#### Edgar Filing: FIRST PACTRUST BANCORP INC - Form 4

#### FIRST PACTRUST BANCORP INC

Form 4 April 26, 2007

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287

Number: Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

| 1. Name and Address of Reporting Person * GOODWIN LISA R |                                    |              | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |  |  |                        |   | 5. Relationship of Reporting Person(s) to Issuer   |  |                   |  |
|--|------------------------------------|--------------|--|--|--|------------------------|---|--|--|-------------------|--|
|  |                                    |              | FIRST PACTRUST BANCORP INC [FPTB]                  |  |  |                        |   | (Check all applicable)   |  |                   |  |
| (Last) 610 BAY B   | ·                                  |              |  | te of Earliest Transaction<br>th/Day/Year)<br>4/2007 |  |                        |   | DirectorX_ Officer (given below)   | e title Other  | Owner er (specify |  |
| (Street)   |                                    |              | 4. If Amendment, Date Original                     |  |  |                        |   | Sr. VP - Information Systems  6. Individual or Joint/Group Filing(Check                        |  |                   |  |
|  | ` '                                |              | Filed(Month/Day/Year)                              |  |  |                        | Applicable Line) _X_ Form filed by One Reporting Person |  |  |                   |  |
| CHULA VI   | STA, CA 9191                       | 0            |  |  |  |                        |   |  | More than One Re   |                   |  |
| (City)   | (State)                            | (Zip)        | Tabl   | e I - Non-I  | erivative                              | Secur                  | ities Acq   | uired, Disposed o  | f, or Beneficial   | ly Owned          |  |
| 1.Title of<br>Security<br>(Instr. 3)                     | 2. Transaction D<br>(Month/Day/Yea | r) Execution | emed<br>on Date, if<br>Day/Year)                   | 3.<br>Transactic<br>Code<br>(Instr. 8)               | 4. Securi<br>on(A) or Di<br>(Instr. 3, | ispose<br>4 and<br>(A) | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                   |  |
|  |                                    |              |  | Code V   | Amount                                 | or<br>(D)              | Price   | (Instr. 3 and 4)   |  |                   |  |
| Common<br>Stock  | 04/24/2007                         |              |  | F  | 255                                    | D                      | \$<br>25.38   | 18,448   | D  |                   |  |
| Common<br>Stock  | 04/24/2007                         |              |  | F  | 817                                    | D                      | \$<br>25.25   | 17,631   | D  |                   |  |
| Common   |                                    |              |  |  |  |                        |   | 9,001  | I  | 401(k)<br>ESOP    |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

**ESOP** 

#### Edgar Filing: FIRST PACTRUST BANCORP INC - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8. Pri<br>Deriv<br>Secur<br>(Insti |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Purchase) | \$ 17.19  |                                      |   |  |   | <u>(1)</u>   | 04/24/2013         | Common<br>Stock   | 22,000                              |                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Purchase) | \$ 20.29  |                                      |   |  |   | (2)  | 04/21/2014         | Common<br>Stock   | 3,000                               |                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Purchase) | \$ 26.45  |                                      |   |  |   | (3)  | 01/25/2015         | Common<br>Stock   | 2,000                               |                                    |

# **Reporting Owners**

| Reporting Owner Name / Address                               | Relationships |           |                              |       |  |  |  |
|--|---------------|-----------|------------------------------|-------|--|--|--|
| 1 8  | Director      | 10% Owner | Officer                      | Other |  |  |  |
| GOODWIN LISA R<br>610 BAY BOULEVARD<br>CHULA VISTA, CA 91910 |               |           | Sr. VP - Information Systems |       |  |  |  |
|  |               |           |                              |       |  |  |  |

## **Signatures**

/s/ James P. Sheehy, power of attorney 04/26/2007

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### Edgar Filing: FIRST PACTRUST BANCORP INC - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in five equal annual installments beginning on April 24, 2004.
- (2) The option vests in five equal annual installments beginning on April 21, 2005.
- (3) The option vests in five equal annual installments beginning on January 25, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.