

WONG IRWIN  
Form 4  
March 13, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WONG IRWIN**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**CATHAY GENERAL BANCORP**  
**[CATY]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

**777 NORTH BROADWAY**

(Street)

**LOS ANGELES, CA 90012**

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**03/11/2019**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)  
**SEVP, Chief Admin Officer**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				(A) or (D)	Price			
			Code	V	Amount			
Common Stock	03/11/2019		<u>M</u> <sup>(1)</sup>	883	A	<u>2</u>	50,877.35	D
Common Stock	03/11/2019		<u>F</u> <sup>(3)</sup>	306	D	\$ 36.53	50,571.35	D
						18,689.58	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	03/11/2019		M	883	(4)	(4)	Common Stock	883	\$ 0

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WONG IRWIN 777 NORTH BROADWAY LOS ANGELES, CA 90012			SEVP, Chief Admin Officer	

## Signatures

/s/ Georgia Lo,  
attorney-in-fact

03/13/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents the conversion into Common Stock upon vesting of the restricted stock units reported on Table II.
- (2) Each restricted stock unit represented a contingent right to receive one share of Common Stock of the Issuer.
- (3) Shares withheld by Registrant to satisfy tax withholding requirement on vesting of restricted stock units.

The Reporting Person previously reported the award of 863 restricted stock units, based on a closing stock price as of March 9, 2018, on Form 4 filed March 13, 2018. The actual number of restricted stock units issued was 883 based on a closing stock price as of March 8,

- (4) 2018. In addition, such restricted stock units actually vested in full on the date of grant, with the shares of common stock to be distributed on the first anniversary of the date of the grant or immediately upon the death, disability or retirement of the Reporting Person, or a change in control of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

## Edgar Filing: WONG IRWIN - Form 4

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