Edgar Filing: Johnson Stephen L - Form 4

March 12, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Securit S Section 16. Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Sec Instruction 17(a) of the Public Utility Holding Company Act of 1935 or Section 18(b) (Print or Type Responses) I. Name and Address of Reporting Person 10(b) (Print or Type Responses) I. Name and Address of Reporting Person 12(b) (Crited or Securities 12(b) (Johnson Ste Form 4	ephen L									
Check this box if ino longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Sumbler: Statumater area section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Statumater area section 16. Form 4 or Form 5 may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 Section 16(a) of the Investment Company Act of 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer (Check all applicable) 3. Date of Earliest Transaction (Month/Day/Year)	March 12, 2	2019									
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) Of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) Of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) Of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) Of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) Of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 3. (First) (Middle) 3. Date of Earliest Transaction 2. (CompANY, 14111 SCOTTS AWN ROAD (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 5. MARYSVILLE, OH 43041 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 5. Mary Caperation 3. (City) (State) (Zp) Table 1- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. (Street) 4. Beneficial 4. (Month/Day/Year) 7. Nature of Form: Direct Indirect Form: Direct Indirect Indir	FOR	M 4						PPROVAL			
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person _ 1. Name and Address of Reporting Person _ (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) C/O THE SCOTTS MIRACLE-GRO 0 (Street) 4. If Amendment, Date Original Filed/Month/Day/Year) Core Person = MARYSVILLE, OH 43041 (Give) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2.A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, 1 TransactionAcquired (A) or (Ciny (Month/Day/Year) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) reported 1. Transaction(s) or Code V Amount (D) Price		UNITED						3235-0287			
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person ⁺ . Johnson Stephen L 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X	if no lo subject Section Form 4	nger to STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) C/O THE SCOTTS MIRACLE-GRO CO CO (SMG)	obligati may co <i>See</i> Ins	ntinue. Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								
Johnson Stephen L Johnson Stephen L Symbol SCOTTS MIRACLE-GRO CO [SMG] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN ROAD (Street) (St	(Print or Type	e Responses)									
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -XDirector officer (give title below) 10% Owner Officer (give title below) C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN ROAD 03/08/2019 -XDirector Officer (give title below) -10% Owner Other (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by More than One Reporting Person MARYSVILLE, OH 43041 Table I - Non-Derivative Securities Security (Instr. 3) 5. Amount of Security (Month/Day/Year) 6. Ownership Person 7. Nature of Indirect 1.Title of Security (Instr. 3) 2.Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Code 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities Transaction(Acquired (A) or Code 6. Ownership Following 7. Nature of Indirect (Instr. 3) Code V Amount (D) Price (Instr. 4) (Instr. 4)				I TS MIRACLE-GRO	Issuer						
C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN (Month/Day/Year)			[SMC	i]		(enc	er un applicati	•)			
Filed(Month/Day/Year) Applicable Line) _X_Form filed by More Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table 1 - Non-Derivative Securities Securities S. Amount of Security (Instr. 3) S. Amount of Security (Month/Day/Year) 6. Ownership Indirect 7. Nature of Securities 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2.A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Disposed of (D) (Instr. 8) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Form: Direct (D) or Indirect (A) (Month/Day/Year) (Month/Day/Year) 6. Ownership (Instr. 8) 6. Ownership (Instr. 3, 4 and 5) 6. Ownership Following (Instr. 4) 7. Nature of Form: Direct (D) or Indirect 8eneficiall (D) or Indirect (A) or Code V Amount or D) Price (Instr. 3 and 4) (Instr. 4) Here is the isother iso	C/O THE COMPAN	SCOTTS MIRAC	(Month LE-GRO 03/08	/Day/Year)		Officer (giv	e title Oth				
MARYSVILLE, OH 43041 — Form filed by More than One Reporting Person (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Code Disposed of (D) (Instr. 4) 5. Amount of Securities Beneficially (D) or Indirect Beneficial (D) or Monter Disposed of (D) (Instr. 4) 6. Ownership Form: Direct (D) or Indirect Beneficial (D) or Monter Disposed of (D) (Instr. 4) 6. Ownership (Instr. 4) 7. Nature of Securities (D) or Indirect (D) or	· · ·			-	Applicable Line)						
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	Security (Month/Day/Year) Execution Date, (Instr. 3) any		Execution Date, if any	TransactionAcquired (A) Code Disposed of ((Instr. 8) (Instr. 3, 4 an (A	(D) ad 5) .) r	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership			
	Reminder: Re	eport on a separate line	e for each class of se	curities beneficially owned	directly o	or indirectly.					

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Mumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) (Instr. 3 and 4) ve es d		Securities	8. Price Derivati Security (Instr. 5	
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	03/08/2019		А		48	<u>(1)</u>	<u>(1)</u>	Common Shares	48	\$ 82.1

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Johnson Stephen L C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041	Х					
Signatures						
Kathy L. Uttley as attorney-in-fact for Stephen L. Johnson		03/12/20	19			
**Signature of Reporting Person		Date				
Explanation of Responses:						

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **

The dividend equivalent rights accrued on DSU or RSU grants and become exercisable proportionately with the DSUs or RSUs to which (1) they relate. Each dividend equivalent right is the economic equivalent of one common share of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.