#### HAWTHORN BANCSHARES, INC.

Form 5

February 03, 2017

FORM	15								OMB AF	PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362			
Check thin no longer			Washington, D.C. 20549						Expires:	January 31,		
to Section Form 4 of 5 obligati may cont	n 16. r Form ANN ions		ATEMENT OF CHANGES IN BENEFICE OWNERSHIP OF SECURITIES					EFICIAL	Estimated average burden hours per response 1			
See Instru 1(b). Form 3 H Reported Form 4 Transacti Reported	Filed pur Ioldings Section 17(	a) of the F	Public U		ng Comp	any A	Act of					
	Address of Reporting NHEMKE KATHI	LEEN L	2. Issuer Name <b>and</b> Ticker or Trading Symbol HAWTHORN BANCSHARES,				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
			INC. [HWBK]									
(Last)	`		3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016					Director 10% Owner Officer (give title Other (specify below)  SENIOR VICE PRESIDENT				
	ГНОRN BANCSI 2 EAST HIGH ST 88											
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Reporting  (check applicable line)				
	^ ^								,			
JEFFERSO	ON CITY, MOÂ	65102						_X_ Form Filed by O Form Filed by M Person				
(City)	(State)	(Zip)	Tabl	le I - Non-Der	ivative Se	curiti	es Acq	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Executio		Date, if	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Amount	(A) or (D)	Price	Year (Instr. 3 and 4)	(Instr. 4)			
Common Stock	Â	Â		Â	Â	Â	Â	13,076.239	D	Â		
Common Stock	Â	Â		Â	Â	Â	Â	3,269	I	IRA		
Common Stock	Â	Â		Â	Â	Â	Â	39,292.2978 (1)	I	Profit Sharing Trust		

Trust

#### Edgar Filing: HAWTHORN BANCSHARES, INC. - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Of D So

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transaction	5. Number	6. Date Exerc Expiration Da		Amou		8. Price of Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D)			Securi (Instr.	ities 3 and 4)	(Instr. 5)	
					(Instr. 3, 4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number of		
					(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRUEGENHEMKE KATHLEEN L C/O HAWTHORN BANCSHARES, INC. 132 EAST HIGH STREET, PO BOX 688 JEFFERSON CITY, MOÂ 65102

Â Â SENIOR VICE PRESIDENT Â

### **Signatures**

/s/ Kathleen L.
Bruegenhemke 02/03/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired since last report pursuant to Company profit sharing trust.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2