Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Stock Yards Bancorp, Inc.							
Form 4							
February 23, 2017						1B APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					3235-0287		
Subject to Section 16. Form 4 or Form 5 Filed pu	MENT OF CHA arsuant to Section (a) of the Public	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectior of the Investment Company Act of 1940				Expires:January 31, 2005Estimated average burden hours per response0.5	
(Print or Type Responses)							
1. Name and Address of Reporting Poindexter Philip	Symbo	uer Name and Ticker 1 Yards Bancorp, I	C	5. Relationship Issuer		-	
(Last) (First) ((Middle) 3. Date	of Earliest Transactio	on – –	(C	heck all appli	icable)	
320 MOCKINGBIRD HILL		n/Day/Year) /2017		Director X Officer (j below) Exec	give title below cutive Vice P	/	
(Street)		mendment, Date Origi Ionth/Day/Year)	nal	6. Individual o Applicable Line _X_ Form filed) by One Report	ing Person	
LOUISVILLE, KY 40207				Person	by More than C	one Reporting	
(City) (State)	(Zip) Ta	able I - Non-Derivati	ve Securities A	cquired, Dispose	d of, or Bene	ficially Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	 3. 4. Securi Transaction(A) or D Code (Instr. 3, (Instr. 8) Code V Amount 	(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock 02/21/2017		F 106	D \$ 45.45	21,518	D		
Common Stock				11,590	I	401k/ESOP-FBO Philip Poindexter	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	6,145
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	10,698
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	7,575
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	8,872
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	8,098
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	9,469

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	rector 10% Owner Officer		Other	
Poindexter Philip					
320 MOCKINGBIRD HILL ROAD			Executive Vice President		
LOUISVILLE, KY 40207					

Signatures

/s/ Philip Poindexter

02/23/2017

_Signature of	
Reporting Person	

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.