

Edgar Filing: SPOTT FOCUS TRUST INC. - Form NSAR-A

SPOTT FOCUS TRUST INC.

Form NSAR-A

August 29, 2016

PAGE 1
000 A000000 06/30/2016
000 C000000 0000825202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPOTT FOCUS TRUST, INC.
001 B000000 811-05379
001 C000000 4169434065
002 A000000 200 BAY STREET, SUITE 2700
002 B000000 TORONTO
002 E010000 CANADA
002 E020000 M5J 2J1
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 SPOTT ASSET MANAGEMENT LP
008 B000001 A
008 C000001 801-72899
008 D010001 TORONTO
008 D050001 CANADA
008 D060001 M5J 2J1
008 A000002 SPOTT ASSET MANAGEMENT USA, INC.
008 B000002 S
008 C000002 801-66131
008 D010002 CARLSBAD
008 D020002 CA
008 D030002 92008
010 A000001 STATE STREET BANK AND TRUST COMPANY
010 B000001 85-05003
010 C010001 BOSTON
010 C020001 MA
010 C030001 02111
012 A000001 COMPUTERSHARE TRUST COMPANY
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 TAIT, WELLER & BAKER
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SPOTT GLOBAL RESOURCE INVESTMENT LTD.
014 B000001 8-47039
PAGE 2
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 QUINCY
015 C020001 MA
015 C030001 02169
015 E010001 X

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015 A000002 CANADA SELF CUSTODY CDSX DEBT CLEARING SYSTEM
015 B000002 S
015 C010002 TORONTO
015 D010002 CANADA
015 E040002 X
015 A000003 STATE STREET BK LONDON CREST
015 B000003 S
015 C010003 LONDON
015 D010003 UNITED KINGDOM
015 E040003 X
015 A000004 STANDARD CHARTERED BANK (HONG KONG) LIMITED
015 B000004 S
015 C010004 HONG KONG
015 D010004 HONG KONG
015 E040004 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY CLEARING CANADA
020 B000001 06-1303651
020 C000001 21
020 A000002 UBS AG
020 B000002 13-3203169
020 C000002 15
020 A000003 CANACCORD GENUITY
020 B000003 20-0779566
020 C000003 10
020 A000004 BMO Capital Markets Corp
020 B000004 13-3459853
020 C000004 8
020 A000005 CANTOR FITZGERALD & CO.
020 B000005 13-3680184
020 C000005 6
020 A000006 SCOTIA CAPITAL USA INC
020 B000006 13-5239583
020 C000006 5
020 A000007 DUNDEE SECURITIES CO.
020 C000007 5
020 A000008 GMP SECURITIES LTD.
020 B000008 13-4036836
020 C000008 4
020 A000009 BARCLAYS CAPITAL, INC.
020 B000009 05-0346412
020 C000009 3
020 A000010 SANFORD C. BERNSTEIN & CO., LLC
PAGE 3
020 B000010 13-6277976
020 C000010 3
021 000000 93
022 A000001 STATE STREET BANK & TRUST COMPANY
022 B000001 04-1867445
022 C000001 786250
022 D000001 0
023 C000000 786250
023 D000000 0
024 000000 Y
025 A000001 STATE STREET BANK & TRUST COMPANY
025 B000001 04-1867445
025 C000001 D
025 D000001 11631
026 A000000 N
026 B000000 Y

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| | | | |
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| 026 | C000000 | N | |
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| 046 | 000000 | N | |
| 047 | 000000 | Y | |
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| | | |
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| 054 | J000000 | N |
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| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
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| 066 | C000000 | Y |
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| 066 | E000000 | N |
| | PAGE | 6 |
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| 070 | C020000 | N |
| 070 | D010000 | Y |
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| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | Y |
| 070 | P020000 | N |
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| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 23061 |
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| 071 | C000000 | 162332 |
| 071 | D000000 | 14 |

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| | | |
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| 072 A000000 | 6 | |
| 072 B000000 | | 0 |
| 072 C000000 | | 1985 |
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| 072 E000000 | | 860 |
| PAGE 7 | | |
| 072 F000000 | | 827 |
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| 072 J000000 | | 14 |
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| 072 L000000 | | 10 |
| 072 M000000 | | 13 |
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| 072 O000000 | | 0 |
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| 074 Q000000 | | 0 |
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| 074 R020000 | | 0 |
| PAGE 8 | | |
| 074 R030000 | | 0 |
| 074 R040000 | | 37185 |
| 074 S000000 | | 0 |
| 074 T000000 | | 179990 |

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| | | |
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| 074 | U010000 | 24002 |
| 074 | U020000 | 0 |
| 074 | V010000 | 7.50 |
| 074 | V020000 | 0.00 |
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| 074 | X000000 | 500 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 168878 |
| 076 | 000000 | 6.42 |
| 077 | A000000 | Y |
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| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
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| 077 | J000000 | N |
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| 086 | A010000 | 419 |
| 086 | A020000 | 2598 |
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| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
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| 086 | F010000 | 0 |
| 086 | F020000 | 0 |

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| | | |
|-----------|-----------------|--------------|
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 78080N108 |
| 087 | A030000 | FUND |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | SCOTT COLBOURNE | |
| TITLE | PRESIDENT | |