#### AMERICAN ELECTRIC POWER CO INC

Form 4 May 03, 2017

## FORM 4

Section 16.

Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to STATEMENT OF CHANGES IN BEN

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**BUONAIUTO JOSPEH M** 

AMERICAN ELECTRIC POWER (Check all applicable) CO INC [AEP] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 1 RIVERSIDE PLAZA 05/01/2017 Controller, Chief Actg Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting COLUMBUS, OH 43215 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) V Amount (D) Price \$ Common 05/01/2017 717<sup>(1)</sup> A 67.64 D M 6.024 Stock (4) \$ Common 05/01/2017 F 343 D 67.64 5,681 D Stock (4) \$ Common 05/01/2017 D 374 D 67.64 5,307 D Stock (4) 553 (2) A \$ D Common 05/01/2017 M 5,860 Stock 67.64

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

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					<u>(4)</u>		
Common Stock	05/01/2017	F	265	D	\$ 67.64 (4)	5,595	D
Common Stock	05/01/2017	D	288	D	\$ 67.64 (4)	5,307	D
Common Stock	05/01/2017	M	449 (3)	A	\$ 67.64 (4)	5,756	D
Common Stock	05/01/2017	F	215	D	\$ 64.77 (4)	5,541	D
Common Stock	05/01/2017	D	234	D	\$ 67.64 (4)	5,307	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	05/01/2017		M	717	05/01/2017	05/01/2017	Common Stock	717
Restricted Stock Units	(2)	05/01/2017		M	553	05/01/2017	05/01/2017	Common Stock	553
	<u>(3)</u>	05/01/2017		M	449	05/01/2017	05/01/2017		449

D

Restricted Common Stock Stock Units

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BUONAIUTO JOSPEH M 1 RIVERSIDE PLAZA COLUMBUS, OH 43215

Controller, Chief Actg Officer

## **Signatures**

Thomas G. Berkemeyer, Attorney-in-Fact for Joseph M. Buonaiuto

05/03/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- A portion of Mr. Buonaiuto's restricted stock units (717) that were granted on 12/10/2013 vested on 5/1/2017. Upon vesting, 343

  (1) restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash..
- (2) A portion of Mr. Buonaiuto's restricted stock units (553) that were granted on 2/24/2015 vested on 5/1/2017. Upon vesting, 265 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (3) A portion of Mr. Buonaiuto's restricted stock units (449) that were granted on 2/23/2016 vested on 5/1/2017. Upon vesting, 215 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash..
- (4) Value is based on 20 day average closing price of \$67.64

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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