WONG IRWIN Form 5

February 14, 2012

### FORM 5

#### **OMB APPROVAL**

**OMB** 

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person * WONG IRWIN			2. Issuer Name <b>and</b> Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 777 NORTH 1	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2011	Director 10% Owner Officer (give title Other (specify below) Below) EVP - Branch Administration			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)			

#### LOS ANGELES, CAÂ 90012

\_X\_Form Filed by One Reporting Person \_\_Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially							Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie Disposed o (Instr. 3, 4	of (D)	uired (A) or	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/10/2011	Â	J <u>(1)</u>	3.096	A	\$ 16.9312	10,496.46	D	Â
Common Stock	06/10/2011	Â	J <u>(1)</u>	3.553	A	\$ 14.7576	10,496.46	D	Â
Common Stock	09/12/2011	Â	J <u>(1)</u>	4.555	A	\$ 11.5171	10,496.46	D	Â
Common Stock	12/15/2011	Â	J <u>(1)</u>	4.127	A	\$ 12.7274	10,496.46	D	Â

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Common Stock 12/31/2011  $\hat{A}$  J(2) 47.3247  $\hat{A}$  \$ 13.68 16,725.73  $\hat{I}$  By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option	\$ 16.275	Â	Â	Â	Â	Â	02/21/2005(3)	02/21/2012	Common Stock	11,08
Stock Option	\$ 19.925	Â	Â	Â	Â	Â	01/16/2005(3)	01/16/2013	Common Stock	30,06
Stock Option	\$ 24.8	Â	Â	Â	Â	Â	11/20/2004(3)	11/20/2013	Common Stock	143,0
Stock Option	\$ 37	Â	Â	Â	Â	Â	02/17/2006(3)	02/17/2015	Common Stock	32,58
Stock Option	\$ 36.24	Â	Â	Â	Â	Â	01/25/2007(3)	01/25/2016	Common Stock	31,00
Stock Option	\$ 23.37	Â	Â	Â	Â	Â	02/21/2009(4)	02/21/2018	Common Stock	20,00
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(6)	(6)	Common Stock	3,84
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(7)	(7)	Common Stock	4,77

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

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WONG IRWIN 777 NORTH BROADWAY LOS ANGELES, CAÂ 90012

 $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$  EVP - Branch  $\hat{A}$  Administration

### **Signatures**

Irwin Wong 02/14/2012

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend Reinvestment.
- (2) ESOP Earning Allocation.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 2/21/09.
- Consists of long term restricted stock units within the meaning of the Emergency Economic Stabilization Act of 2008, as amended from (5), time to time, and the rules and regulations promulgated thereunder ("EESA"). Each unit represents a contingent right to receive one share
- (5) time to time, and the rules and regulations promulgated thereunder ("EESA"). Each unit represents a contingent right to receive one share of CATY Common Stock.
- (6) These restricted stock units are scheduled to vest in a single installment on March 23, 2013, or earlier in the event of death or disability, and are subject to certain transfer restrictions under EESA.
- (7) These restricted stock units are scheduled to vest in a single installment on December 15, 2013, or earlier in the event of death or disability, and are subject to certain transfer restrictions under EESA.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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