McCarthy Barry C Form 4 September 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number: 3235-0287

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0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McCarthy Barry C Issuer Symbol FIRST DATA CORP [FDC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify C/O FIRST DATA 09/14/2018 below) below) **CORPORATION, 225 LIBERTY** See remarks STREET, 29TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person

NEW YORK, NY 10281

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form filed by More than One Reporting

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Class A Common Stock (1)	09/14/2018		C	151,203	A	\$ 0	484,774	D	
Class A Common Stock	09/14/2018		S	151,203	D	\$ 25.7804 (2)	333,571	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
	·			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar
Stock Options (right to buy)	\$ 9.49	09/14/2018		M		26,693	(3)	09/23/2019	Class B Common Stock (4)	26
Stock Options (right to buy)	\$ 9.49	09/14/2018		M		26,693	(3)	05/12/2020	Class B Common Stock (4)	26
Stock Options (right to buy)	\$ 9.49	09/14/2018		M		27,615	(3)	03/18/2021	Class B Common Stock (4)	27
Stock Options (right to buy)	\$ 9.49	09/14/2018		M		29,527	(3)	03/08/2022	Class B Common Stock (4)	29
Stock Options (right to buy)	\$ 11.07	09/14/2018		M		40,675	(3)	07/20/2023	Class B Common Stock (4)	40
Class B Common Stock	<u>(4)</u>	09/14/2018		M	26,693		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	26
Class B Common Stock	<u>(4)</u>	09/14/2018		M	26,693		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	26
Class B Common Stock	<u>(4)</u>	09/14/2018		M	27,615		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	27
Class B Common Stock	<u>(4)</u>	09/14/2018		M	29,527		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	29
Class B Common	<u>(4)</u>	09/14/2018		M	40,675		<u>(4)</u>	<u>(4)</u>	Class A Common	40

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 Stock
 Stock

 Class B
 Class A

 Common (4) 09/14/2018
 C 151,203 (4) (4) (4) (2) (4) (5) (5) (5) (5) (6)

 Stock
 Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McCarthy Barry C C/O FIRST DATA CORPORATION 225 LIBERTY STREET, 29TH FLOOR NEW YORK, NY 10281

See remarks

Signatures

/s/ Gretchen A. Herron, by power of attorney

09/18/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon the conversion of one share of Class B Common Stock.
- The price represents the weighted average purchase price for multiple transactions reported on this line. The prices of the transactions (2) ranged from \$25.68 to \$25.89, inclusive. Upon request of the SEC staff, First Data Corporation (FDC), or a security holder of FDC, the reporting person will provide full information regarding the number of shares purchased at each separate price.
- (3) These stock options are fully vested and exercisable.
- (4) Shares of Class B common stock are convertible into shares of Class A common stock on a one-for-one basis at any time at the option of the holder with the prior written consent of the issuer, automatically upon transfer, with certain exceptions, and upon certain other events.

Remarks:

Executive Vice President

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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