Edgar Filing: BRUEGENHEMKE KATHLEEN L - Form 4

BRUEGENHEMKE KATHLEEN L

Form 4

Common

Common

12/15/2011

Stock

Stock

December 15, 2011

December 15	5, 2011										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
. 0.111	OMB Number:	3235-0287									
Check th			Washington, D.C. 20549					Expires:	January 31,		
if no long subject to Section 1 Form 4 o Form 5 obligation may cont See Instru	Estimated	stimated average orden hours per									
1(b).	uction				1	y Act of 1					
(Print or Type I	Responses)										
1. Name and Address of Reporting Person * 2. I BRUEGENHEMKE KATHLEEN L Sym				Name and		_	5. Relationship of Reporting Person(s) to Issuer				
	HAWTHORN BANCSHARES, INC. [HWBK]				(Check all applicable)						
(M				f Earliest Tr Pay/Year) 011	ransaction		Director 10% OwnerX Officer (give title Other (specify below) Senior Vice President				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
COLUMBIA	A, MO 65202						Form filed by Person	More than One	Reporting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Securities A	cquired, Disposed	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transaction Code (Instr. 8)	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock						. ,	2,690	I	Retirement Account		

2,000 A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

12/15/2011

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

D

22,612.3123

3,427.223

Profit

Trust

Sharing

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 17.26					02/14/2003	02/14/2012	Common Stock	2,203
Employee Stock Option (right to buy)	\$ 24.57					03/03/2004	03/03/2013	Common Stock	1,579
Employee Stock Option (right to buy)	\$ 32.59					02/19/2005	02/19/2014	Common Stock	1,220
Employee Stock Option (right to buy)	\$ 26.3					04/21/2006	04/21/2015	Common Stock	1,583
Employee Stock Option (right to buy)	\$ 27.69					03/03/2007	03/03/2016	Common Stock	1,553
Employee Stock Option	\$ 30.97					04/27/2008	04/27/2017	Common Stock	1,614

8. F Der Sec (Ins

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(right to buy)

Employee Stock

Option \$ 19.42

(right to buy)

09/22/2009 09/22/2018

Common

1,338 Stock

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

BRUEGENHEMKE KATHLEEN L Senior 5859 ROCKY POINT COURT Vice COLUMBIA, MO 65202 President

Signatures

/s/ Kathleen L. 12/15/2011 Bruegenhemke

**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).