

3D SYSTEMS CORP
Form 4
December 02, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction
(Month/Day/Year)
11/30/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/30/2015		P	30,000 A	\$ 9.1008 (1)	954,410	D
Common Stock	12/01/2015		P	10,500 A	\$ 8.908 (2)	974,810	D
Common Stock	12/02/2015		P	10,000 A	\$ 8.92	984,810	D
Common Stock						376,441	I

By The
Loewenbaum
1992 Trust (3)
(4)

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Common Stock	210,715	I	By spouse ⁽³⁾
Common Stock	33,279	I	By The Lillian Shaw Loewenbaum Trust ⁽³⁾ ⁽⁴⁾
Common Stock	5,100	I	By Anna Willis Loewenbaum 1993 Trust ⁽³⁾ ⁽⁴⁾
Common Stock	5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust ⁽³⁾ ⁽⁴⁾
Common Stock	60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum ⁽³⁾ ⁽⁵⁾
Common Stock	55,565	I	By The Waterproof Partnership, L.P. ⁽³⁾ ⁽⁶⁾
Common Stock	67,500	I	Blanco Cattle & Ranch, LLC ⁽⁷⁾
Common Stock	223,802	I	Elizabeth Scott Loewenbaum 2010 Trust ⁽³⁾ ⁽⁵⁾
Common Stock	205,000	I	Anna Loewenbaum Hargrove 2010 Trust ⁽³⁾ ⁽⁵⁾
Common Stock	18,328	I	George Walter Loewenbaum TTEE the GWLDDD 2014 AnnuityTrust

			U/A Dtd 04/14/2014 ⁽³⁾ <u>(5)</u>
Common Stock	44,967	I	Lillian Shaw Loewenbaum TTEE the LSLDDD 2014 Annuity Trust U/A Dtd 04/14/2014 ⁽³⁾ <u>(8)</u>
Common Stock	50,000	I	Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 ⁽³⁾ <u>(8)</u>
Common Stock	50,000	I	George Walter Loewenbaum TTEE the GWL3DS 2014 Annuity Trust U/A Dtd 11/13/2014 ⁽³⁾ <u>(5)</u>
Common Stock	81,672	I	The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽³⁾ <u>(5)</u>
Common Stock	55,033	I	The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽³⁾ <u>(8)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730	X

Signatures

/s/ Beth R. MacDonald,
Attorney-in-Fact
12/02/2015
**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from 9.05 to 9.17, inclusive. The reporting person undertakes to provide to 3D Systems Corporation, any security holder of 3D Systems Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnote 1 of this Form 4.

(2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from 8.90 to 8.96, inclusive. The reporting person undertakes to provide to 3D Systems Corporation, any security holder of 3D Systems Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnote 2 of this Form 4.

(3) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.

(4) Mr. and Mrs. Loewenbaum serve as Trustees.

(5) Mr. Loewenbaum serves as Trustee.

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- (6) Mr. and Mrs. Loewenbaum are the general partners.
- (7) The limited liability company is owned 100% by Mr. Loewenbaum.
- (8) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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