S Y BANCORP INC

Form 4

December 26, 2013

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

Stock

Common

Stock

(Print or Type Responses)

	` 71	1 /									
		Address of Reporting NORMAN	g Person *	2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer		
			S Y BANCORP INC [SYBT]					(Check all applicable)			
	(Last)	(First)	(Middle)	3. Date of Earliest Transaction							
14417 RIVER GLADES				(Month/Day/Year) 12/23/2013					X Director Officer (gibelow)	ive title below)	
	PD 00PE0	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
	PROSPEC	T, KY 40059							Person		
	(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acq					quired, Disposed of, or Beneficially Owned		
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirec Beneficial Ownership (Instr. 4)
	Common Stock	12/23/2013			P	121.53	8 A	\$ 32.5	30,763.82	I	Trust-Directors' Deferred Comp Plan
	Common Stock								61,158.5222	D	
	Common Stock								4,685	I	By Spouse

Trust - Tasman **Industries** 

Retirement Plan

fbo Principal Owners

1,000

I

Common Stock

69.824.5

Ι

Reflects beneficial interest in shares owned by Hayfield Investment

Partners, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)

TransactionNumber Code of (Instr. 8) Derivative Securities

5.

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. Pri **Underlying Securities** (Instr. 3 and 4)

Deriv Secur (Instr

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

> Date Expiration Exercisable Date

Amount or Title

Number of Shares

Code V (A) (D)

Option

(Right to \$20.2476

Buy

04/21/2005 04/21/2014

Common Stock

1,050

## **Reporting Owners**

Reporting Owner Name / Address

**Relationships** 

10% Owner Officer Other Director

TASMAN NORMAN 14417 RIVER GLADES PROSPECT, KY 40059

X

**Signatures** 

//Norman Tasman

12/26/2013

\*\*Signature of Reporting Person Date

Reporting Owners 2

## Edgar Filing: S Y BANCORP INC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.