 MARKET STREET, 37TH (Check all applicable) **FLOOR** (Street) 6. Individual or Joint/Group 10% Owner Officer X\_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Officer-Investment Sub-Advisor Person PHILADELPHIA, Â PAÂ 19103 Form filed by More than One Reporting Person (City) Table I - Non-Derivative Securities Beneficially Owned (State) (Zip) 4. Nature of Indirect Beneficial 2. Amount of Securities 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Shares Â 0 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

2. Date Exercisable and 3. Title and Amount of 1. Title of Derivative Security 4. 6. Nature of Indirect Ownership (Instr. 4) **Expiration Date** Securities Underlying Conversion Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Derivative Security: Title

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Date Expiration Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Nichols Jennifer A

1735 MARKET STREET, 37TH FLOOR PHILADELPHIA, PAÂ 19103 Â

Officer-Investment Sub-Advisor

### **Signatures**

/s/ Jennifer A. Nichols, by Kristi A. Maher, attorney-in-fact, pursuant to a Power of Attorney

04/28/2015

\*\*Signature of Reporting Person

Â

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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