Edgar Filing: CALIX, INC - Form 8-K

CALIX, INC Form 8-K October 06, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): September 29, 2016

#### CALIX, INC.

(Exact name of Registrant as specified in its charter)

Delaware 001-34674 68-0438710 (State or other jurisdiction (Commission (I.R.S. Employer of incorporation) File No.) Identification No.)

1035 N. McDowell Boulevard, Petaluma, 94954

California

(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (707) 766-3000

Not Applicable

(Former name or former address if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- "Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) On September 29, 2016, Calix, Inc. (the "Company") and Mr. Andrew Lockhart, one of the Company's named executive officers in his capacity as Senior Vice President, International Sales, mutually agreed that Mr. Lockhart will no longer serve in that capacity. Accordingly, as of September 29, 2016, Mr. Lockhart is no longer a named executive officer of the Company.

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## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 5, 2016 CALIX, INC.

By: /s/ William J. Atkins
William J. Atkins
EVP & Chief Financial Officer

3